

Theoretical uncertainties in diffractive parton densities

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2nd HERA–LHC workshop, CERN, Geneva
7th June 2006

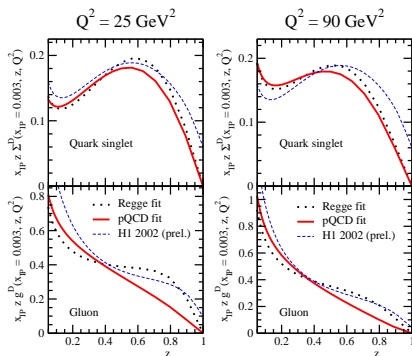
In collaboration with [A.D. Martin](#) and [M.G. Ryskin](#)

Outline

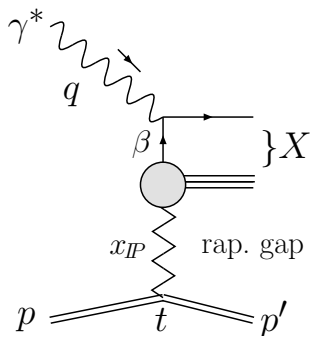
- **D**iffractive **D**eep-**I**nelastic **S**cattering (**DDIS**) is characterised by a **L**arge **R**apidity **G**ap (**LRG**) due to ‘Pomeron’ (vacuum quantum number) exchange.
- How do we extract **D**iffractive **P**arton **D**ensity **F**unctions (**DPDFs**) from **DDIS** data?
- How ‘**w**rong’ are the **H1 2006 DPDFs** due to the **oversimplified theory** used in their fits?

For the impatient, here’s the answer (right), where:

- “Regge fit” \simeq H1 2006 Fit A.
- “**pQCD fit**” is the subject of this talk.



Diffractive DIS kinematics



- $q^2 \equiv -Q^2$
- $W^2 \equiv (q + p)^2 = -Q^2 + 2 p \cdot q$
 $\Rightarrow x_B \equiv \frac{Q^2}{2 p \cdot q} = \frac{Q^2}{Q^2 + W^2}$ (fraction of proton's momentum carried by struck quark)
- $t \equiv (p - p')^2 \approx 0, (p - p') \approx x_{\mathbb{P}} p$

- $M_X^2 \equiv (q + p - p')^2 = -Q^2 + x_{\mathbb{P}}(Q^2 + W^2)$
 $\Rightarrow x_{\mathbb{P}} = \frac{Q^2 + M_X^2}{Q^2 + W^2}$
 (fraction of proton's momentum carried by Pomeron)
- $\beta \equiv \frac{x_B}{x_{\mathbb{P}}} = \frac{Q^2}{Q^2 + M_X^2}$ (fraction of Pomeron's momentum carried by struck quark)

Diffractive reduced cross section $\sigma_r^{D(3)}$

- Diffractive cross section (integrated over t):

$$\frac{d^3\sigma^D}{d\mathbf{x}_P d\beta dQ^2} = \frac{2\pi\alpha_{\text{em}}^2}{\beta Q^4} \left[1 + (1 - y)^2 \right] \sigma_r^{D(3)}(\mathbf{x}_P, \beta, Q^2),$$

where $y = Q^2/(x_B s)$, $s = 4E_e E_p$, and

$$\sigma_r^{D(3)} = F_2^{D(3)} - \frac{y^2}{1 + (1 - y)^2} F_L^{D(3)} \approx F_2^{D(3)}(\mathbf{x}_P, \beta, Q^2),$$

for small y or assuming that $F_L^{D(3)} \ll F_2^{D(3)}$

- Measurements of $\sigma_r^{D(3)} \Rightarrow$ *diffractive* parton density functions (DPDFs)

$a^D(\mathbf{x}_P, z, Q^2) = zq^D(\mathbf{x}_P, z, Q^2)$ or $zg^D(\mathbf{x}_P, z, Q^2)$,
where $\beta \leq z \leq 1$, cf. $x_B \leq x \leq 1$ in DIS.

Leading-twist collinear factorisation in DDIS

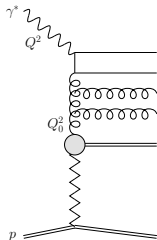
$$F_2^{D(3)} = \sum_{a=q,g} C_{2,a} \otimes a^D + \mathcal{O}(1/Q), \quad (1)$$

where $C_{2,a}$ are the **same** coefficient functions as in inclusive DIS and where the DPDFs $a^D = zq^D$ or zg^D satisfy DGLAP evolution in Q^2 :

$$\frac{\partial a^D}{\partial \ln Q^2} = \sum_{a'=q,g} P_{aa'} \otimes a'^D \quad (2)$$

“The factorisation theorem **applies when Q is made large** while x_B , x_P , and t are held fixed.” [Collins,'98]

- Says **nothing** about the mechanism for diffraction: information about the diffractive exchange ('**Pomeron**') needs to be parameterised at an input scale Q_0 and fit to data. Will show later that assuming a '**QCD Pomeron**' we need to modify both (1) and (2).
- Factorisation should also hold for final states (jets etc.) in DDIS, but is **broken in hadron-hadron collisions**, although hope that same formalism can be applied with **extra suppression factor** calculable from eikonal models.
- **LO diffractive dijet photoproduction**: **resolved photon** contribution should be **suppressed**, but **direct photon** contribution **unsuppressed**. Complications at NLO [Klasen-Kramer,'05].



