

Common Trends in Cosmology  
and Particle Physics

Lake Balaton, Hungary

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# COSMOLOGICAL INFLATION

**I. Tkachev**

**CERN**

## Outline:

- Basics of Inflation
- Particle creation in classical backgrounds
  - General Theory
  - Examples
  - Applications to Cosmology
    - ★ Creation of Matter
    - ★ Generation of seeds for Structure
- Reheating after Inflation
  - Preheating
  - Turbulence
  - Thermalization

## BASICS OF INFLATION

**Puzzles of classical cosmology which Inflation solves:**

### WHY THE UNIVERSE

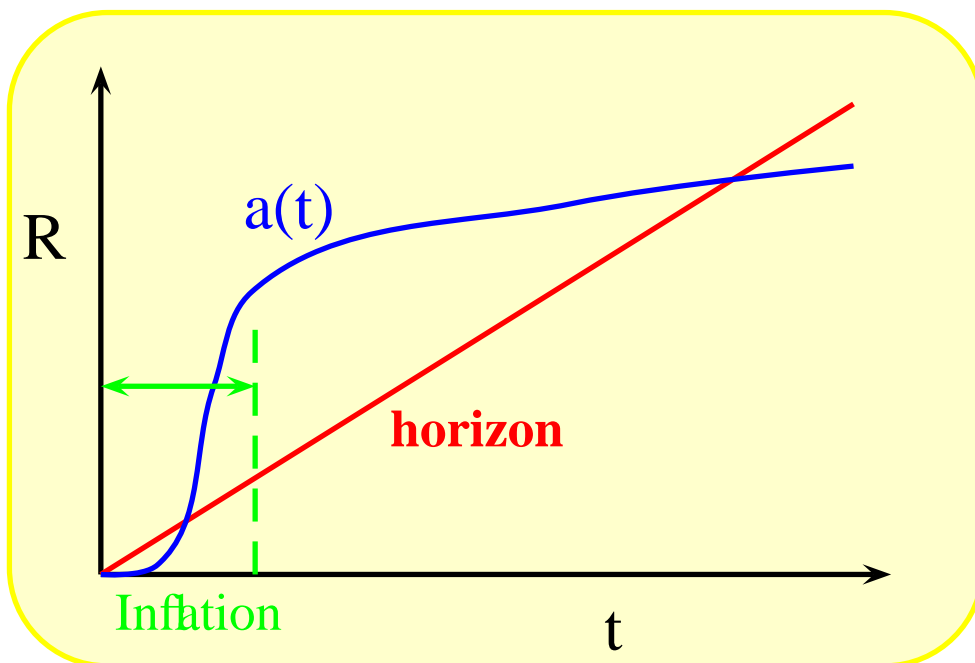
- is so old, big and flat ?  
 $t > 10^{10}$  years
- homogeneous and isotropic?  
 $\delta T/T \sim 10^{-5}$
- contains so much entropy?  
 $S > 10^{90}$
- does not contain unwanted relics?  
(e.g. magnetic monopoles)

## Horizon problem and the solution

Horizon  $\propto t$

Physical size  $\propto a(t) \propto t^\gamma$

“Normal” Friedmann Universe:  $\gamma < 1$



Inflationary Universe:  $\gamma > 1$  or  $\ddot{a} > 0$

$$\ddot{a} = -\frac{4\pi}{3}Ga(\rho + 3p)$$

We have inflation when

$$p < -\rho/3$$

## Getting something for nothing

$$T_{\mu}^{\nu} = \begin{pmatrix} \rho & 0 & 0 & 0 \\ 0 & -p & 0 & 0 \\ 0 & 0 & -p & 0 \\ 0 & 0 & 0 & -p \end{pmatrix}$$

Energy-momentum conservation  $T^{\mu\nu}{}_{;\nu} = 0$  can be written as

$$\frac{d\rho}{dt} + 3H(\rho + p) = 0$$

Consider stress-energy tensor  $T_{\mu\nu}$  for a vacuum.

Vacuum has to be Lorentz invariant, hence

$$T_{\mu}^{\nu} = V \delta_{\mu}^{\nu} \text{ and we find } p = -\rho$$

Energy of the vacuum stays constant  
despite the expansion !

Consider  $T_{\mu\nu}$  for a scalar field  $\varphi$

$$T_{\mu\nu} = \partial_\mu\varphi \partial_\nu\varphi - g_{\mu\nu} \mathcal{L}$$

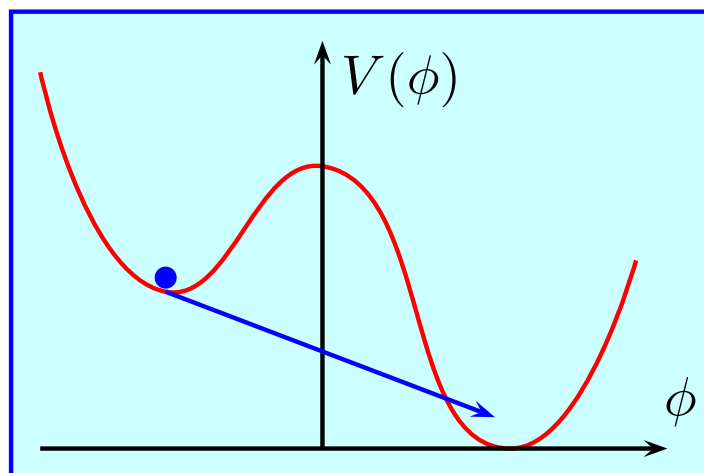
with the Lagrangian :

$$\mathcal{L} = \partial_\mu\varphi \partial^\mu\varphi - V(\varphi)$$

In a state when all derivatives of  $\varphi$  are zero, the stress-energy tensor of a scalar field is that of a vacuum,  $T_{\mu\nu} = V(\varphi) g_{\mu\nu}$  .

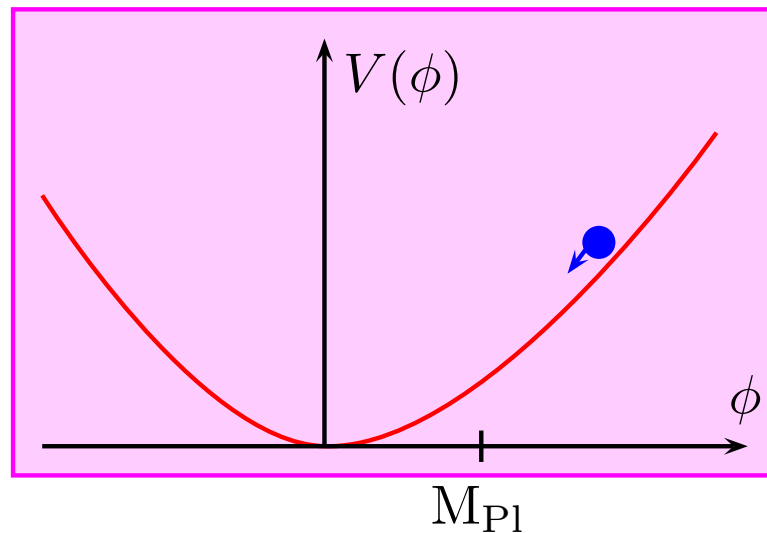
There are two basic ways to arrange  $\varphi \approx \text{const}$  and hence to imitate the **vacuum**-like state.

1. **A. Guth**: consider potential with two minima



2. **A. Linde:** consider the simplest potential

$$V(\varphi) = \frac{1}{2}m^2\varphi^2$$



Equation of motion

$$\ddot{\varphi} + 3H\dot{\varphi} + m^2\varphi = 0$$

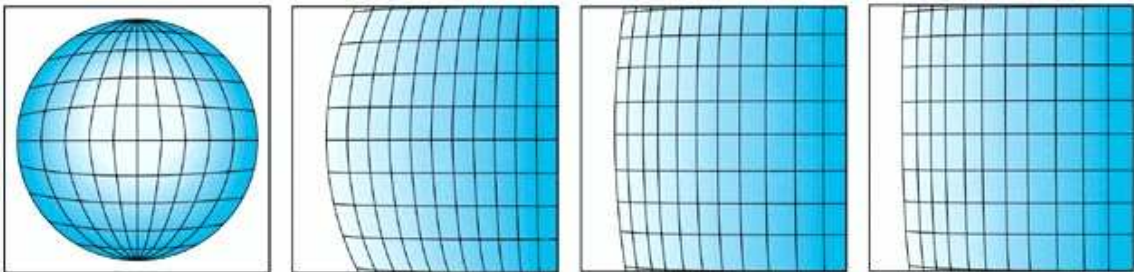
If  $H \gg m$  the field (almost) does not move

$$H \approx m \frac{\varphi}{M_{Pl}} :$$

$\phi > M_{Pl}$	Inflation
$\phi \sim M_{Pl}$	End of Inflation
$\phi < M_{Pl}$	Field oscillates. Reheating

## BASICS OF INFLATION

Volume increases while the energy density stays constant.



Clean ( $n \propto a^{-3}$ ) room for matter is created.

**Crucial prediction: flat Universe,  $\Omega = 1$ .**

But the Universe is in vacuum state.

Where all matter and seeds for structure formation came from ?





## Unified theory of creation

Small fluctuations obey

$$\ddot{U}_k + [k^2 + m_{\text{eff}}^2(\tau)] U_k = 0$$

It is not possible to keep fluctuations in vacuum if  $m_{\text{eff}}$  is time dependent.

Technical remarks:

- This is true for all species
- Equations look that simple in conformal reference frame  $ds^2 = a(\tau)^2 (d\tau^2 - dx^2)$
- For conformally coupled, but massive scalar  $m_{\text{eff}} = m_0 a(\tau)$
- $m_{\text{eff}}$  may be non-zero even for massless fields.
  - graviton is the simplest example  $m_{\text{eff}}^2 = -\ddot{a}/a$
- Of particular interest are ripples of space-time itself
  - curvature fluctuations (scalar)
  - gravitons (tensor)

# QFT in time-dependent background

## Outline:

- General Theory
  - Bosons
  - Fermions
- Some analytical solutions
  - Parametric resonance
  - Parabolic cylinder functions
    - ★ Gravitational particle creation
    - ★ Stochastic resonance
- Transition to classical regime

## General set-up

- Metric  $ds^2 = a(\eta)^2(d\eta^2 - d\mathbf{x}^2)$
- Inflaton Lagrangian  $L = \frac{1}{2}(\partial_\mu\varphi)^2 - V(\varphi)$
- Other fields (may interact with inflaton)

- **Scalar  $X$ :**

$$V = \frac{1}{2}(m_X^2 - \xi R)X^2 + \frac{g^2}{2}\varphi^2 X^2$$

- **Fermion  $\psi$ :**

$$V = (m_\psi + g\varphi)\bar{\psi}\psi$$

It is convenient to rescale fields,  $\phi \equiv \varphi a(\eta)$  and  $\chi \equiv X a(\eta)^s$ , where  $s = 1$  and  $s = 3/2$  for scalar and fermion respectively. Fields are Fourier expanded.

