

LEVERHULME TRUST _____

Proposal for phase 1 of the MUonE experiment

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on behalf of the MUonE Collaboration



153rd SPSC Meeting 7 May 2024 CERN-SPSC-2024-015





- The MUonE experiment
- Test Beam 2023
- Run 2025: MUonE phase 1. Request for 4 weeks of running time at the M2 beam line
- Conclusions

Muon g-2: current status





- Plot is purely for demonstration purposes. It does not represent an update from the g-2 Theory Initiative.
- Lattice HVP taken from A. Keshavarzi, Lattice 2023 talk.
- Prediction from CMD3: subsitute TI White Paper by CMD3 only for [0.33-1] GeV (see A. Keshavarzi, Lattice 2023).

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The MUonE experiment



New independent evaluation of a_{μ}^{HLO} , based on the measurement of $\Delta \alpha_{had}(t)$: hadronic contribution to the running of the electromagnetic coupling constant



The μ -e elastic scattering





The experimental apparatus



After LS3: full apparatus with 40 stations Final goal: provide a measurement of a_{μ}^{HLO} competitive with the current results (~0.6% precision)

Staged approach towards the full experiment



- 2017: dedicated test beam to study multiple scattering.
- 2018: test beam to study elastic scattering properties and event selection.
- 2021: first joint test CMS-MUonE with a few 2S modules prototypes (parasitic).
- 2022:
 - test with 1 tracking station.
 - test the calorimeter.
- 2023: test with 2 tracking stations + calorimeter.
- 2025: run with a scaled version of the complete apparatus:
 - 3 tracking stations;
 - Calorimeter;
 - Muon ID;
 - Beam Momentum Spectrometer (BMS).

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MUonE Phase 1 Experiment Proposal

Tracker: CMS 2S modules



Silicon strip sensors developed for the CMS-Phase2 upgrade. Pre-production started in 2024.

- Two close-by strip sensors reading the same coordinate and read out by the same electronics
- Readout rate: 40 MHz. Adequate to sustain the maximum beam rate of ~50 MHz.
- Area: 10×10 cm² (~90 cm² active).
- Digital readout, 90 μm pitch: ~26 μm resolution.
- Thickness: 2 × 320 μm.



Tracking station





- (x, y) layers tilted by 233 mrad: improve hit resolution.
- (u, v) layers: solve reconstruction ambiguities.





Frontend control and readout via Serenity board (developed for the CMS-Phase2 upgrade).

- M2 beam asynchronous to the reference clock.
- Triggerless readout @40MHz.
- Event aggregator on FPGA (+ online event filtering in 2025).
- Further data aggregation on the PC.
- Transmission to EOS into ~1GB files.



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Calorimeter

- 5x5 PbWO₄ crystals, used in the CMS ECAL:
 - area: 2.85×2.85 cm²;
 length: 23 cm (~25 X₀).
- Total area: ~14×14 cm².
- Readout: 10x10 mm² APD.
- Integration in the main DAQ @40 MHz achieved at the end of Test Beam 2023.
- ECAL commissioning in high muon rate environment must be completed.





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Test Beam 2023 (3 weeks Aug/Sep)

- 2 tracking stations;
- 1 graphite target (2–3 cm thickness);
- ECAL.
- Achievements:
 - <u>Demonstrated continuous</u> readout @40 MHz.
 - 350 TB raw data recorded to disk:
 - 3 cm (2 cm) target: ~1(2)×10⁸ elastic events;
 - ECAL integrated in the DAQ @40 MHz in the final part of the run.
 - Achieved online tracking on FPGA.



• Test the reconstruction algorithms and event selection.

Work in progress:

- Study the background processes and the main sources of systematic error.
- Demonstration measurement: $\Delta \alpha_{lep}(t)$ with O(5%) stat. accuracy.