H1 2006 extraction of DPDFs

- Assume Regge factorisation [Ingelman–Schlein,'85]:

$$a^D(x_{\mathbb{P}}, z, Q^2) = f_{\mathbb{P}}(x_{\mathbb{P}}) a^{\mathbb{P}}(z, Q^2) \quad (3)$$

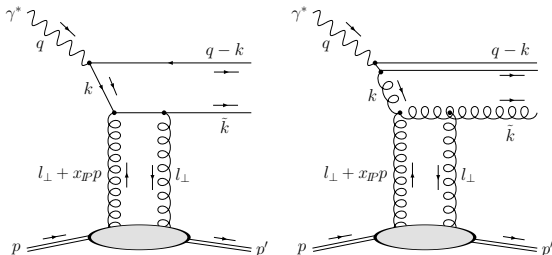
- Pomeron flux factor from Regge phenomenology:

$$f_{\mathbb{P}}(x_{\mathbb{P}}) = \int_{t_{\text{cut}}}^{t_{\text{min}}} dt e^{B_{\mathbb{P}} t} x_{\mathbb{P}}^{1-2\alpha_{\mathbb{P}}(t)} \quad (\alpha_{\mathbb{P}}(t) = \alpha_{\mathbb{P}}(0) + \alpha'_{\mathbb{P}} t)$$

“Regge factorisation relates the power of $x_{\mathbb{P}}$ measured in DDIS to the power of s measured in hadron–hadron elastic scattering.” [Collins,'98]

- Pomeron PDFs $a^{\mathbb{P}}(z, Q^2) = z\Sigma^{\mathbb{P}}(z, Q^2)$ or $zg^{\mathbb{P}}(z, Q^2)$ are DGLAP-evolved from arbitrary inputs at some scale Q_0^2 , with the input parameters fitted to data.
- Fit to H1 FPS data gives $\alpha_{\mathbb{P}}(t) = 1.11 + 0.06 t$. Fit to H1 LRG data gives $\alpha_{\mathbb{P}}(0) = 1.12$ if $\alpha'_{\mathbb{P}} = 0.06$, or $\alpha_{\mathbb{P}}(0) = 1.15$ if $\alpha'_{\mathbb{P}} = 0.25$. So the Pomeron in DDIS is **not** the universal ‘soft Pomeron’ [Donnachie–Landshoff,'92] with $\alpha_{\mathbb{P}}(t) = 1.08 + 0.25 t$. By Collins’ definition, Regge factorisation is broken. H1/ZEUS assume that the $x_{\mathbb{P}}$ dependence factorises as eq.(3) regardless, with the fitted $\alpha_{\mathbb{P}}(0)$ independent of β and Q^2 (also broken, see later).
- Breaking of Regge factorisation with $\alpha_{\mathbb{P}}(0) > 1.08$ suggests a significant perturbative QCD (pQCD) contribution to diffractive DIS. In pQCD, Pomeron exchange can be described by two-gluon exchange.

How to reconcile two-gluon exchange with DPDFs?



Two-gluon exchange calculations are the basis for the **colour dipole model** description of DDIS.

ZEUS 1994

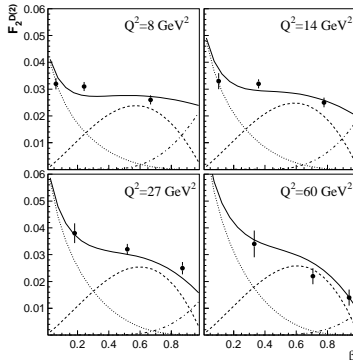
- Right: $x_{\mathbb{P}} F_2^{D(3)}$ for $x_{\mathbb{P}} = 0.0042$ as a function of β

[Golec-Biernat–Wüsthoff, '99].

- dotted lines: $\gamma_T^* \rightarrow q\bar{q}g$,
- dashed lines: $\gamma_T^* \rightarrow q\bar{q}$,
- dot-dashed lines: $\gamma_L^* \rightarrow q\bar{q}$.

important at **low**, **medium**, and **high** β respectively.

- $\gamma_T^* \rightarrow q\bar{q}g$ and $\gamma_T^* \rightarrow q\bar{q}$ are partly higher-twist, $\gamma_L^* \rightarrow q\bar{q}$ is **purely** higher-twist, but H1/ZEUS DPDFs only include leading-twist contributions.



Comparison of two approaches

'Regge factorisation' approach

- \mathbb{P} is purely non-perturbative, i.e. a Regge pole.
- Q^2 dependence given by DGLAP.
- Need to fit β dependence.
- $x_{\mathbb{P}}$ dependence taken as a power law, with the power either taken from soft hadron data or fitted.
- Only leading-twist.
- Full DGLAP evolution in Pomeron structure function.
- Extract universal DPDFs.
- $x_{\mathbb{P}}$ dependence factorises.
- Only applies to inclusive DDIS.

Two-gluon exch. (e.g. dipole model)

- \mathbb{P} is purely perturbative, i.e. a gluon ladder.
- Q^2 dependence predicted.
- β dependence predicted.
- $x_{\mathbb{P}}$ dependence given by square of skewed gluon distribution (or dipole cross section).
- Goes beyond leading-twist.
- **Only $q\bar{q}$ and $q\bar{q}g$ final states as products of photon dissociation.**
- **No concept of DPDFs.**
- $x_{\mathbb{P}}$ dependence doesn't factorise.
- Also explains exclusive processes.

- In reality, **both** non-perturbative and perturbative Pomeron contributions to inclusive DDIS. Want to combine advantages of both approaches while eliminating the **limitations**. Improve two-gluon exchange calculations by introducing DGLAP evolution in 'Pomeron structure function' allowing universal DPDFs to be extracted.

Combination of two approaches

- Inclusive DDIS consists of **both** non-perturbative and perturbative Pomeron contributions.