The mode functions, e.g. of a scalar field are solutions of the oscillator equation

$$\ddot{g}_k + \omega_k^2 g_k = 0 ,$$

with the time dependent frequency

$$\omega_k^2 = k^2 - \frac{\ddot{a}}{a}(1 - 6\xi) + m_{\text{eff}}^2(\phi) a^2$$

# QFT in time-dependent background

## Canonical Quantization

Lagrangian

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2$$

Hamiltonian

$$\mathcal{H} = \pi \dot{\phi} - \mathcal{L} = \frac{1}{2} [\pi^2 + (\nabla \phi)^2 + m^2 \phi^2]$$

Conjugated momenta

$$\pi(\mathbf{x}, t) = \frac{\delta \mathcal{L}}{\delta \dot{\phi}(\mathbf{x}, t)} = \dot{\phi}(\mathbf{x}, t)$$

Quantization

$$[\phi(\mathbf{x}, t), \pi(\mathbf{y}, t)] = i\delta(\mathbf{x} - \mathbf{y}). \quad (1)$$

Fourier transform

$$\phi(\mathbf{x}, t) = \frac{1}{(2\pi)^3} \int d^3 k \phi_{\mathbf{k}}(t) e^{i\mathbf{k}\mathbf{x}}$$

reduces equations of motion to

$$\ddot{\phi}_{\mathbf{k}} + \omega_k^2 \phi_{\mathbf{k}} = 0,$$

where

$$\omega_k^2 = \mathbf{k}^2 + m^2.$$

Constraint  $\phi_{\mathbf{k}} = \phi_{-\mathbf{k}}^*$  can be solved explicitly by

$$\phi_{\mathbf{k}}(t) \equiv \frac{(2\pi)^{3/2}}{\sqrt{2\omega_{\mathbf{k}}}} \left( a_{\mathbf{k}}(t) + a_{-\mathbf{k}}^\dagger(t) \right). \quad (2)$$

Now we want to substitute the pair  $\{\phi, \pi\}$  by the pair  $\{a, a^\dagger\}$ . Decomposition for  $\pi$  which complements (2) is

$$\pi(\mathbf{x}, t) = i \int \frac{d^3k}{(2\pi)^{3/2}} \sqrt{\frac{\omega_{\mathbf{k}}}{2}} (a_{-\mathbf{k}}^\dagger - a_{\mathbf{k}}) e^{i\mathbf{k}\mathbf{x}}, \quad (3)$$

and canonical commutation relations (1) will be satisfied if

$$[a_{\mathbf{k}}(t), a_{\mathbf{p}}^\dagger(t)] = \delta(\mathbf{k} - \mathbf{p}).$$

The Hamiltonian in terms of the  $a_{\mathbf{k}}$  and  $a_{\mathbf{k}}^\dagger$  operators can be written as  $H \equiv H_{\text{part}} + H_{\text{vac}}(t)$ , where

$$H_{\text{part}} \equiv \int d^3k \omega_{\mathbf{k}} a_{\mathbf{k}}^\dagger a_{\mathbf{k}},$$

$$H_{\text{vac}}(t) \equiv \frac{V}{(2\pi)^3} \int d^3k \frac{\omega_{\mathbf{k}}}{2}$$

This procedure goes through even if  $\omega$  is time dependent.

## The Fock space

Let us introduce the vacuum state  $|0_t\rangle$

$$a_{\mathbf{k}}(t)|0_t\rangle = 0.$$

Here  $t$  is some specified (but arbitrary at this point) moment of time. The state

$$|n_k\rangle = (a_{\mathbf{k}}^\dagger)^{n_k} |0_t\rangle$$

can be interpreted as a state which contains  $n_k$  particles, each with energy  $\omega_k$ . Indeed

$$H_{\text{part}}|n_k\rangle = \omega_k n_k |n_k\rangle.$$

and

$$N = \int d^3p a_{\mathbf{p}}^\dagger a_{\mathbf{p}}$$

counts the number of particles,  $N|n_k\rangle = n_k |n_k\rangle$ .

In the vacuum state,  $|0_t\rangle$ , the energy takes its lowest possible value at this moment of time

$$H_{\text{vac}}(t) \equiv \langle 0_t | H | 0_t \rangle.$$

This procedure goes through even if  $\omega$  is time dependent.

## Equations of motion

$$\frac{da_{\mathbf{k}}}{dt} = \frac{\partial a_{\mathbf{k}}}{\partial t} + i[H, a_{\mathbf{k}}]$$

Let us invert relations (2) and (3)

$$a_{\mathbf{k}} = \frac{1}{\sqrt{2}} \int \frac{d^3x}{(2\pi)^{3/2}} e^{-i\mathbf{k}\mathbf{x}} \left( \sqrt{\omega_k} \phi + i \frac{\pi}{\sqrt{\omega_k}} \right),$$
$$a_{-\mathbf{k}}^\dagger = \frac{1}{\sqrt{2}} \int \frac{d^3x}{(2\pi)^{3/2}} e^{-i\mathbf{k}\mathbf{x}} \left( \sqrt{\omega_k} \phi - i \frac{\pi}{\sqrt{\omega_k}} \right).$$

The original canonical variables  $\{\phi, \pi\}$  do not have explicit time dependence,  $\partial\phi/\partial t = \partial\pi/\partial t = 0$ ,

but the  $\omega_k$  can be time-dependent.

$$\frac{da_{\mathbf{k}}}{dt} = -i\omega_k a_{\mathbf{k}} + \frac{1}{2} \frac{\dot{\omega}_k}{\omega_k} a_{-\mathbf{k}}^\dagger \quad (4)$$

We see that the solution of the equations of motion for operators can be parametrized as

$$a_{\mathbf{k}}(t) = \alpha_k(t) a_{\mathbf{k}}(0) + \beta_k(t) a_{-\mathbf{k}}^\dagger(0)$$
$$a_{\mathbf{k}}^\dagger(t) = \alpha_k^*(t) a_{\mathbf{k}}^\dagger(0) + \beta_k^*(t) a_{-\mathbf{k}}(0) \quad (5)$$

The commutation relations should be satisfied at any moment of time, therefore  $\alpha$  and  $\beta$  obey the constraint

$$|\alpha_k|^2 - |\beta_k|^2 = 1.$$

An immediate consequence of the relations (5) is that the system which was in vacuum initially,  $a_{\mathbf{k}}(0)|0\rangle = 0$  will not remain in vacuum as the time goes by

$$a_{\mathbf{k}}(t)|0\rangle = \beta_k(t)a_{-\mathbf{k}}^\dagger(0)|0\rangle \neq 0$$

In particular, the number density of particles created from the vacuum is

$$n(t) = \frac{1}{V} \langle 0|N|0\rangle = \frac{1}{(2\pi)^3} \int d^3k |\beta_k(t)|^2$$

To find  $\beta_k(t)$  we substitute Eq. (5) into Eq. (4)

$$\begin{aligned}\dot{\alpha}_k &= -i\omega_k\alpha_k + \frac{1}{2}\frac{\dot{\omega}_k}{\omega_k}\beta_k^* \\ \dot{\beta}_k &= -i\omega_k\beta_k + \frac{1}{2}\frac{\dot{\omega}_k}{\omega_k}\alpha_k^*\end{aligned}$$

Initial conditions are fixed  $\alpha_k(0) = 1$  and  $\beta_k(0) = 0$ .

With  $\omega_k(t)$  being given we solve this system of four ordinary differential equations and

**This is it for the general theory !**



## Adiabaticity condition

The number of particles created during the time interval  $\Delta t \sim \omega_k^{-1}$  is

$$|\Delta|\beta_k|^2| < \frac{1}{4} \left( \frac{\dot{\omega}_k}{\omega_k^2} \right)^2 .$$

The particle number is conserved approximately if

$$\left| \frac{\dot{\omega}_k}{\omega_k^2} \right| \ll 1 .$$

Such approximately conserved quantities are called adiabatic invariants.

## Mode Functions

One can do field decomposition over time independent operators as well

$$\phi(\mathbf{x}, t) = \int \frac{d^3 k}{(2\pi)^{3/2}} (g_k(t) a_{\mathbf{k}}(0) e^{i\mathbf{k}\mathbf{x}} + \text{h.c.}) .$$

Equation of motion for the mode functions

$$\ddot{g}_k + \omega_k^2 g_k = 0 .$$

Comparing to decomposition of  $\phi(\mathbf{x}, t)$  over  $a(t)$  we find immediately

$$\beta_k^* = \frac{\omega_k g_k - i\dot{g}_k}{\sqrt{2\omega_k}} ,$$
$$\alpha_k = \frac{\omega_k g_k + i\dot{g}_k}{\sqrt{2\omega_k}} .$$

This gives in particular

$$|\beta_k|^2 = \frac{|\dot{g}_k|^2 + \omega_k^2 |g_k|^2}{2\omega_k} - \frac{1}{2} .$$

## Diagonalization of the Hamiltonian

$$H = \int d^3k [ E_k(t) ( a_{\mathbf{k}}^\dagger(0) a_{\mathbf{k}}(0) + a_{\mathbf{k}}(0) a_{\mathbf{k}}^\dagger(0) ) \\ + F_k(t) a_{\mathbf{k}}(0) a_{-\mathbf{k}}(0) + F_k^*(t) a_{\mathbf{k}}^\dagger(0) a_{-\mathbf{k}}(0)^\dagger ] ,$$

where

$$E_k(t) = \frac{1}{2} ( |\dot{g}_k|^2 + \omega_k^2 |g_k|^2 ) ,$$

$$F_k(t) = \frac{1}{2} ( \dot{g}_k^2 + \omega_k^2 g_k^2 ) .$$

**Bogolyubov's transformation:**

$$a_{\mathbf{k}} = \alpha_k b_{\mathbf{k}} + \beta_k b_{-\mathbf{k}}^\dagger ,$$

$$a_{\mathbf{k}}^\dagger = \alpha_k^* b_{\mathbf{k}}^\dagger + \beta_k^* b_{-\mathbf{k}} .$$

$$|\beta_k|^2 = \frac{2E_k - \omega_k}{2\omega_k} .$$

## Fermions

Heisenberg equations of motion give

$$\dot{\alpha}_k = -i\omega_k \alpha_k + \frac{k\dot{m}}{2\omega_k^2} \beta_k^* ,$$

$$\dot{\beta}_k = -i\omega_k \beta_k - \frac{k\dot{m}}{2\omega_k^2} \alpha_k^* .$$

In terms of mode functions:

$$\ddot{u}_\pm + (\omega_k^2 \pm i\dot{m})u_\pm = 0 .$$

we have

$$|\beta_k|^2 = \frac{\omega_k \pm m + \text{Im}(u_\pm^* \dot{u}_\pm)}{2\omega_k} .$$

## Initial conditions: vacuum

If we are working in terms of  $\alpha_k$  and  $\beta_k$ , the vacuum initial conditions correspond to

$$\alpha_k(0) = 1, \quad \beta_k(0) = 0.$$

If we are working in terms of mode functions, the vacuum initial conditions can be obtained using already displayed relations between both sets of variables, e.g.

$$g_k(t) = \frac{\alpha_k + \beta_k^*}{\sqrt{2\omega_k}}.$$

$$\dot{g}_k(t) = i\sqrt{\frac{\omega_k}{2}} (\beta_k^* - \alpha_k)$$

We obtain

- **Bosons**

$$g_k(0) = \frac{1}{\sqrt{2\omega}}, \quad \dot{g}_k(0) = -i\omega g_k(0)$$

- **Fermions**

$$u_k(0) = \sqrt{1 - \frac{m_{\text{eff}}}{\omega}}, \quad \dot{u}_k(0) = -i\omega u_k(0)$$

## Particle number vs variance

### Useful quantities

- Particle number
  - $n_k = |\beta_k|^2$
  - Adiabatic invariant at **sub-horizon** scales (if  $m > H$ )
  - Allows to calculate e.g. dark matter abundances
  - But has no meaning at super-horizon scales
- Field variance
  - $\langle \phi^2 \rangle$
  - Does not evolve at **super-horizon** scales (if  $m < H$ )
  - Allows to calculate density perturbations generated during inflation
  - Crucial for dynamics of phase transitions
  - Helps to calculate back-reaction in a simple way (Hartree approximation)
  - But evolves on sub-horizon scales

## Variances

### Bose field

$$\langle 0 | \phi^2(x) | 0 \rangle_{\text{reg}} = \int \frac{d^3 k}{(2\pi)^3} \frac{|\beta_k|^2 + \text{Re}(\alpha_k \beta_k)}{\omega_k}$$

or

$$\langle 0 | \phi^2(x) | 0 \rangle_{\text{reg}} = \int \frac{d^3 k}{(2\pi)^3} \left( |g_k|^2 - \frac{1}{2\omega_k} \right)$$