TB 2023 - tracking performance: efficiency and angular resolution





TB 2023 - MC performance: angular resolution of scattered particles





- Compare track reconstruction with MC truth.
- Muon angle: ~40 μrad resolution for small scattering angles.
- Electron angle: stronger impact of MS. Resolution is ~3 mrad for large scattering angles (E₂ ~1-2 GeV).

Work in progress: Data / MC comparison.

TB 2023 μ -e elastic scattering event selection





Work in progress:

- Exploit dedicated MC generators to study the backgrounds:
 - Signal generator: exact NLO + approximated NNLO.
 - Pair production generator: tree level.
- Study the main sources of systematic error using tracker data:
 - Angular intrinsic resolution;
 - Beam energy scale.

Run 2025: motivations



- The apparatus used in 2023 does not represent the complete detector foreseen for the final experiment:
 - No BMS;
 - No muon ID;
 - ECAL integrated in the main DAQ only in the final part of the run.
- In 2025 MUonE requests 4 weeks of data taking to run with a scaled version of the complete apparatus, including all the detector components.





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- ECAL:
 - Full acceptance for interactions in both targets.
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- BMS:
 - Event-by-event p_{μ} measurement: reduce systematics related to the beam energy scale.





The entire apparatus

3 tracking stations.

Muon ID:

Iron shield + tracking station.

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- 2 graphite targets (2 cm thickness each
- ECAL:
- is fully funded • Full acceptance for interactions in both targets.
 - Provide independent measurements of the process kinematics.
- Event-by-event *p*_u measurement: reduce systematics related to the beam energy scale.





"To instrument the three stations for the proposed system, CMS agree to provide 18 pre-production 2S modules in time for integration activities in January 2025.

In addition to this, at least 12 good quality prototype 2S modules will be made available to complete the setup on the same timescale."

Run 2025: goals



Detector operations:

- Prove the capability of the DAQ to synchronize all the sub-detectors and operate efficiently in the 4 weeks run.
- Verify real time data processing in FPGA firmware to reduce the data volume to be stored.
- Exploit the ECAL full acceptance to get indications in optimizing its design for the final experiment.

Systematic error studies:

- Exploit data from all the sub-detectors to study backgrounds and systematics.
- Study uniformity of tracking efficiency, PID, backgrounds, detector modelization, beam control.
- Demonstrate control of the systematic errors at O(500ppm).

• Physics results:

- Preliminary measurement of $\Delta \alpha_{had}(t)$ with O(20%) statistical accuracy.
- Measure $\Delta \alpha_{lep}(t)$ with a few percent precision, and compare with the measurement currently being performed with 2023 data.



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Expected event yield: ~10⁹ elastic events within acceptance (one order of magnitude larger than 2023)



Systematic effects



Promising strategy:

- Study the main systematics in the normalization region (no sensitivity to $\Delta \alpha_{had}$ (t) here).
- Include residual systematics as nuisance parameters in a combined fit with signal.



Example: ±10% systematic error on the intrinsic resolution



The MUonE Collaboration



Group	Senior	post-doc	PhD	students
Bologna	5		1	
Cornell University	1			
Imperial $College(*)$				
Krakow	2	1	2	
Milano-Bicocca	1			
Northwestern U.	1	1	1	
Padova	5	2		
Perugia	3	2		
Pisa	3	2	2	
Trieste	2		2	2
Shanghai	1	1		
Regis U.	1			3
U. of Virginia	2		2	
U. of Liverpool	5	3	3	
Total	32	12	13	5
		1	1	1
	Great contribution from early-career collaborators!			

(*) Imperial College participation in MUonE R&D and this proposal has been possible because of the very significant synergy of its CMS tracker upgrade activities and MUonE goals, especially the implementation of the new tracker readout system and its evaluation in high rate beam tests. 22

Conclusions



- The MUonE Collaboration submitted the proposal for a phase 1 of the experiment. It concerns a small scale version of the final apparatus, composed of 3 tracking stations, a calorimeter, a muon ID and the BMS.
- The detector for MUonE Phase 1 and operations until LS3 are fully funded.
- In case the proposal will be approved, MUonE will request 4 weeks of running time in 2025 at the M2 beam line.
- Goals: carefully study the expected systematic errors and background under realistic conditions and make preliminary measurements of $\Delta \alpha_{had}(t)$. This will provide essential information in view of the final version of the experiment (40 tracking stations + ancillary detectors).
- Obtaining the status of approved experiment will significantly ease access to CERN services and support. This will encourage more institutions to get involved in the project.