Non-perturbative \mathbb{P} contribution

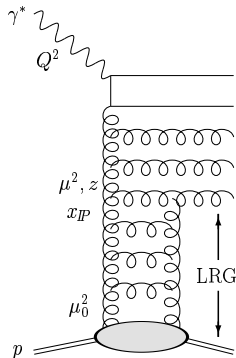
- \mathbb{P} is ~~purely~~ **partly** non-perturbative, i.e. a Regge pole.
- Q^2 dependence given by DGLAP.
- Need to fit β dependence.
- $x_{\mathbb{P}}$ dependence taken as a power law, with the power either taken from soft hadron data or fitted.
- Only leading-twist.
- Full DGLAP evolution in Pomeron structure function.
- Extract universal DPDFs.
- $x_{\mathbb{P}}$ dependence factorises.
- Only applies to inclusive DDIS.

Perturbative \mathbb{P} contribution

- \mathbb{P} is ~~purely~~ **partly** perturbative, i.e. a gluon ladder.
- Q^2 dependence predicted.
- β dependence predicted.
- $x_{\mathbb{P}}$ dependence given by square of skewed gluon distribution (~~or dipole cross-section~~).
- Goes beyond leading-twist.
- **Full DGLAP evolution in Pomeron structure function.**
- **Extract universal DPDFs.**
- $x_{\mathbb{P}}$ dependence doesn't factorise.
- Also explains exclusive processes.

The QCD Pomeron is a parton ladder

- Generalise $\gamma^* \rightarrow q\bar{q}$ and $\gamma^* \rightarrow q\bar{q}g$ to arbitrary number of parton emissions [Ryskin,'90; Levin–Wüsthoff,'94].
- Work in Leading Logarithmic Approximation (LLA) \Rightarrow virtualities of t -channel partons are strongly ordered: $\mu_0^2 \ll \dots \ll \mu^2 \ll \dots \ll Q^2$, i.e. QCD Pomeron is a DGLAP ladder rather than a BFKL ladder.
- **New feature:** integral over scale μ^2 (starting scale for DGLAP evolution of Pomeron PDFs).



$$F_2^{D(3)} = \int_{\mu_0^2}^{Q^2} \frac{d\mu^2}{\mu^2} f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2) F_2^{\mathbb{P}}(\beta, Q^2; \mu^2)$$

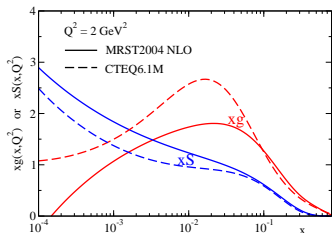
$$f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2) = \frac{1}{x_{\mathbb{P}} B_D} \left[R_g \frac{\alpha_S(\mu^2)}{\mu} x_{\mathbb{P}} g(x_{\mathbb{P}}, \mu^2) \right]^2$$

$$F_2^{\mathbb{P}}(\beta, Q^2; \mu^2) = \sum_{a=q,g} C_{2,a} \otimes a^{\mathbb{P}}$$

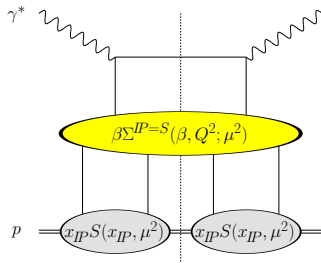
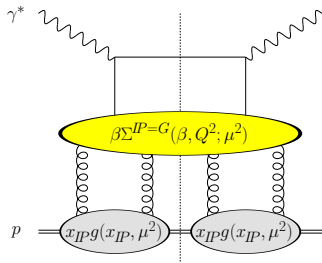
B_D from t -integration, R_g from skewedness [Shuvaev *et al.*, '99]

- Pomeron PDFs $a^{\mathbb{P}}(z, Q^2; \mu^2)$ DGLAP-evolved from an input scale μ^2 up to Q^2 .
- For $\mu^2 < \mu_0^2 \sim 1 \text{ GeV}^2$, replace lower parton ladder with usual Regge pole contribution. Take $\alpha_{\mathbb{P}}(0) \simeq 1.08$ (or fit) and fit t Pomeron PDFs DGLAP-evolved from an input scale μ_0^2 .

Gluonic and sea-quark Pomeron

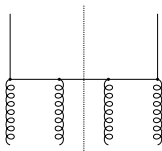


- At low scales, **sea-quark** density of the proton dominates over **gluon** density at small $x \Rightarrow$ need to account for **sea-quark** density in perturbative Pomeron flux factor.



- Pomeron structure function $F_2^{\mathbb{P}}(\beta, Q^2; \mu^2)$ calculated from quark singlet $\Sigma^{\mathbb{P}}(z, Q^2; \mu^2)$ and gluon $g^{\mathbb{P}}(z, Q^2; \mu^2)$ DGLAP-evolved from an input scale μ^2 up to Q^2 .
- Input Pomeron PDFs $\Sigma^{\mathbb{P}}(z, \mu^2; \mu^2)$ and $g^{\mathbb{P}}(z, \mu^2; \mu^2)$ to DGLAP evolution are **Pomeron-to-parton splitting functions**.

LO Pomeron-to-parton splitting functions



- LO Pomeron-to-parton splitting functions calculated in Eur. Phys. J. C **44** (2005) 69.
- **Notation:** ‘ $\mathbb{P} = G$ ’ means **gluonic Pomeron**, ‘ $\mathbb{P} = S$ ’ means **sea-quark Pomeron**, ‘ $\mathbb{P} = GS$ ’ means interference between these.

$$z\Sigma^{\mathbb{P}=G}(z, \mu^2; \mu^2) = P_{q,\mathbb{P}=G}(z) = z^3(1-z),$$

$$zg^{\mathbb{P}=G}(z, \mu^2; \mu^2) = P_{g,\mathbb{P}=G}(z) = \frac{9}{16}(1+z)^2(1-z)^2,$$

$$z\Sigma^{\mathbb{P}=S}(z, \mu^2; \mu^2) = P_{q,\mathbb{P}=S}(z) = \frac{4}{81}z(1-z),$$

$$zg^{\mathbb{P}=S}(z, \mu^2; \mu^2) = P_{g,\mathbb{P}=S}(z) = \frac{1}{9}(1-z)^2,$$

$$z\Sigma^{\mathbb{P}=GS}(z, \mu^2; \mu^2) = P_{q,\mathbb{P}=GS}(z) = \frac{2}{9}z^2(1-z),$$

$$zg^{\mathbb{P}=GS}(z, \mu^2; \mu^2) = P_{g,\mathbb{P}=GS}(z) = \frac{1}{4}(1+2z)(1-z)^2$$