### Fermion field

$$\langle 0 | \bar{\psi}(x) \psi(x) | 0 \rangle_{\text{reg}} = 2 \sum_s \int \frac{d^3 k}{(2\pi)^3} \frac{m |\beta_k|^2 - k \text{Re}(\alpha_k \beta_k)}{\omega_k}$$

or

$$\langle 0 | \bar{\psi}(x) \psi(x) | 0 \rangle_{\text{reg}} = 2 \int \frac{d^3 k}{(2\pi)^3} \left[ |u_-|^2 + \frac{m}{\omega_k} - 1 \right]$$

# EXAMPLES

## Parametric resonance

Consider system of two interacting fields

$$V_{\text{int}}(\chi, \varphi) = \frac{1}{2}M^2\varphi^2 + \frac{1}{2}m^2\chi^2 + \frac{1}{2}g^2\varphi^2\chi^2 .$$

Assume the field  $\varphi$  has non-zero expectation value

$\varphi(t) = \varphi_0 \cos(Mt)$  . This creates effective mass for the field  $\chi$ :  $m_{\text{eff}}^2 = m^2 + g^2\varphi^2$  and

$$\omega_k^2(t) = \mathbf{k}^2 + m^2 + \frac{1}{2}g^2\varphi_0^2 + \frac{1}{2}g^2\varphi_0^2 \cos(2Mt)$$

Equation for the mode functions

$$\ddot{g}_k + \omega_k^2 g_k = 0 .$$

can be reduced to the standard form of the **Mathieu** equation

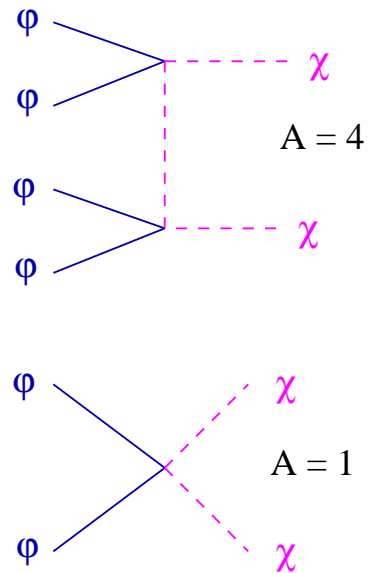
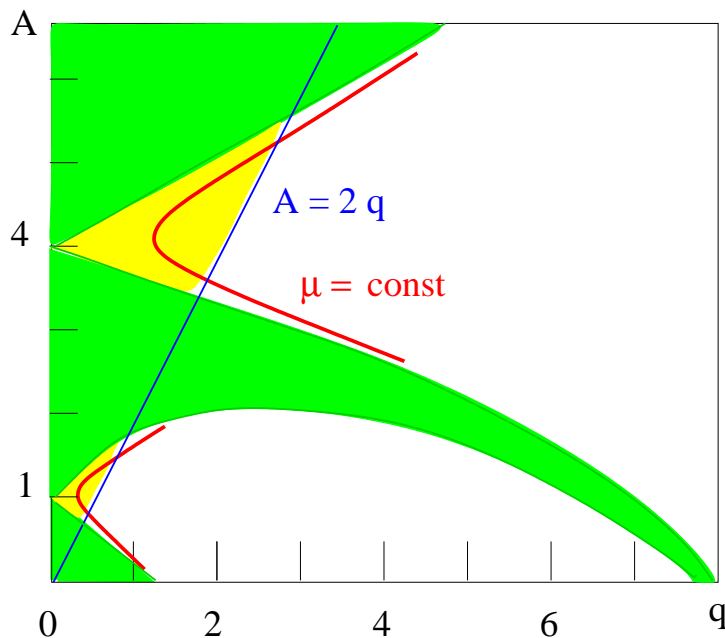
$$g_{\mathbf{k}}'' + [A_k - 2q \cos 2\tau]g_{\mathbf{k}} = 0 ,$$

where  $q \equiv \frac{g^2\varphi_0^2}{4M^2}$  and  $A_k \equiv \frac{k^2+m^2}{M^2} + 2q$  ( $A > 2q$ ).



## Stability-Instability zones

$$q = \frac{g^2 \varphi_0^2}{4M^2} \quad A_k = \frac{k^2 + m^2}{M^2} + 2q$$



In unstable bands (yellow)  $g \propto e^{\mu\tau}$

$$\mu_1 = \frac{q m}{2}, \quad \mu_2 = \frac{q^2 m}{16}, \quad \dots$$

$$\delta k \approx \mu$$

# EXAMPLES

## Parabolic Cylinder Functions

Analytical solutions of a large class of problems of particle creation in time varying background can be expressed in terms of the well studied parabolic cylinder functions. These are solutions of the equation

$$\frac{d^2 y}{d\tau^2} + \left(\frac{1}{4}\tau^2 + \nu\right) y = 0 \quad (6)$$

### Particle creation during “short” non-adiabatic intervals

Assume  $\omega(t)$  goes through a minimum:

$$\omega_k^2(t) = \omega_k^2(t_*) + \frac{1}{2}\omega_k^2''(t_*)(t - t_*)^2 + \dots$$

and change time variable to  $\tau \equiv [2\omega_k^2''(t_*)]^{\frac{1}{4}} (t - t_*)$  .

Equation for mode functions reduces to Eq. (6) with

$$\nu_k \equiv \frac{\omega_k^2(t_*)}{\sqrt{2\omega_k^2''(t_*)}} .$$

The answer:

$$|\beta_k|^2 = e^{-2\pi\nu_k}$$

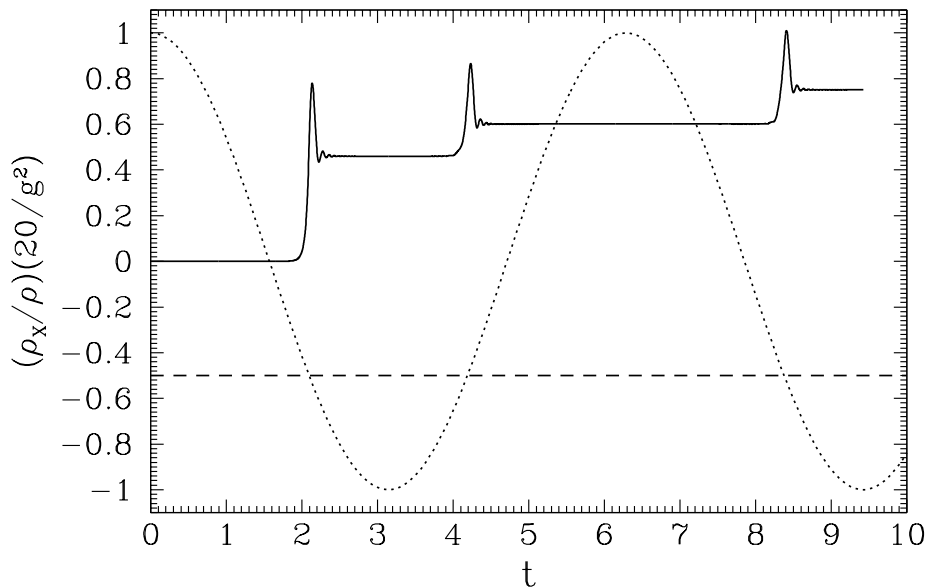
# EXAMPLES

## 1. Coupling to classical scalar field

Consider Fermion  $\psi$  coupled to classical scalar field  $\phi(t)$ ,  
 $\mathcal{L}_Y = g\phi\bar{\psi}\psi$ . The effective mass of the fermion field

$$m_{\text{eff}}(t) = m_\psi + g\phi(t).$$

Creation occurs at  $m_{\text{eff}} = 0$  :



We can disregard details of evolution and write

$$m_{\text{eff}} = g\phi'_*(t - t_0)$$

Equation for mode function reduces to

$$u'' + (p^2 - i + \tau^2)u = 0$$

where  $p \equiv k/\sqrt{g\phi'_*}$  and  $\tau \equiv (t - t_0)\sqrt{g\phi'_*}$

# EXAMPLES

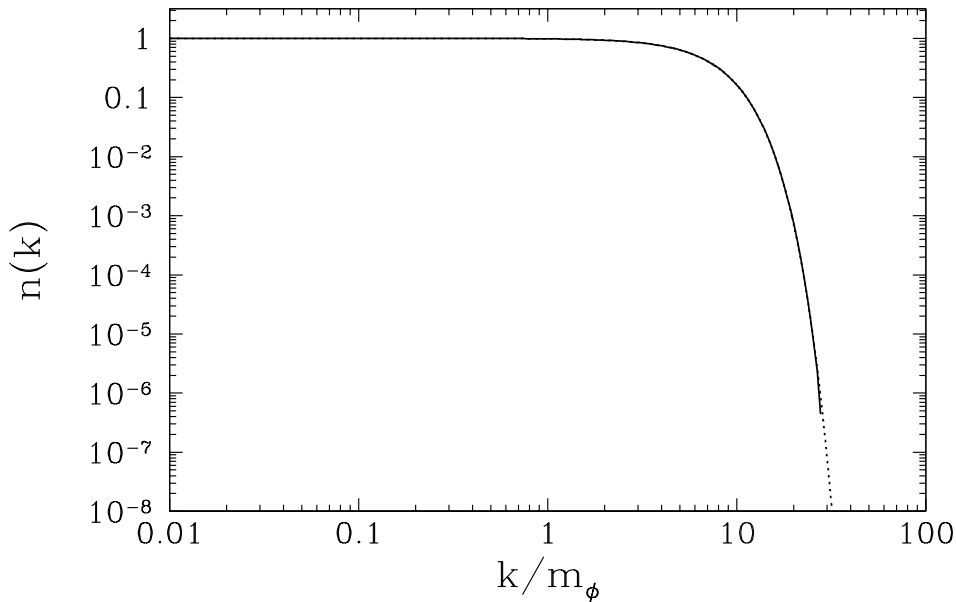
Solutions are Parabolic Cylinder functions and

$$n(k) = \exp(-\pi k^2 / g\phi'_*)$$

For harmonic oscillations in flat space-time this gives

$$n(k) = \exp\left(\frac{-\pi k^2}{m_\phi^2 \sqrt{4q - m_X^2/m_\phi^2}}\right)$$

$$q \equiv \frac{g^2 \phi^2(0)}{4m_\phi^2}$$



Solid line: numerical integration of complete problem with

$$q = 10^4 \quad \text{and} \quad m_X/m_\phi = 100 .$$

Dotted line: analytical approximation based on Parabolic Cylinder functions.

## 2. Gravitational particle production in an expanding Friedmann Universe

In conformal reference frame

$$ds^2 = a^2(t)(d\tau^2 - d\mathbf{x}^2)$$

the frequency of field  $\chi \equiv a\phi$  is

$$\omega_k^2 = k^2 + m^2 a^2 - \frac{a''}{a}(1 - 6\xi),$$

where  $\xi$  is coupling to curvature,  $\frac{1}{2}\xi R\phi$ .

In radiation dominated universe  $a'' = 0$  and  $a(\tau) = H_0\tau$ .

Problem is reduced to Parabolic Cylinder Functions with

$$\nu_k \equiv \frac{k^2}{2mH_0}.$$

This gives

$$n = 1.495 \times 10^{-3} \frac{(mH_0)^{\frac{3}{2}}}{a^3}$$

Regime is adiabatic at  $\tau > \tau_* = 1/\sqrt{mH_0}$ .

Therefore, particles are created when  $H > m$ . In general,

$$n = \frac{m^3}{a^3} C$$

## Schroedinger picture of evolution

Find  $U(t)$  such that

$$a_k(t) = U^\dagger(t)a_k(0)U(t).$$

Solution of the Schroedinger equations of motion

$$|\psi(t)\rangle = U(t)|0\rangle$$

( $|\psi(t)\rangle$  is called Squeezed state).