BACKUP



 160 GeV muon beam on atomic electrons.

 $\sqrt{s} \sim 420 \,\mathrm{MeV}$

$$-0.153 \,\mathrm{GeV}^2 < t < 0 \,\mathrm{GeV}^2$$

 $\Delta \alpha_{had}(t) \lesssim 10^{-3}$



Extraction of $\Delta \alpha_{had}(t)$



 $\Delta \alpha_{had}(t)$ parameterization:

inspired from the 1 loop QED contribution of lepton pairs and t-quark at $q^2 < 0$

$$\Delta \alpha_{had}(t) = KM \left\{ -\frac{5}{9} - \frac{4}{3}\frac{M}{t} + \left(\frac{4}{3}\frac{M^2}{t^2} + \frac{M}{3t} - \frac{1}{6}\right)\frac{2}{\sqrt{1 - \frac{4M}{t}}} \ln \left| \frac{1 - \sqrt{1 - \frac{4M}{t}}}{1 + \sqrt{1 - \frac{4M}{t}}} \right| \right\}$$
 2 parameters:
K, M

Extraction of $\Delta \alpha_{had}(t)$ through a template fit to the 2D (θ_{e} , θ_{u}) distribution:



Extraction of $a_{\mu}^{ m HLO}$





 a_{μ}^{HLO} = (688.8 ± 2.4) 10⁻¹⁰ Input value: a_{μ}^{HLO} = 688.6 10⁻¹⁰

TB 2023

Data preselection (skimming)



- 2023 Test Run operated with a Triggerless DAQ
 Large Data volumes processed offline
- Skimming is aimed to preselect all the reconstructible events that can be associated to interactions in the target (from both signal and background processes)
- The algorithm is based simply on the hit patterns observed in the two stations
- The loosest requirements are imposed, to avoid biases, still the event reduction is about a factor 100

On ~12 B merged events, the skimming selects:
0.8% ~97 M Single-Mu interaction candidates
0.6% ~75 M PU (2,3,4) Mu interaction candidates

The different classes are well separated:

- Single muon interactions
- 2,3,4 pile-up muons with interactions

Event and Hit rates after Skimming Preselection



Rate ~500 KHz: algorithm can easily be implemented online on FPGA



Beam rate $\sim 2 \times 10^8 \,\mu/spill$ $(1 \text{ spill} = \sim 5 \text{ s})$ Rate [MHz] ■ Max 0.5 MHz/strip 0.5 0.4 0.3 0.2 0.1

400

600

strip

800

°6

200

Alignment TB 2023





- Track based iterative procedure:
 2 alignment parameters per module (offset in the measured direction and rotation angle around the beam axis).
- Align the coordinate orthogonal to the measurement direction by measuring the image of module's middle line.



Alignment - TB 2023

Station 0 - Module 2

Station 1 - Module 6

Station 1 - Module 10

Mean

Std Dev

Mean

Std Dev

Mean

Std Dev

0.0865

19.93

x_{Track} - x_{Hit} [µm]

0.009398

x_{Track} - x_{Hit} [µm]

0.04004

x_{Track} - x_{Hit} [µm]

25.39

16.52





x_{Track} - x_{Hit} [μm]







Simple selection: events with 2 outgoing tracks within geometrical acceptance (0.2 – 32 mrad).



- Vertex Z fitted using the convolution between a box (target thickness) and a Gaussian (resolution).
- The target center is shifted by 0.5 cm by changing between 3cm and 2cm target (OK!).
- Vertex resolution: ~0.8 cm. (Slightly worse for 3cm target due to MS).