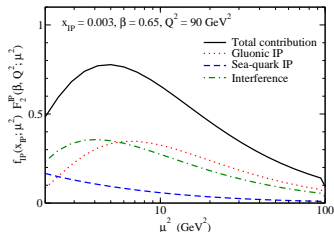
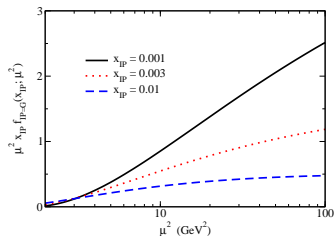
Evolve these input Pomeron PDFs from μ^2 up to Q^2 using NLO DGLAP evolution.

Contribution to $F_2^{D(3)}$ as a function of μ^2

$$F_2^{D(3)} = \int_{\mu_0^2}^{Q^2} \frac{d\mu^2}{\mu^2} f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2) F_2^{\mathbb{P}}(\beta, Q^2; \mu^2)$$

$$f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2) = \frac{1}{x_{\mathbb{P}} B_D} \left[R_g \frac{\alpha_S(\mu^2)}{\mu} x_{\mathbb{P}} g(x_{\mathbb{P}}, \mu^2) \right]^2$$

- Naïvely, $f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2) \sim 1/\mu^2$, so contributions from large μ^2 are strongly suppressed.
- But $x_{\mathbb{P}} g(x_{\mathbb{P}}, \mu^2) \sim (\mu^2)^\gamma$, where γ is the anomalous dimension. In BFKL limit $\gamma \simeq 0.5$, so $f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2) \sim \text{constant}$.
- HERA domain is in an intermediate region: γ is not small, but is less than 0.5.
- Upper plot: $\mu^2 x_{\mathbb{P}} f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2)$ is not flat for small $x_{\mathbb{P}}$. Lower plot: integrand as a function of μ^2 (using MRST2004F3 NLO PDFs) \Rightarrow large contribution from large μ^2 .
- Recall that fits using ‘Regge factorisation’ include contributions from $\mu^2 \leq Q_0^2$ in the input distributions, but neglect all contributions from $\mu^2 > Q_0^2$.



Inhomogeneous evolution of DPDFs

$$F_2^{D(3)} = \sum_{a=q,g} C_{2,a} \otimes a^D,$$

$$\text{where } a^D(x_{\mathbb{P}}, z, Q^2) = \int_{\mu_0^2}^{Q^2} \frac{d\mu^2}{\mu^2} f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2) a^{\mathbb{P}}(z, Q^2; \mu^2)$$

$$\begin{aligned} \Rightarrow \frac{\partial a^D}{\partial \ln Q^2} &= \int_{\mu_0^2}^{Q^2} \frac{d\mu^2}{\mu^2} f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2) \frac{\partial a^{\mathbb{P}}}{\partial \ln Q^2} + f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2) a^{\mathbb{P}}(z, Q^2; \mu^2) \Big|_{\mu^2=Q^2} \\ &= \int_{\mu_0^2}^{Q^2} \frac{d\mu^2}{\mu^2} f_{\mathbb{P}}(x_{\mathbb{P}}; \mu^2) \sum_{a'=q,g} P_{aa'} \otimes a'^{\mathbb{P}} + f_{\mathbb{P}}(x_{\mathbb{P}}; Q^2) a^{\mathbb{P}}(z, Q^2; Q^2) \\ &= \underbrace{\sum_{a'=q,g} P_{aa'} \otimes a'^D}_{\text{DGLAP term}} + \underbrace{f_{\mathbb{P}}(x_{\mathbb{P}}; Q^2) P_{a\mathbb{P}}(z)}_{\text{Extra inhomogeneous term}} \end{aligned}$$

Inhomogeneous evolution of DPDFs is **not a new idea**:

*“We introduce a diffractive dissociation structure function and show that it obeys the **DGLAP** evolution equation, **but**, with an additional inhomogeneous term.” [Levin–Wüsthoff, '94]*

Pomeron structure is analogous to photon structure

Photon structure function

$$F_2^\gamma(x_B, Q^2) = \underbrace{\sum_{a=q,g} C_{2,a} \otimes a^\gamma}_{\text{Resolved photon}} + \underbrace{C_{2,\gamma}}_{\text{Direct photon}}$$

$$\text{where } \frac{\partial a^\gamma(x, Q^2)}{\partial \ln Q^2} = \underbrace{\sum_{a'=q,g} P_{aa'} \otimes a'^\gamma}_{\text{DGLAP term}} + \underbrace{P_{a\gamma}(x)}_{\text{Inhomogeneous term}}$$

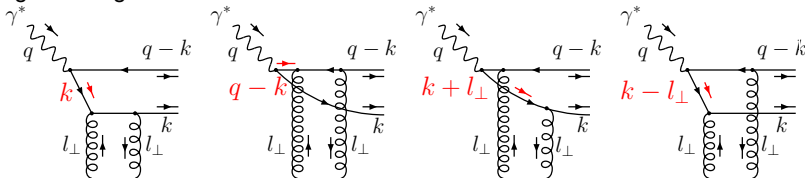
Diffraction structure function

$$F_2^{D(3)}(x_P, \beta, Q^2) = \underbrace{\sum_{a=q,g} C_{2,a} \otimes a^D}_{\text{Resolved Pomeron}} + \underbrace{C_{2,P}}_{\text{Direct Pomeron}}$$

$$\text{where } \frac{\partial a^D(x_P, z, Q^2)}{\partial \ln Q^2} = \underbrace{\sum_{a'=q,g} P_{aa'} \otimes a'^D}_{\text{DGLAP term}} + \underbrace{P_{aP}(z) f_P(x_P; Q^2)}_{\text{Inhomogeneous term}}$$