Clearly, vacuum at time  $t$  is given by

$$|0_t\rangle = U^\dagger(t)|0\rangle.$$

Since we know  $a(t)$ , we can also find

$$U a_k(0) U^\dagger = \alpha_k^* a_{\mathbf{k}}(0) - \beta_k a_{-\mathbf{k}}^\dagger(0).$$

This product annihilates  $|\psi(t)\rangle$ , i.e. Schroedinger equation can be written as

$$U a_k(0) U^\dagger |\psi(t)\rangle = 0$$

Expressing  $a_k$  via field and its conjugate momenta gives

$$U a_k(0) U^\dagger = \frac{(\alpha_{\mathbf{k}}^* - \beta_{\mathbf{k}})\omega_k \phi_{\mathbf{k}} + i(\alpha_{\mathbf{k}}^* + \beta_{\mathbf{k}})\pi_{\mathbf{k}}}{\sqrt{2\omega_k}}$$

( $\phi_{\mathbf{k}}$  and  $\pi_{\mathbf{k}}$  should be taken at the initial moment of time.)

## Quantum to classical transition

Therefore,  $|\psi(t)\rangle$  satisfies Schroedinger equation

$$(\Omega_k \phi_{\mathbf{k}} + i\pi_{\mathbf{k}}) |\psi(t)\rangle = 0,$$

where

$$\Omega_k \equiv \frac{\alpha_k^* - \beta_k}{\alpha_k^* + \beta_k} \omega_k,$$

and

$$\pi_{\mathbf{k}} = -i \frac{\partial}{\partial \phi_{-\mathbf{k}}}.$$

This equation is easy to solve

$$\psi(\phi_{\mathbf{k}}, t) = e^{-\Omega_k \phi_{-\mathbf{k}} \phi_{\mathbf{k}}} = e^{-\Omega_k |\phi_{\mathbf{k}}|^2}.$$

In particular, this gives for the probability distribution of field values

$$P(\phi_{\mathbf{k}}, t) = |\psi(\phi_{\mathbf{k}}, t)|^2 = e^{-|\phi_{\mathbf{k}}|^2 / |g_k|^2}.$$

# Cosmological Applications

## Outline:

- Gravitational particle creation
- Coupling to the inflaton as a source of creation
  - Efficiency of particle creation as function of coupling and mass. Hartree approximation
  - Comparison of Fermi and Bose cases
- Lattice results.
  - Efficiency of particle creation
  - Non-thermal phase transitions
- Particle creation during inflation
  - Generation of density perturbations
  - Probe of trans-Planckian physics ?



## Sources of creation

- Expansion of space-time itself,  $a(\tau)$
- Motion of the inflaton field,  $\phi(\tau)$

Both can be operational at any

## Epoch of creation

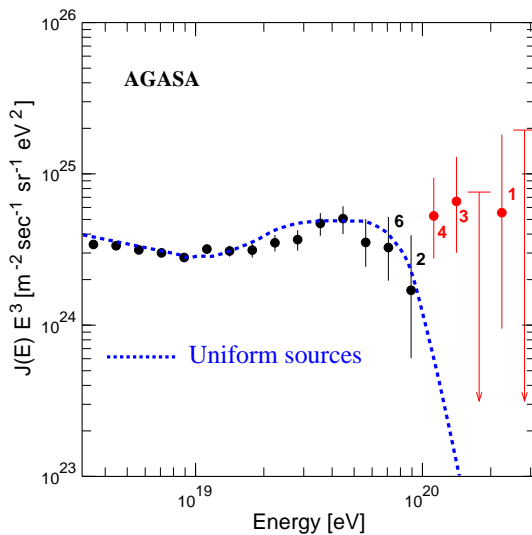
- During inflation  
(superhorizon size perturbations)
- While the inflaton oscillates  
(reheating)

# Gravitational creation of matter

$$m_{\text{eff}} = m_0 a(\tau)$$



**Superheavy Dark Matter  
(WIMPzilla)**



**Ultra High Energy  
Cosmic Rays ?**

Matter dominated Universe,  $\rho = mn$ .

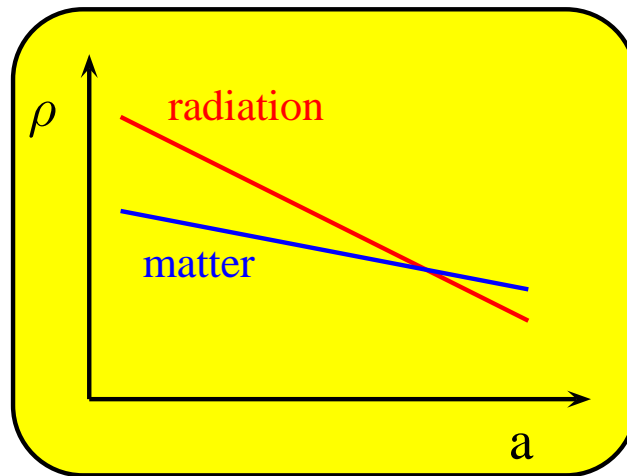
Baryon number conservation ( $N = na^3 = \text{const}$ ):

$$\rho \propto a^{-3} \quad a \propto t^{-2/3}$$

Radiation dominated Universe,  $\rho = T^4$ .

Entropy conservation ( $S = T^3 a^3 = \text{const}$ ):

$$\rho \propto a^{-4} \quad a \propto t^{-1/2}$$



$$T_{\text{eq}} \sim 1 \text{ eV}$$

Even tiny initial amount of matter  
may show up at present

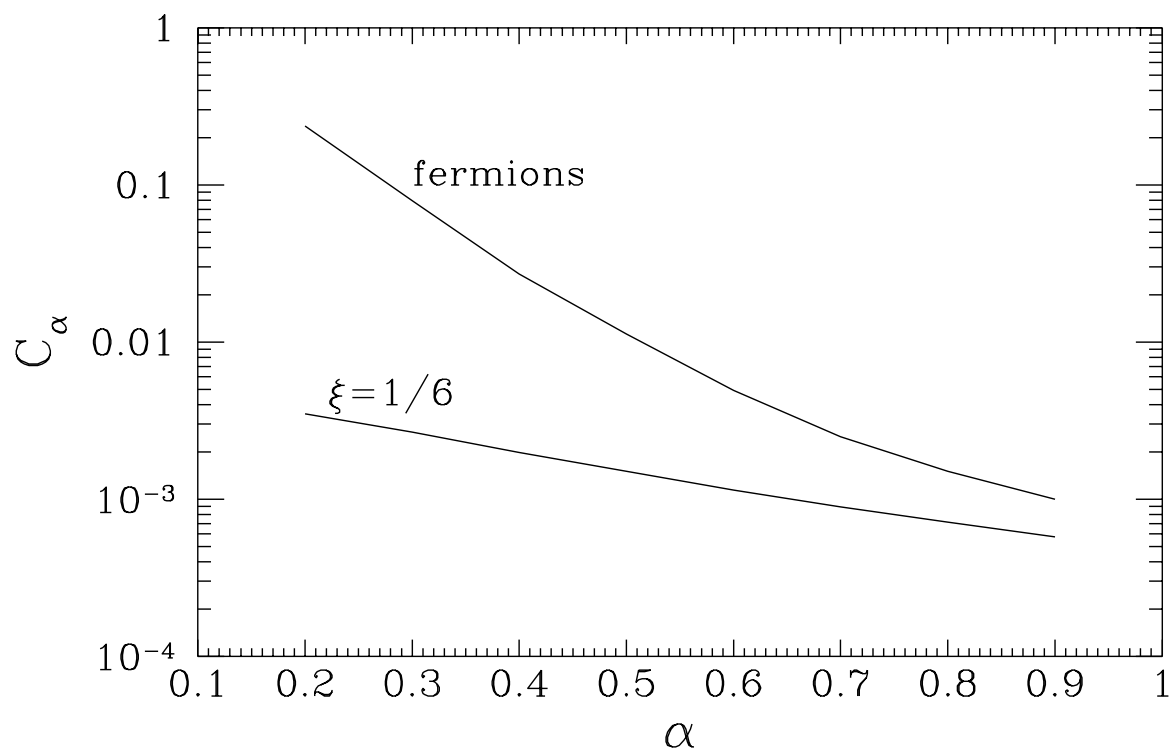
## FRIEDMANN COSMOLOGY

It is the particle mass which couples the system to the background expansion and serves as the source of particle creation. Therefore we expect

$$n_X \propto m_X^3 a^{-3}$$

In Friedmann cosmology,  $a \propto (mt)^\alpha \propto (m/H)^\alpha$ ,

$$n_X = C_\alpha m_X^3 \left( \frac{H}{m_X} \right)^{3\alpha}$$



Stable particles with  $m_X > 10^9$  GeV will overclose the Universe.

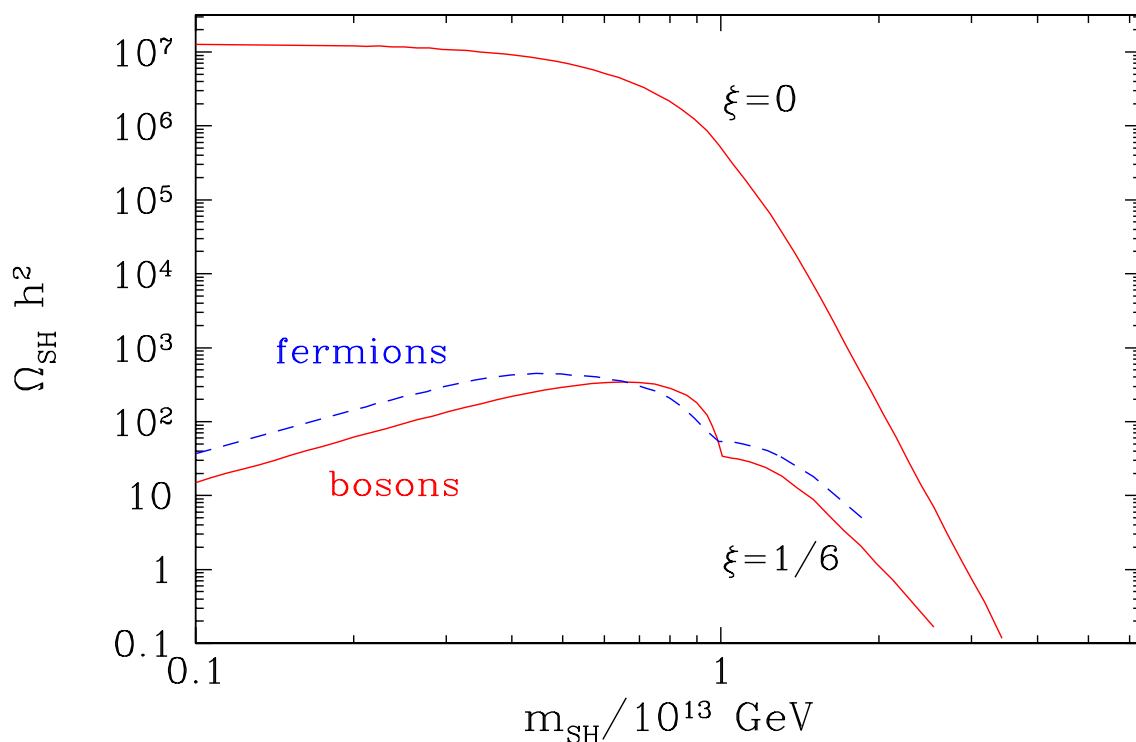
## INFLATIONARY COSMOLOGY

There is no singularity and Hubble constant is limited,

$$H < m_\phi$$

Production of particles with  $m_X > H \sim 10^{13}$  GeV is suppressed.