New Background MC generator

Main background: e+e- pair production Implemented in MESMER and interfaced with the MUonE detector simulation

Numerical results for $\mu^+ C \rightarrow \mu^+ C e^+ e^-$ (3)



MC performance - Track reconstruction in µe elastic scattering events

Algorithmic reconstruction performance for reconstructible particles, with 3cm Target, for different setting of the reco configuration: maximum number of shared hits between two tracks = 0,1,2 The efficiency is defined by matching the MC truth with a Quality cut of Q>0.65, i.e. at least 4/6 hits have to be correctly taken in the reconstructed trajectory



Flat and high efficiency for 2 max shared hits (close tracks in the first pair of modules nearest to the target) Drawback: fake rate due to clone and background tracks, but can be easily rejected by later steps (vertexing)

MC performance – µe elastic event reconstruction

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MC performance -Angular Resolution vs Scattering Angle

in different angular bins

electron

muon

-0.4

-0.4 -0.3 -0.2 -0.1 0 0.1 0.2 0.3 0.4

-0.3 -0.2 -0.1

(thmu rec-thmu true), theta mu[0.9.1]mrad with clones

0

(thmu_rec-thmu_true), theta_mu[4,5]mrad with clones

res9 mu pre clone:

Constant 1.666e+04 ± 3.472e+02

4.874e-06 ±6.481e-07

3.819e-05 ±4.935e-07

Std Dev

 χ^2 / ndf

Mean

0.1 0.2 0.3

Std Dev

 χ^2 / ndf

Mean

Siama

Constant

4.595e-05

55 58 / 33

04

0.0001379

80 21 / 57

747.9 ±27.9

1 120+05 +3 510+06

0.0001274 ± 0.0000033

rad

res13_mu_pre_clones 7.256e-06



TB 2023 - extraction of $\Delta \alpha_{lep}(t)$: expectations



O(10¹²) μ on target, expected ~2.5 × 10⁸ elastic events E_e > 1 GeV



1 loop QED contribution of lepton pairs:

$$\Delta \alpha_{lep}(t) = k \left[f(m_e) + f(m_\mu) + f(m_\tau) \right]$$
$$f(m) = -\frac{5}{9} - \frac{4}{3} \frac{m^2}{t} + \left(\frac{4}{3} \frac{m^4}{t^2} + \frac{m^2}{3t} - \frac{1}{6} \right) \frac{2}{\sqrt{1 - \frac{4m^2}{t}}} \ln \left| \frac{1 - \sqrt{1 - \frac{4m^2}{t}}}{1 + \sqrt{1 - \frac{4m^2}{t}}} \right|$$

1 parameter template fit: Fix lepton masses and fit k

 $k = \frac{\alpha}{\pi}$ Expected precision: ~5%

Studied on fast simulation neglecting background.

Production of Monte Carlo templates



Analysis workflow



Test using pseudodata (Monte Carlo)



ECAL – spatial resolution

Sub-mm peak resolution in good agreement with simulations.



- Small sample (ECAL integrated in the main DAQ only at the end of the run).
- Technical issues limited ECAL data quality (now solved).

2S modules synchronization



The need of including systematic effects in the analysis



What if systematic effects are not included in the template fit?

Simplified situation:

- 1 fit parameter (K). $\Delta \alpha_{had}(t) \simeq -\frac{1}{15}Kt$
- L = 5 pb⁻¹.
 ~10⁹ elastic events (~4000 times less than the final statistics)
- Example: shift the pseudo-data sample by $\sigma_{Intr} \rightarrow \sigma_{Intr} + 5\%$.



Systematic error on the angular intrinsic resolution



±10% error on the angular intrinsic resolution.





Systematic error on the muon beam energy



Accelerator division provides E_{beam} with O(1%) precision (~ 1 GeV).