Need for NLO calculations

- NLO analysis of DDIS data is not yet possible.
- Need $C_{2,\mathbb{P}}$ and $P_{a\mathbb{P}}$ at NLO. Should be calculable with usual methods, e.g. LO diagrams are:



Dimensional regularisation: work in $4 - 2\epsilon$ dimensions, collinear singularity appears as $1/\epsilon$ pole multiplied by $P_{q\mathbb{P}}$, subtract in e.g. \overline{MS} factorisation scheme to leave finite remainder $C_{2,\mathbb{P}}$.

- Here, take **NLO** $C_{2,a}$ and $P_{aa'}$ ($a, a' = q, g$), but **LO** $C_{2,\mathbb{P}}$ and $P_{a\mathbb{P}}$.
 - Work in Fixed Flavour Number Scheme (no charm DPDF), with charm production via NLO $\gamma^* g^{\mathbb{P}} \rightarrow c\bar{c}$ [Riemersma *et al.*, '95] and LO $\gamma^* \mathbb{P} \rightarrow c\bar{c}$ [Levin–Martin–Ryskin–Teubner, '97].
 - For light quarks, include LO $\gamma_L^* \mathbb{P} \rightarrow q\bar{q}$ (higher-twist), with LO $\gamma_T^* \mathbb{P} \rightarrow q\bar{q}$ contribution given by $C_{T,\mathbb{P}} = F_{T,q\bar{q}}^{D(3)} - F_{T,q\bar{q}}^{D(3)} \Big|_{\mu^2 \ll Q^2}$. This subtraction defines a choice of factorisation scheme.

Analysis of H1 LRG data (hep-ex/0606004)

- Take input quark singlet and gluon densities at $Q_0^2 = 2 \text{ GeV}^2$ in the form:

$$z\Sigma^D(x_{\mathbb{P}}, z, Q_0^2) = f_{\mathbb{P}}(x_{\mathbb{P}}) A_q z^{B_q} (1-z)^{C_q},$$

$$zg^D(x_{\mathbb{P}}, z, Q_0^2) = f_{\mathbb{P}}(x_{\mathbb{P}}) A_g z^{B_g} (1-z)^{C_g}.$$

- Take $f_{\mathbb{P}}(x_{\mathbb{P}})$ as in the H1 2006 fit with $\alpha_{\mathbb{P}}(0)$, A_a , B_a , and C_a ($a = q, g$) as free parameters.
- Treatment of secondary Reggeon as in H1 2006 fit, i.e. using pion PDFs, but using GRV NLO instead of Owens LO. (N.B. No good reason that the \mathbb{R} PDFs should be same as pion PDFs.)
- Fit H1 LRG data binned at fixed $x_{\mathbb{P}}$ values with cut $M_X \geq 2 \text{ GeV}$. Will study effect of cut $Q^2 \geq Q_{\min}^2$ on fitted data.
- Statistical and systematic experimental errors added in quadrature. (**Caveat:** underestimates numerical values of χ^2 , but central DPDFs obtained should be very close to those obtained treating correlated systematic errors separately.)
- Two types of fits:
 - **“Regge”** = ‘Regge factorisation’ approach (i.e. **no** $C_{2,\mathbb{P}}$ or $P_{a\mathbb{P}}$) \simeq H1 2006 Fit A.
 - **“pQCD”** = ‘perturbative QCD’ approach **with** LO $C_{2,\mathbb{P}}$ and $P_{a\mathbb{P}}$.
- Use MRST2004F3 NLO PDFs with $\Lambda_{\text{QCD}}^{(n_f=3)} = 407 \text{ MeV}$.

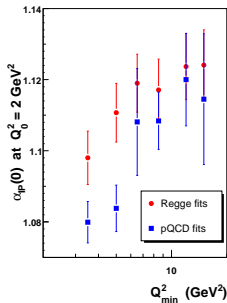
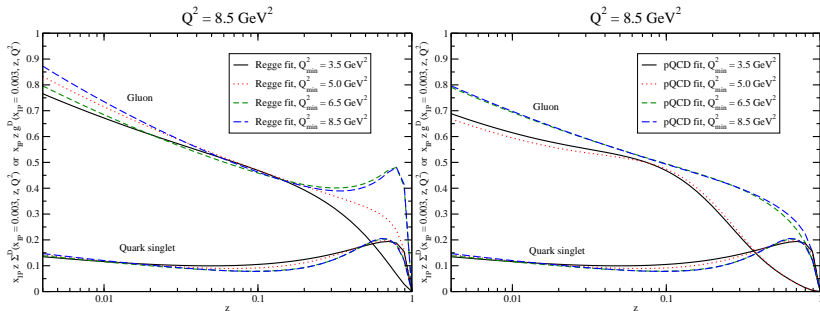
Stability with respect to Q_{\min}^2 variation

- Stability analysis following MRST [EPJC 35 (2004) 325].