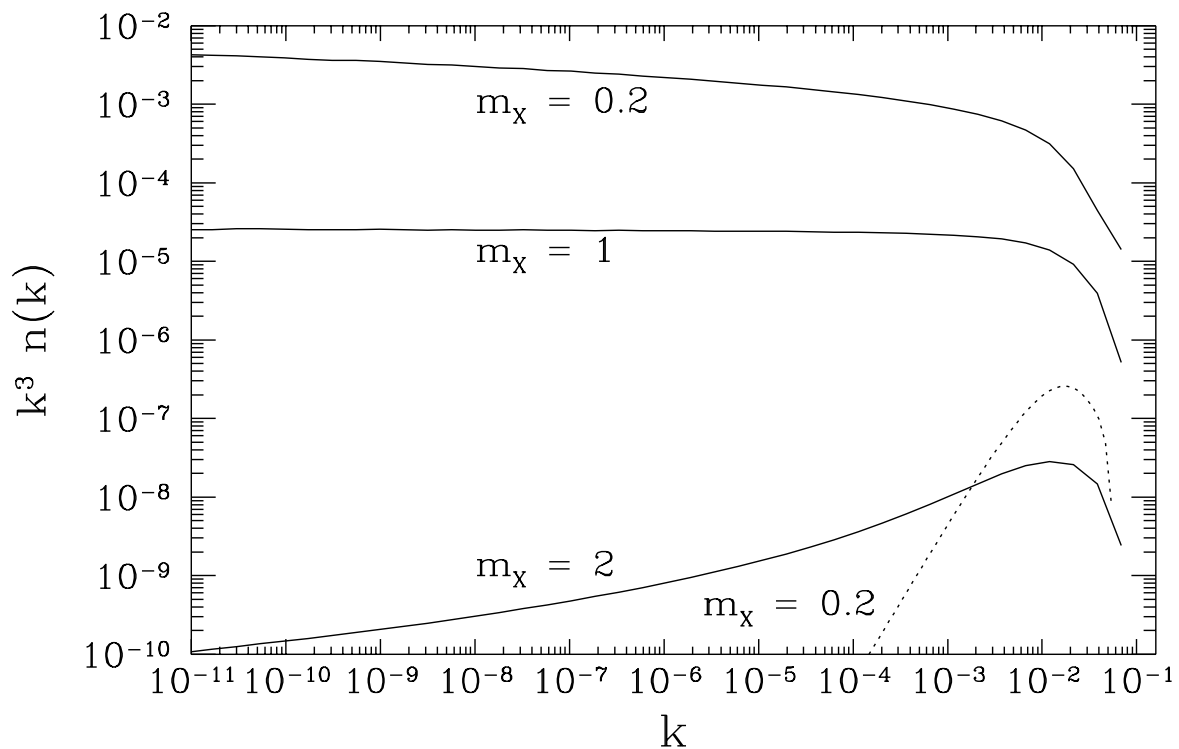
Present day ratio of the energy density in  $X$ -particles to the critical energy density:



Kuzmin & I.T. (1998)

Chung, Kolb & Riotto (1998)

## Spectrum of density perturbations



Dark matter density fluctuations induced in the process of  $X$  particle creation can contribute to fluctuations in CMBR at horizon scale if  $m_X < 3$ .

Kuzmin & I.T. (1998)

## Coupling to the inflaton as a source of creation

scalar  $X$        $m_{\text{eff}}^2 = m_X^2 + g^2 \phi^2(t)$        $L_{\text{int}} = \frac{1}{2} g^2 \phi^2 X^2$

fermion  $\psi$        $m_{\text{eff}} = m_\psi + g\phi(t)$        $L_{\text{int}} = g\phi\bar{\psi}\psi$

## Numerology

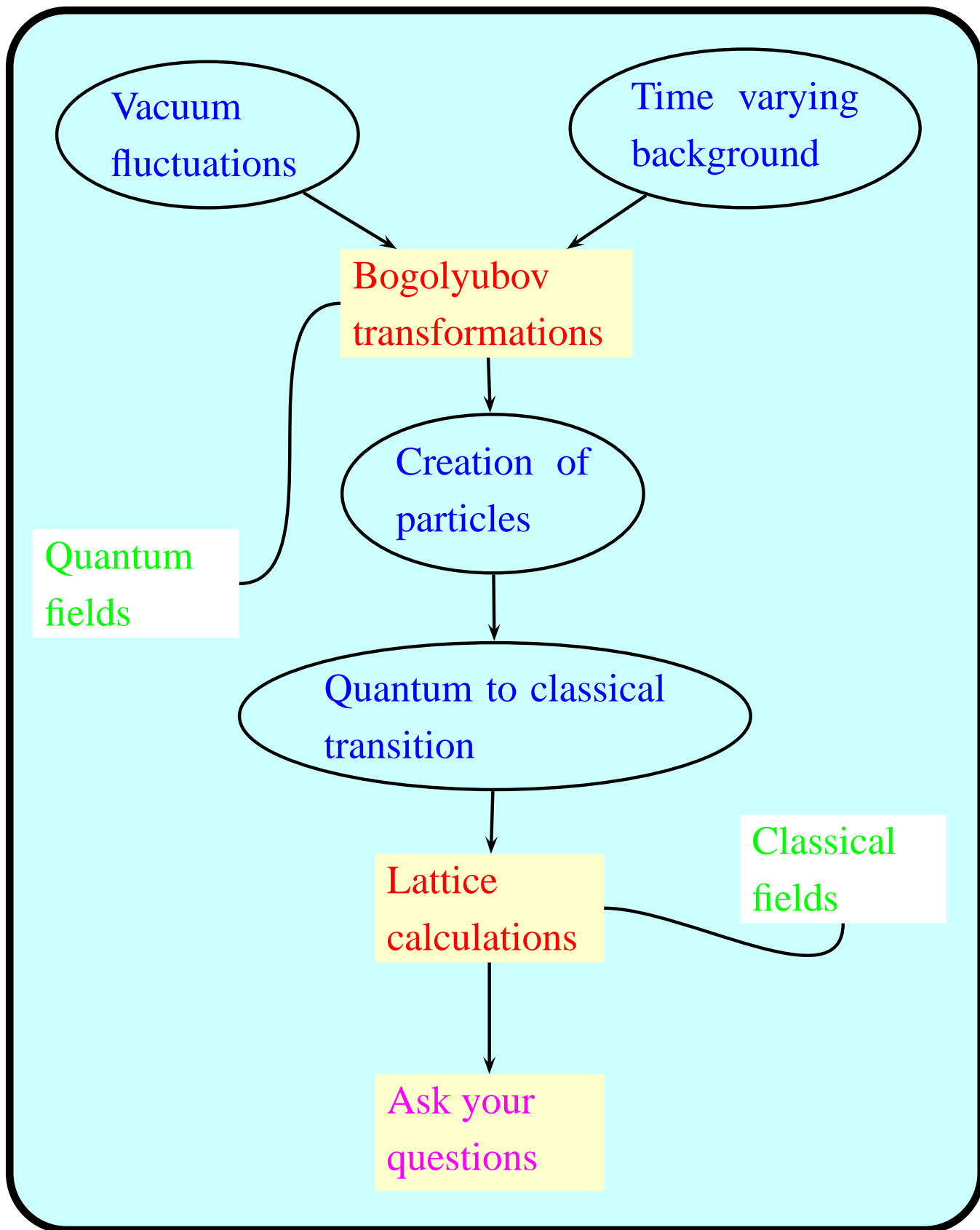
Rescaled coupling:

$$g^2 \rightarrow q \equiv \frac{g^2 \phi^2}{4m_\phi^2}$$

$$\frac{\phi^2}{m_\phi^2} \approx \left( \frac{10^{19} \text{ GeV}}{10^{13} \text{ GeV}} \right)^2 \approx 10^{12}$$

and  $q$  can be enormous.

# Methodology





## **Bose versus Fermi :**

Bose stimulation.  
Occupation numbers  
grow,  $n = e^{\mu t}$

Pauli blocking.  
Occupation numbers  
 $n < 1$



Fast, explosive decay  
of the inflaton  
(1994)  
Kofman, Linde, Starobinsky;  
Traschen, Brandenberger



Large classical fluctuations  
⇓  
non-thermal phase transitions  
Kofman, Linde & Starobinsky (96);  
I. T. (96)

## Bose versus Fermi :

Effective mass

$$m_{\text{eff}}^2 = m^2 + g^2\phi^2$$

Heavy particles are  
always heavy

Effective mass

$$m_{\text{eff}} = m + g\phi$$

Heavy particles are  
massless at  $\phi = -\frac{m}{g}$



Superheavy fermion  
creation

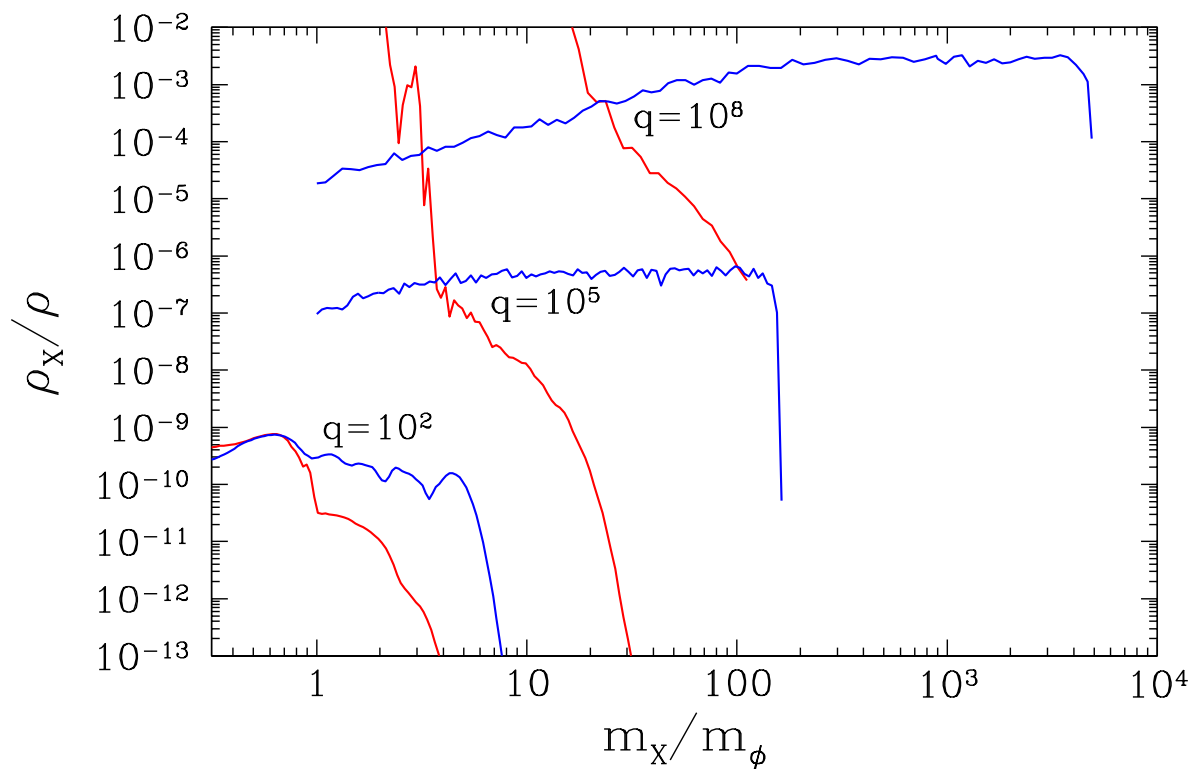
Giudice, Peloso, Riotto, &  
I. T. (99)

## Matter creation: **Bose** versus **Fermi**

Effectiveness of X-particles production in

$$V(\phi) = m_\phi^2 \phi^2 / 2 \quad \text{inflaton model}$$

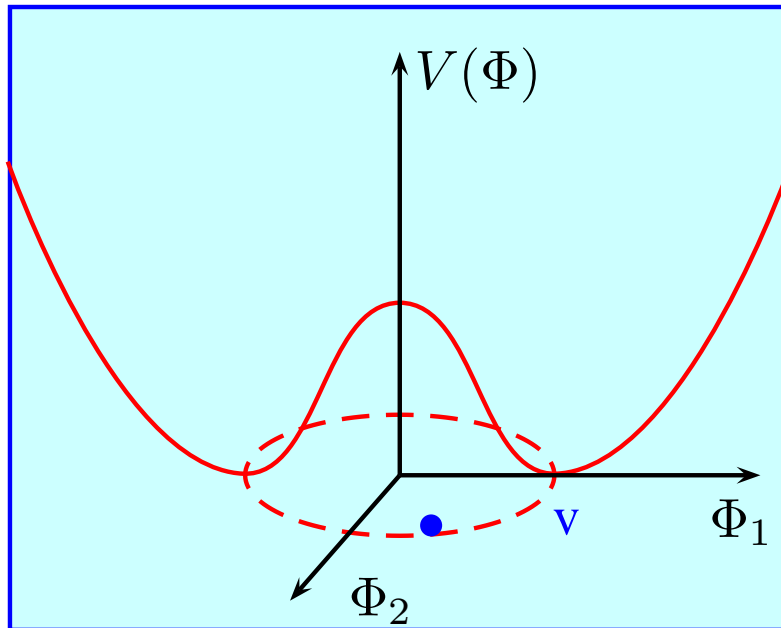
$$q \equiv \frac{g^2 \phi^2(0)}{4m_\phi^2}$$