This effect can be seen from our data in 1h of data taking per station.



Systematic error on the multiple scattering



Expected precision on the multiple scattering model: ± 1%

G. Abbiendi et al JINST (2020) 15 P01017



Combined fit signal + systematics

- Include residual systematics as nuisance parameters in the fit.
- Simultaneous likelihood fit to K and systematics using the Combine tool.



- K_{ref} = 0.137
- shift MS: +0.5%
- shift intr. res: +5%
- shift E_{beam}: +6 MeV

Selection cuts	Fit results
$\theta_e \leq 32 \mathrm{mrad}$ $\theta_\mu \geq 0.2 \mathrm{mrad}$	$K = 0.133 \pm 0.028$
	$\mu_{\rm MS} = (0.47 \pm 0.03)\%$
	$\mu_{\text{Intr}} = (5.02 \pm 0.02)\%$
	$\mu_{\rm E_{\rm Beam}} = (6.5 \pm 0.5) {\rm MeV}$
	$\nu = -0.001 \pm 0.003$

Similar results also for different selection cuts.



$\Delta \alpha_{had}$ parameterization



Inspired from the 1 loop QED contribution of lepton pairs and top quark at t < 0

$$\Delta \alpha_{had}(t) = KM \left\{ -\frac{5}{9} - \frac{4}{3}\frac{M}{t} + \left(\frac{4}{3}\frac{M^2}{t^2} + \frac{M}{3t} - \frac{1}{6}\right)\frac{2}{\sqrt{1 - \frac{4M}{t}}} \ln \left|\frac{1 - \sqrt{1 - \frac{4M}{t}}}{1 + \sqrt{1 - \frac{4M}{t}}}\right| \right\}$$
 2 parameters: K, M

Allows to calculate the full value of $a_{\mu}^{\ \mathrm{HLO}}$

Dominant behaviour in the MUonE kinematic region:

$$\Delta \alpha_{had}(t) \simeq -\frac{1}{15} K t$$



Alternative method to compute a_{μ}^{HLO} from **MUonE** data



$$a_{\mu}^{\mathrm{HLO}} = a_{\mu}^{\mathrm{HLO (I)}} + a_{\mu}^{\mathrm{HLO (II)}} + a_{\mu}^{\mathrm{HLO (III)}} + a_{\mu}^{\mathrm{HLO (IV)}}$$



Insensitive to the parameterization chosen to fit $\Delta \alpha_{had}(t)$.



Backgrounds





The M2 beamline





- MUonE location: upstream of the AMBER detector (EHN2).
- Low divergence muon beam: $\sigma_{x'}$, $\sigma_{y'} < 1$ mrad.
- Spill duration ~5 s. Duty cycle ~ 25%.
- Maximum rate: **50 MHz** (~ $2x10^8 \mu^+$ /spill).



Laser holographic system





Initial state





- Compare holographic images of the same object at different times.
- Fringe pattern is related to deformations of the mechanical structure.

BMS (Beam Momentum System)



- Bending power: 16 T*m (30 mrad @160 GeV)
- Replace the current scintillator detectors used by COMPASS/AMBER with MUonE tracking stations, fully equipped with prototype 2S modules.
- Determine the muon momentum event by event.
- Improve the momentum resolution from ~1% to ~0.3% (limited by the knowledge of the magnetic field).



GEANT4 simulations





Multiple scattering: results from TB2017



$$f_e(\delta\theta_e^x) = N\left[(1-a)\frac{1}{\sqrt{2\pi}\sigma_G} e^{-\frac{(\delta\theta_e^x - \mu)^2}{2\sigma_G^2}} + a\frac{\Gamma(\frac{\nu+1}{2})}{\sqrt{\nu\pi}\sigma_T\Gamma(\frac{\nu}{2})} \left(1 + \frac{(\delta\theta_e^x - \mu)^2}{\nu\sigma_T^2} \right)^{-\frac{\nu+1}{2}} \right]$$