Q_{\min}^2 (GeV ²)	3.5	5.0	6.5	8.5	12	15
Number of data points	266	239	214	190	164	141
$\chi^2(Q^2 \geq 3.5 \text{ GeV}^2)$	272 264					
$\chi^2(Q^2 \geq 5 \text{ GeV}^2)$	233 227	222 223				
$\chi^2(Q^2 \geq 6.5 \text{ GeV}^2)$	208 208	186 201	174 186			
$\chi^2(Q^2 \geq 8.5 \text{ GeV}^2)$	178 182	155 172	144 153	142 150		
$\chi^2(Q^2 \geq 12 \text{ GeV}^2)$	156 162	136 153	124 135	123 132	122 131	
$\chi^2(Q^2 \geq 15 \text{ GeV}^2)$	133 138	111 128	100 109	98 104	97 102	96 101
Stability measure Δ_i^{l+1}	0.41 0.15	0.48 0.60	0.08 0.13	0.04 0.04	0.04 0.04	

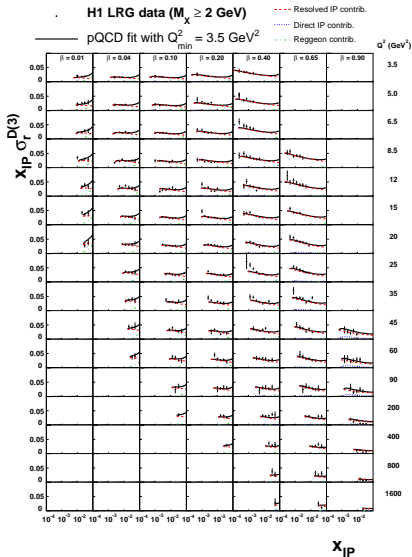
- Both **Regge** and **pQCD** fits stable for $Q_{\min}^2 \gtrsim 6.5 \text{ GeV}^2$. To compare directly with H1 2006 fits, take $Q_{\min}^2 = 8.5 \text{ GeV}^2$ for default fits (conservative choice).

DPDF and $\alpha_{\mathbb{P}}(0)$ dependence on Q_{\min}^2

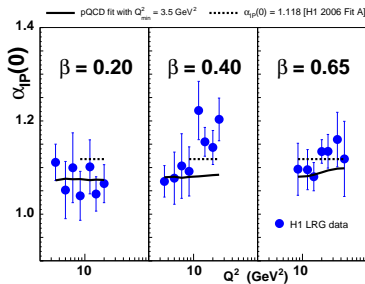


- Again, stability for $Q_{\min}^2 \gtrsim 6.5 \text{ GeV}^2$.
- Required $\alpha_{\mathbb{P}}(0)$ at $Q_0^2 = 2 \text{ GeV}^2$ for pQCD fits is lower than for Regge fits.

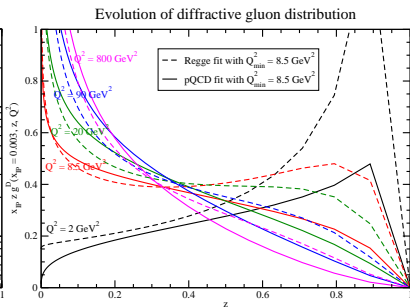
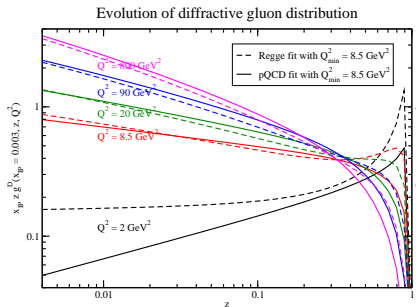
$x_{\mathbb{P}}$ dependence of H1 LRG data



- Fit $\sigma_r^{D(3)} \propto f_{\mathbb{P}}(x_{\mathbb{P}})$ in each (β, Q^2) bin containing four or more data points with $x_{\mathbb{P}} \leq 0.01$, $y \leq 0.45$ and $M_X \geq 2$ GeV.
- For $\beta = 0.40$ and $\beta = 0.65$, clear rise with Q^2 of effective $\alpha_{\mathbb{P}}(0) \Rightarrow x_{\mathbb{P}}$ -factorisation broken.
- Inhomogeneous term depends on $x_{\mathbb{P}}$ and therefore $x_{\mathbb{P}}$ -factorisation is broken when evolving upwards from Q_0^2 to Q^2 (but seems small effect).

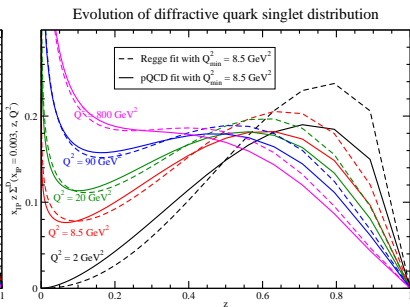
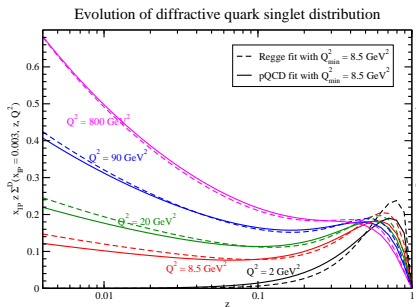


Evolution of diffractive gluon distribution



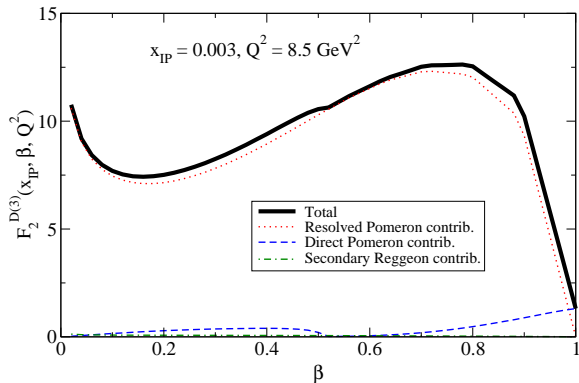
- Extra inhomogeneous term in evolution equation means gluon from pQCD fit needs to be smaller at input scale.