**Blue** lines: production of **Fermions**.

**Red** lines: production of **Bosons**.

## Symmetry behaviour in a medium



$$V(\Phi) = -\mu^2\Phi^2 + \lambda\Phi^4 = \lambda(\Phi^2 - v^2)^2$$

Consider coupling  $g^2 X^2 \Phi^2$

Effective mass of  $\Phi$  in a medium

$$m_{\text{eff}}^2 = -\mu^2 + g^2 \langle X^2 \rangle$$

Symmetry is restored if  $\langle X^2 \rangle > \frac{\mu^2}{g^2}$

## Large Variances at Preheating

Consider inflaton  $\rightarrow X$

Energy density in  $X$  :

$$\rho_X \propto \dot{X}^2 + \nabla X^2 \approx E^2 \langle X^2 \rangle$$

Assume the decay is instantaneous

$$\rho_X \sim m^2 M_{\text{Pl}}^2$$

We find

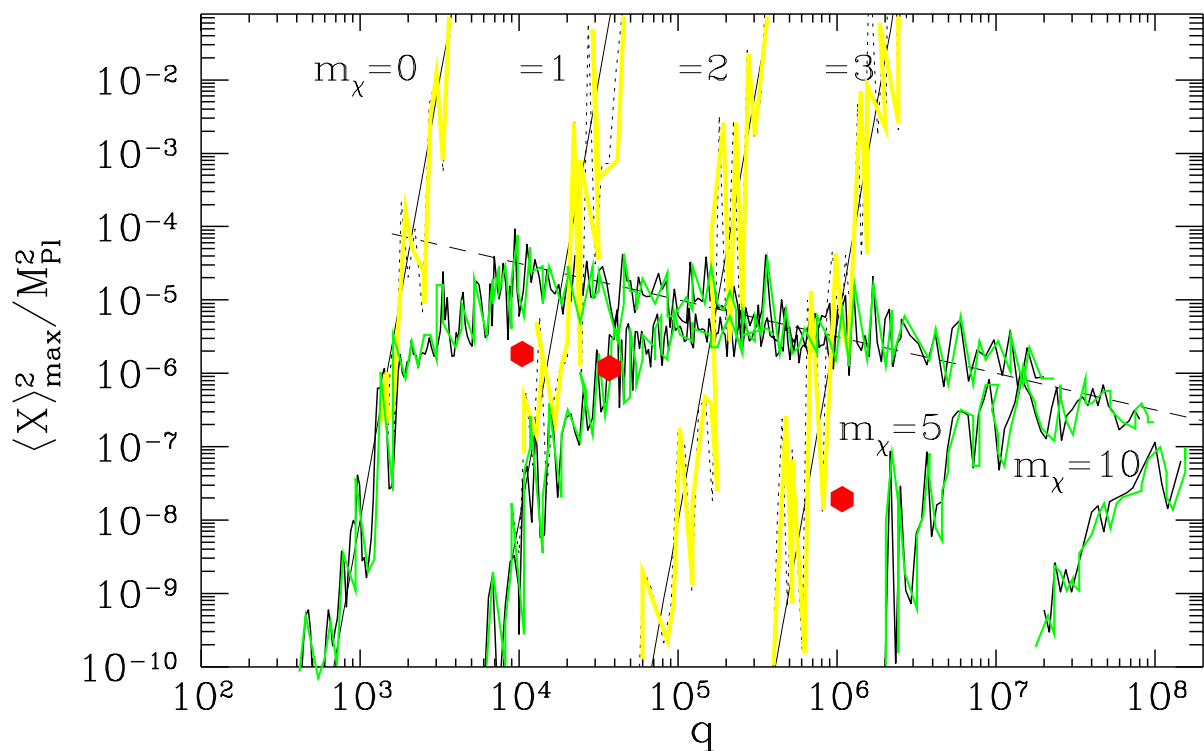
$$\langle X^2 \rangle \sim \frac{\rho}{E^2} \sim \frac{m^2 M_{\text{Pl}}^2}{m^2} \sim M_{\text{Pl}}^2$$

In thermal equilibrium

$$\langle X^2 \rangle = \frac{T^2}{12} \ll M_{\text{Pl}}^2$$

## Field variances (Bosons)

$$V = \frac{m^2}{2} \phi^2 + \frac{g^2}{2} \phi^2 X^2 + \frac{m_X^2}{2} X^2$$

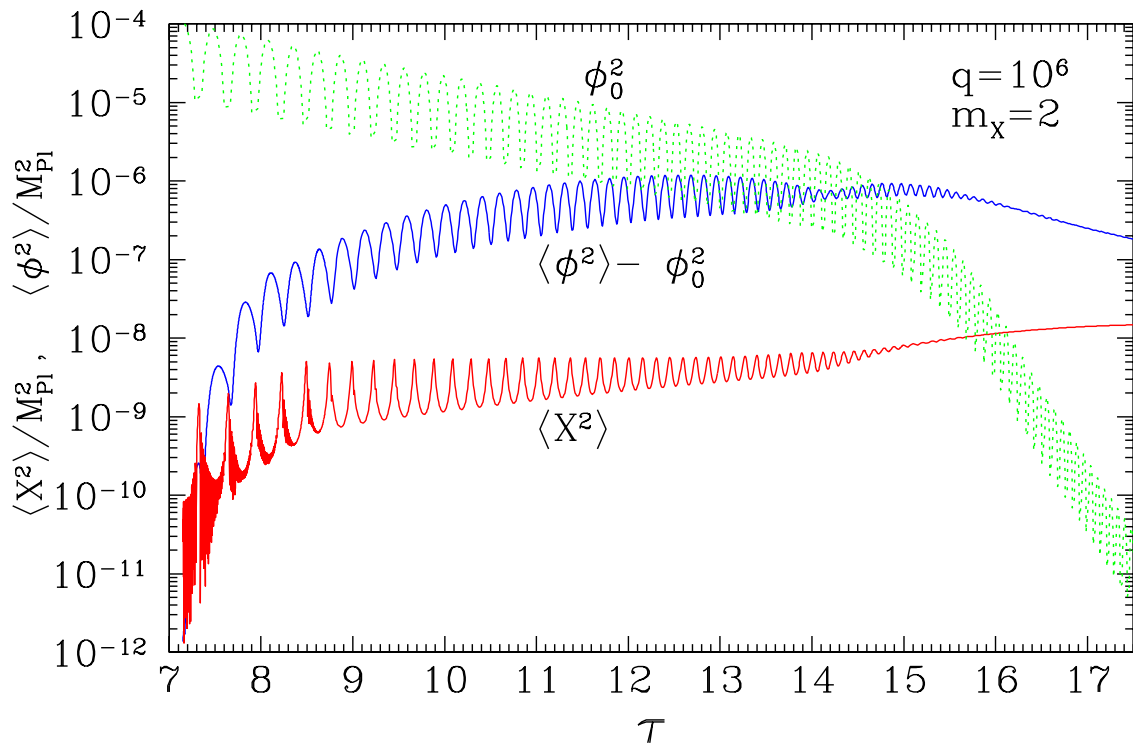


- No back reaction
- Hartree approximation
- ◆ Exact lattice result , massless  $\chi$

$$q \equiv \frac{g\phi_0^2}{4m^2}$$

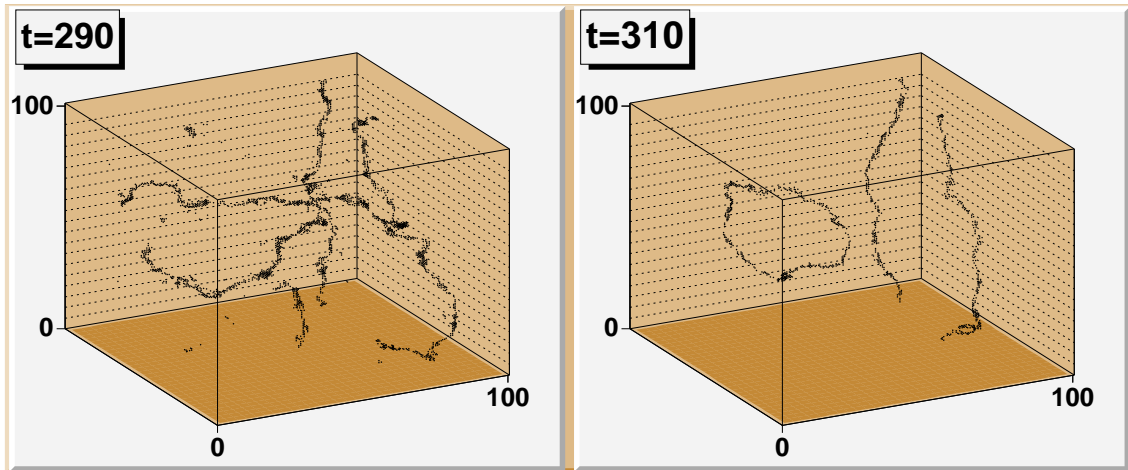
**Field variances (Bosons)**

$$V = \frac{m^2}{2}\phi^2 + \frac{g^2}{2}\phi^2 X^2 + \frac{m_X^2}{2}X^2$$

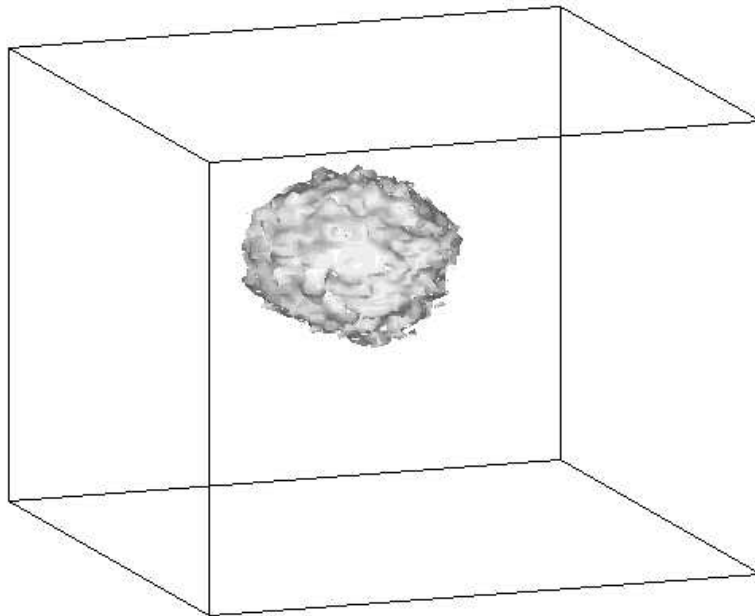


$$q \equiv \frac{g\phi_0^2}{4m^2}$$

## Non-thermal Phase Transitions



String formation in the  $\lambda(\phi_1^2 + \phi_2^2 - v^2)^2$  model,  
 $v \sim 10^{16}$  GeV.