Evolution of diffractive quark singlet distribution



- Quark singlet distribution at input scale is larger at low z in pQCD fit and smaller at large z .

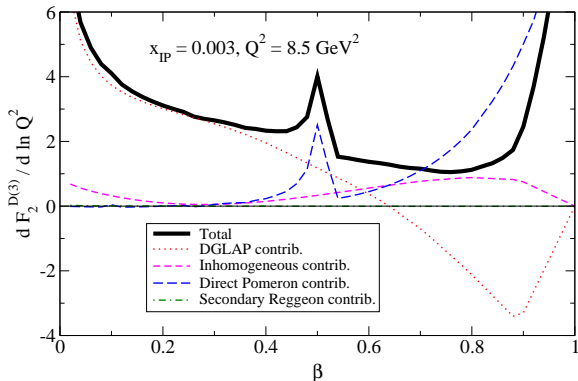
β dependence of $F_2^{D(3)}$



- Direct Pomeron contribution only important for $\beta \gtrsim 0.9$.

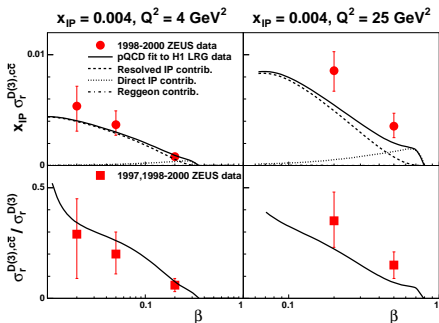
β dependence of $\partial F_2^{D(3)}/\partial \ln Q^2$

$$\text{At LO, } \frac{\partial F_2^{D(3)}}{\partial \ln Q^2} = \sum_q e_q^2 \left(\sum_{a'=q,g} P_{qa'} \otimes a'^D + P_{a\mathbb{P}} f_{\mathbb{P}} \right) + (\text{Direct } \mathbb{P}) + \mathbb{R}.$$

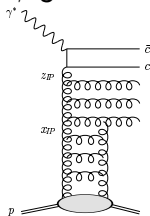


- Peak due to threshold for $\gamma^* \mathbb{P} \rightarrow c\bar{c}$ at $\beta = Q^2/(Q^2 + 4m_c^2)$.
- Additional contributions to scaling violations apart from DGLAP contribution, important for $\beta \gtrsim 0.3$.

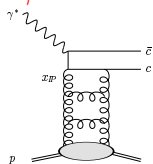
Predictions for diffractive charm production



$$\gamma^* g^{\mathbb{P}} \rightarrow c\bar{c}$$



$$\gamma^* \mathbb{P} \rightarrow c\bar{c}$$



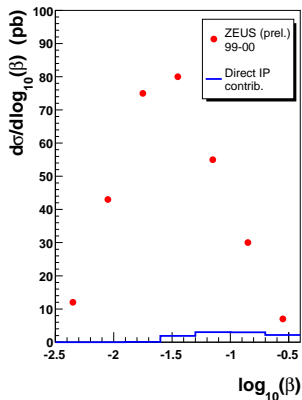
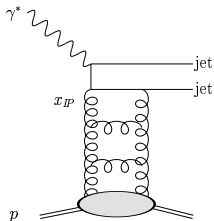
- Direct Pomeron contribution, i.e. $\gamma^* \mathbb{P} \rightarrow c\bar{c}$ ($z_{\mathbb{P}} = 1$), is significant at moderate/high β .
- These charm data points are included in the ZEUS LPS fit [ZEUS: Eur. Phys. J. C **38** (2004) 43], but only the $\gamma^* g^{\mathbb{P}} \rightarrow c\bar{c}$ contribution was included and not the $\gamma^* \mathbb{P} \rightarrow c\bar{c}$ contribution. Therefore, diffractive gluon from ZEUS LPS fit needed to be artificially large to fit the charm data.
- H1 also neglect the $\gamma^* \mathbb{P} \rightarrow c\bar{c}$ contribution (see talk by R. Wolf).

Summary of the Diffractive Working Group at DIS98 (hep-ph/9806485)

*“From the theoretical point of view one also should take into account that the presently available Monte Carlo models are assuming an **illegitimate Regge factorisation**, in which hard scale dependencies on $x_{\mathbb{P}}$ and β as found in theoretical QCD analyses, and which characterise the final state, are neglected. For instance, one treats the charm production as **entirely due to the familiar photon–gluon fusion, neglecting the direct charm–anticharm excitation** which some theorists claim to be substantial. In this approximation, in order to reproduce the diffractive charm signal one needs a hard glue in the Pomeron fits. Therefore the conclusions drawn from these Monte Carlo studies as to the physical picture underlying the diffractive final states should be handled with care.”*

No progress in theory used by H1/ZEUS in 8 years?

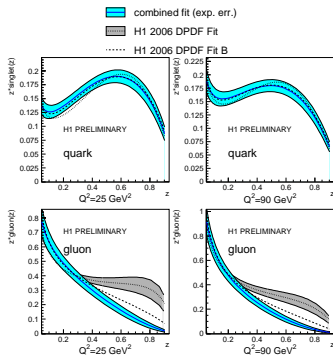
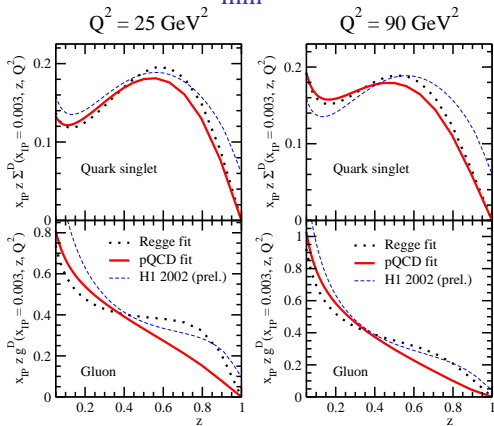
Direct Pomeron contribution to dijet production



- Direct Pomeron contribution ($Z_{\mathbb{P}} = 1$) [EPJC **44** (2005) 69] calculated with ZEUS (prel.) kinematic cuts (see talk by A. Bonato): 31% of data in largest β bin.
- Alternative calculations for exclusive dijets by Braun and Ivanov [PRD **72** (2005) 034016].
- H1 combined fit is to dijet data with $Z_{\mathbb{P}} < 0.9$ integrated over β . Therefore, can neglect direct Pomeron contribution and include only the resolved Pomeron contribution using NLOJET++.
- Aside: inconsistency in heavy quark treatment. H1 2006 fit is done in FFNS with **massive** heavy quark contributions, but jet coefficient functions used in programs like NLOJET++ and DISSENT^a are computed for **massless** partons.

^aNote that DISSENT is known to have a small bug at the 1–2% level [Z. Trócsányi, hep-ph/0512004].

DPDFs with $Q_{\min}^2 = 8.5 \text{ GeV}^2$ compared to H1 DPDFs

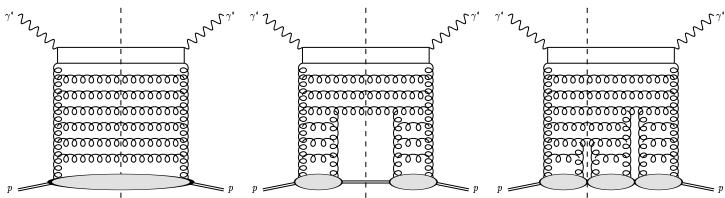


- Regge fit \simeq H1 2006 Fit A. **pQCD fit** closer to H1 combined fit than H1 2006 Fit A **without** including jet data \Rightarrow will describe dijet data better than H1 2006 Fit A.
- H1 combined fit determines gluon **directly** from dijet data, whereas fits only to inclusive DDIS data determine gluon only **indirectly** so more sensitive to details of evolution, i.e. better test of theory used. Including dijet data in the fit is **not** necessarily a good thing if the theory is unreliable.
- H1 χ^2 for 190 inclusive DDIS points is 158 (H1 Fit A), 164 (H1 Fit B), 169 (H1 combined fit), so some tension between inclusive DDIS and jet data which is alleviated by inclusion of inhomogeneous term in evolution equation.

Further corrections to DPDF evolution

- NNLO parton-to-parton splitting functions (known).
- NLO Pomeron-to-parton splitting functions (unknown).
- Absorptive corrections. Schematically,

$$\frac{\partial g^D}{\partial \ln Q^2} = P_{gg} \otimes g^D + P_{g\mathbb{P}} \otimes g^2 - 4P_{g\mathbb{P}} \otimes gg^D + \dots$$



Possible that further corrections will stabilise the results of the fit with respect to the Q_{\min}^2 cut.

Conclusions

- Collinear factorisation holds, but we need to account for the **direct Pomeron** coupling:

$$F_2^{D(3)} = \sum_{a=q,g} C_{2,a} \otimes a^D + C_{2,\mathbb{P}}$$

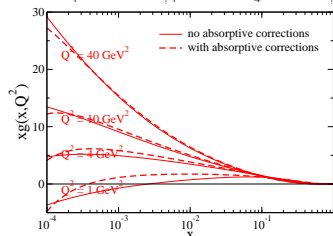
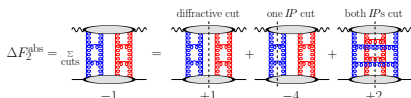
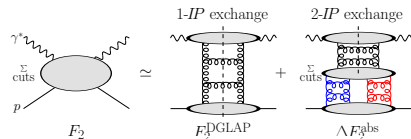
$$\frac{\partial a^D}{\partial \ln Q^2} = \sum_{a'=q,g} P_{aa'} \otimes a'^D + P_{a\mathbb{P}}(z) f_{\mathbb{P}}(x_{\mathbb{P}}; Q^2)$$

Direct coupling and inhomogeneous evolution analogous to the photon case. **Direct Pomeron** contribution should also be included when calculating jet or heavy quark production.

- New analyses from H1 are a dramatic improvement on previous attempts, but **still** do not include the **direct Pomeron** contributions.
- Evidence of instability in the fits for $Q_{\min}^2 \lesssim 6.5 \text{ GeV}^2$: further theoretical corrections such as NLO $P_{a\mathbb{P}}$ or absorptive corrections may help.
- Claims about factorisation breaking based on previous diffractive PDFs will need to be re-examined. Need to have good understanding of $\gamma^* p$ (HERA) before extending, in turn, to γp (HERA), $p\bar{p}$ (Tevatron) and pp (LHC). Recent H1 and ZEUS data are a large step towards this goal.

Appendix: Non-linear evolution of inclusive PDFs

$$\frac{\partial a(x, Q^2)}{\partial \ln Q^2} = \sum_{a'=q,g} P_{aa'} \otimes a' - \int_x^1 dx_{\mathbb{P}} P_{a\mathbb{P}}(x/x_{\mathbb{P}}) f_{\mathbb{P}}(x_{\mathbb{P}}; Q^2).$$



- Interesting application of DDIS formalism to calculate shadowing corrections to inclusive DIS via Abramovsky–Gribov–Kancheli (AGK) cutting rules.
- Inhomogeneous evolution of DPDFs \Rightarrow non-linear evolution of inclusive PDFs.
- More precise version of Gribov–Levin–Ryskin–Mueller–Qiu (GLRMQ) equation derived.
- Fit HERA F_2 data similar to MRST2001 NLO fit. Small- x gluon enhanced at low scales.

For more details see Phys. Lett. B **627** (2005) 97 (hep-ph/0508093).