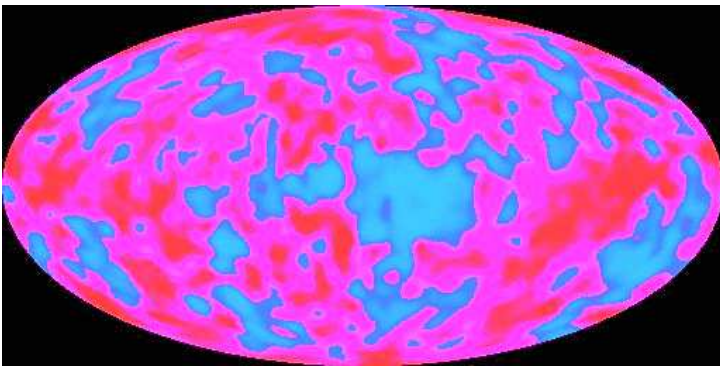


First order phase transition in the  $\lambda(\phi^2 - v^2)^2 + g^2\phi^2 X^2$   
model,  $g^2/\lambda = 200$

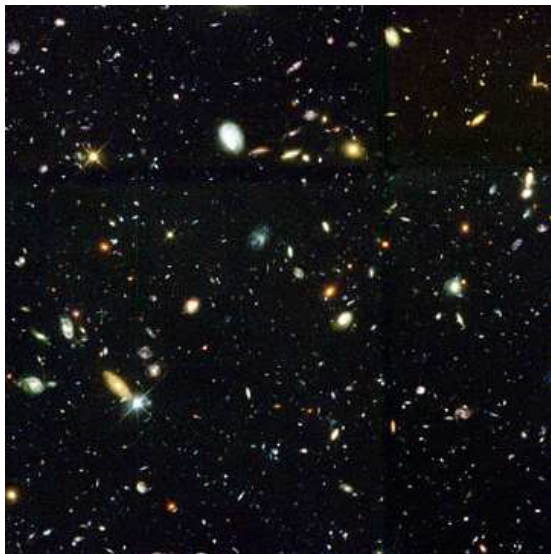


# Gravitational creation of metric perturbations

**Inflation**



**CMBR anisotropy  
300,000 years after**



**LSS 15 billions years after**

## Inflationary perturbations

Assume Hubble parameter during inflation is constant,

$$a(\eta) = -\frac{1}{H\eta}$$

Mode functions of massless field ( $\xi = 0$ ) obey

$$\ddot{g}_k + k^2 g_k - \frac{2}{\eta^2} g_k = 0$$

Solutions with vacuum initial conditions

$$g_k = \frac{e^{\pm ik\eta}}{\sqrt{2k}} \left( 1 \pm \frac{i}{k\eta} \right)$$

After horizon crossing  $k\eta \ll 1$

$$g_k = \pm \frac{i}{k\eta}, \quad \text{or} \quad \varphi = \mp \frac{iH}{k}$$

Field variance

$$\langle \varphi^2 \rangle = \frac{H^2}{(2\pi)^2} \int \frac{dk}{k}$$

## Curvature perturbations

Spatial Curvature

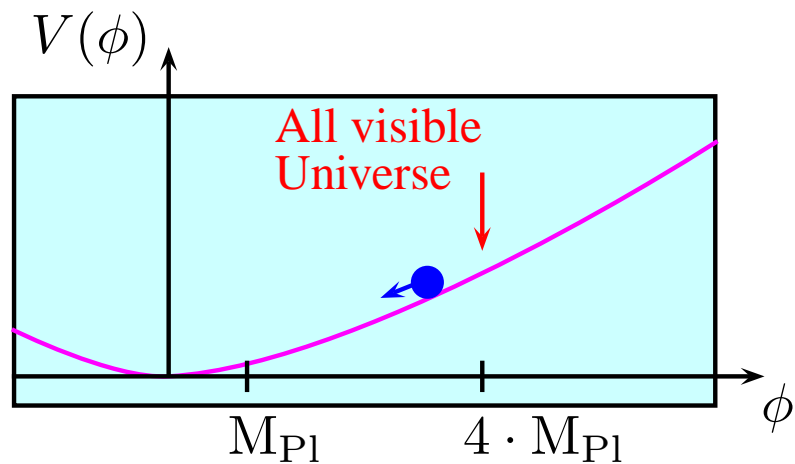
$${}^{(3)}R \propto \frac{1}{a^2}$$

Its perturbation

$$\zeta \propto \frac{\delta a}{a} = H\delta t = H \frac{\delta\varphi}{\dot{\varphi}}$$

Since  $\langle\varphi^2\rangle \sim H^2$  we have

$$\zeta_k \sim \frac{H^2}{\dot{\varphi}} \sim \frac{\delta\rho_k}{\rho} \sim P_k^{1/2}$$



- Cosmological scales encompass small  $\Delta\phi$  interval
- Potential should be flat over this range of  $\Delta\phi$



Observables essentially depend on a first few derivatives of  $V$  (slow roll parameters)

$$V(\phi_0)$$

$$\epsilon \equiv \frac{M_{\text{Pl}}^2}{16\pi} \left( \frac{V'}{V} \right)^2$$

$$\eta \equiv \frac{M_{\text{Pl}}^2}{8\pi} \frac{V''}{V}$$

Power spectra of **S**calar (curvature) and **T**ensor (gravity waves) perturbations

$$P(k)_S = \frac{1}{\pi\epsilon} \frac{H^2}{M_{\text{Pl}}^2} \quad \Rightarrow \quad \frac{P(k)_T}{P(k)_S} = 16\epsilon$$

$$P(k)_T = \frac{16}{\pi} \frac{H^2}{M_{\text{Pl}}^2}$$

Spectra can be approximated as power law functions

$$P(k)_S = P(k_0)_S \left( \frac{k}{k_0} \right)^{n-1}$$

$$P(k)_T = P(k_0)_T \left( \frac{k}{k_0} \right)^{n_T}$$

In slow roll parameters one finds

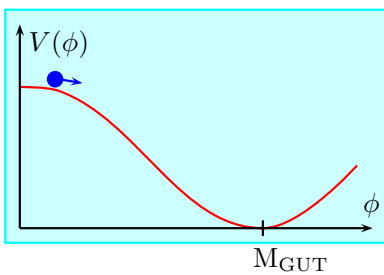
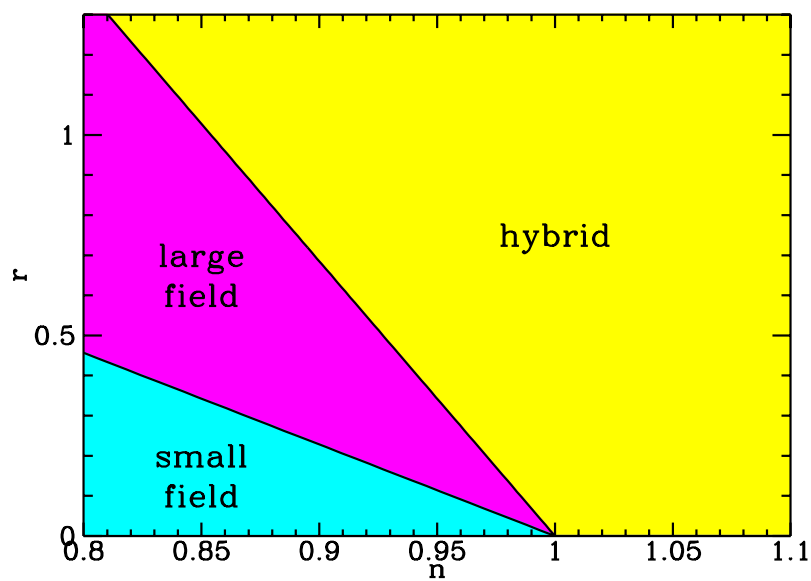
$$n - 1 = 2\eta - 6\epsilon$$

$$n_T = -2\epsilon$$

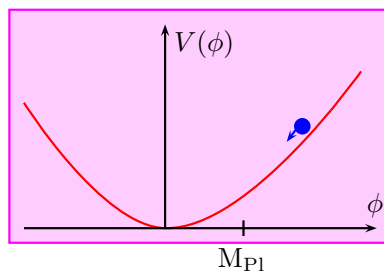
Consistency relation

$$n_T \approx -\frac{1}{7} r \quad \text{where} \quad r \equiv \frac{C^T}{C^S}$$

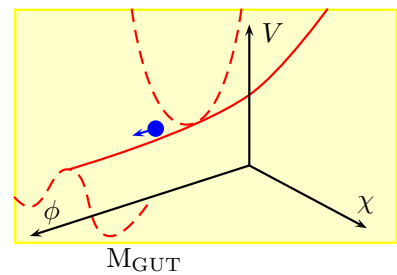
Typical models of inflation occupy these regions of parameter space:



New inflation

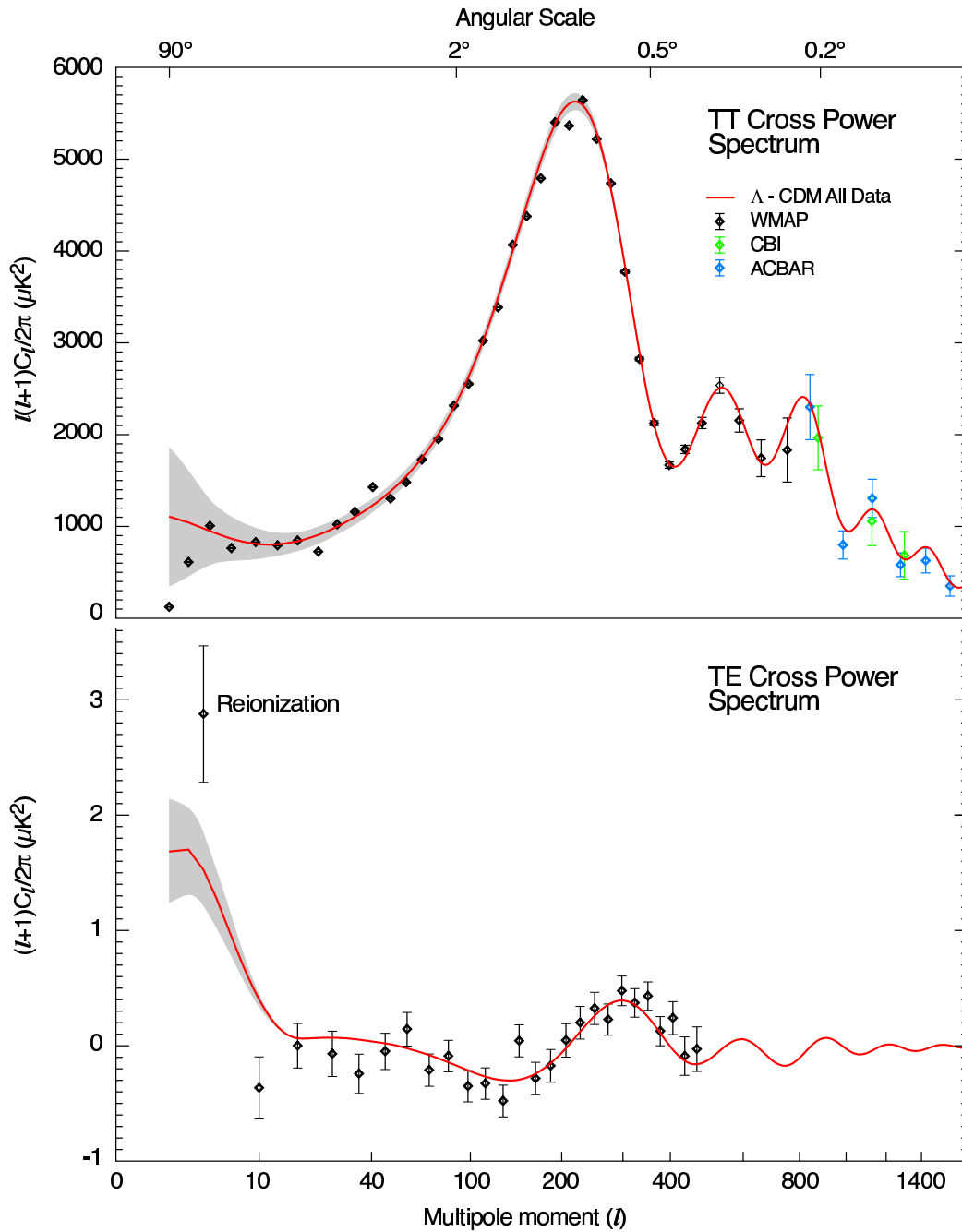


Chaotic inflation



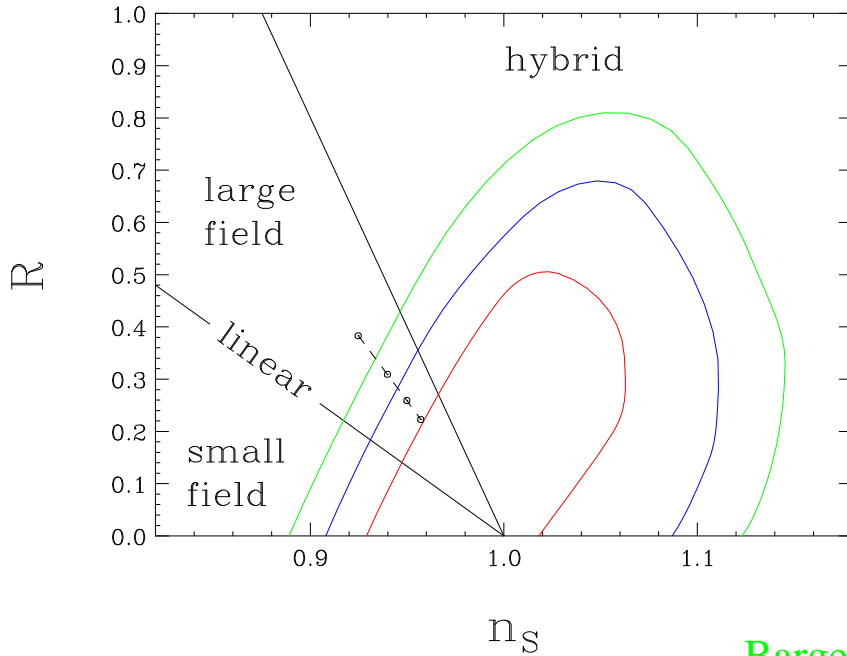
Hybrid inflation

# WMAP CMBR anisotropy spectrum



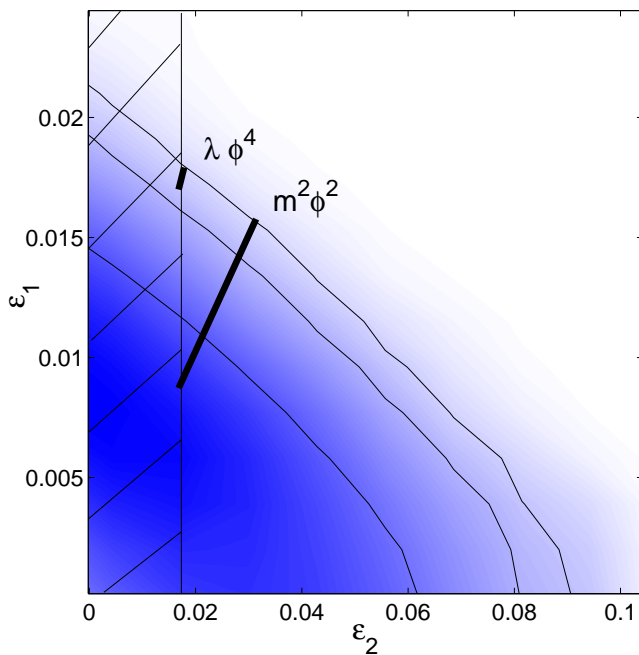
$$\Omega_0 = 1.0 \pm 0.03, \quad n_s = 0.99 \pm 0.04$$

## Implications for Inflation



Barger, Lee and Marfatia (03)

For the  $V \propto \phi^p$  chaotic inflation model this means



$$n_s - 1 = -2\epsilon_1 - \epsilon_2$$

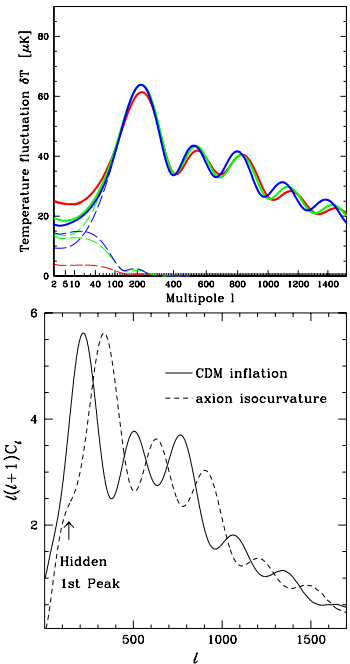
$$R = 16\epsilon_1$$

Leach and Liddle (03)



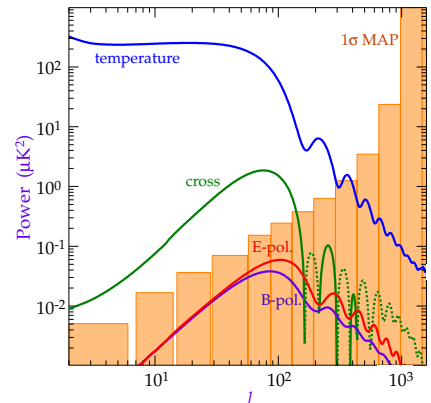
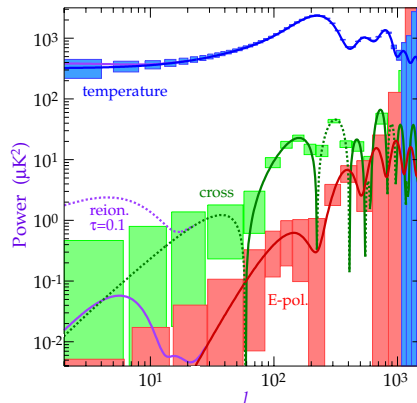
# TESTING INFLATION

- $\Omega_0 = 1$  (\*\*\*)
- Nearly scale invariant (\*\*) spectrum of
  - scalar (\*\*) and
  - tensor (?) perturbations
  - which are Gaussian (\*)
  - and of superhorizon scale (\*)
- Consistency relations (?)



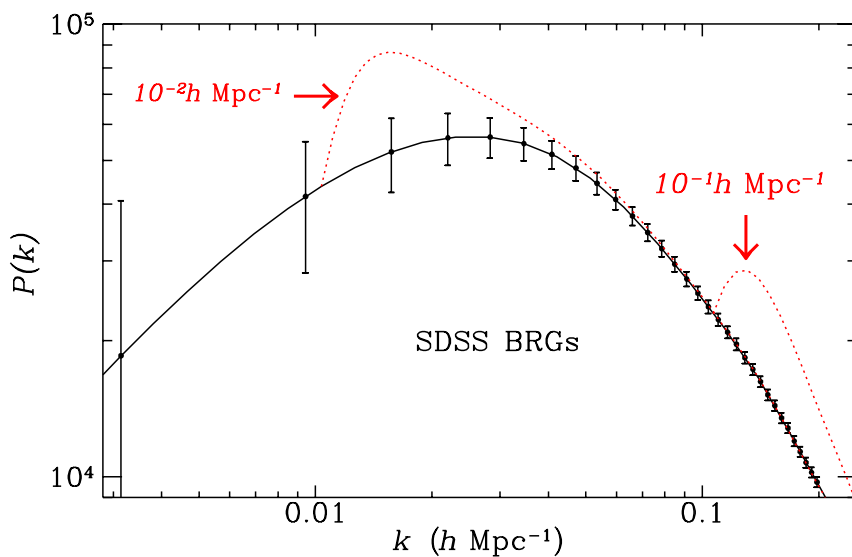
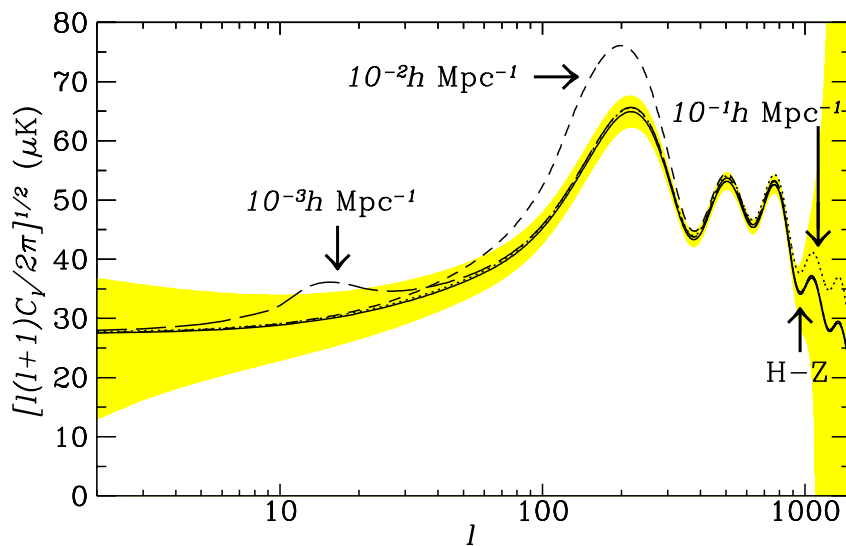
## Future is bright

- High precision
- Polarization
- Tensor mode



# Creation of matter during Inflation

Probe of Sub-Plankian particle content



Particles with  $M_\psi \sim M_{\text{Pl}}$  and coupling  $g > 0.2/N^{2/5}$  are detectable.

# Relativistic Turbulence

## A Long Way from Preheating to Equilibrium

With R. Micha

### Questions:

- How system approaches equilibrium ?
- When ? What is thermalization temperature ?

### Important since it influences:

- Inflationary predictions
- Baryogenesis
- Abundance of gravitino and dark matter relics
- Is of general Statistical interest

# Thermalization after Inflation

## Outline:

- Lattice results
- Kinetic theory
  - Basics of turbulence
  - Driven turbulence
  - Decaying turbulence
  - Self-similar solutions
- Thermalization

## Approach:

- Lattice simulations (as a guidance)
- Kinetic theory

Consider simplest  $\lambda\varphi^4$  model

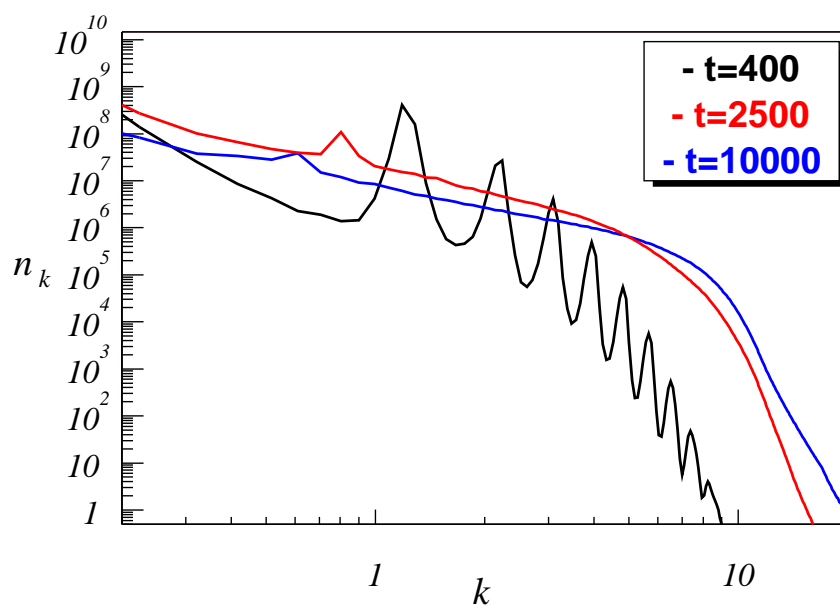
In conformal frame,  $\phi = \varphi/a$ , and rescaled coordinates,  $x^\mu \rightarrow \sqrt{\lambda}\varphi(0) x^\mu$ , the equation of motion

$$\square\phi + \phi^3 = 0$$

can be solved on a lattice and various quantities be measured

- Zero mode,  $\phi_0 = \langle\phi\rangle$
- Variance,  $\langle\phi^2\rangle - \phi_0^2$
- Particle number,  $n_k = \langle a^\dagger(k)a(k)\rangle$
- Correlators,  $\langle aa\rangle, \langle a^\dagger a^\dagger aa\rangle, \langle\pi^2\rangle, \dots$

## Particle spectra on a lattice



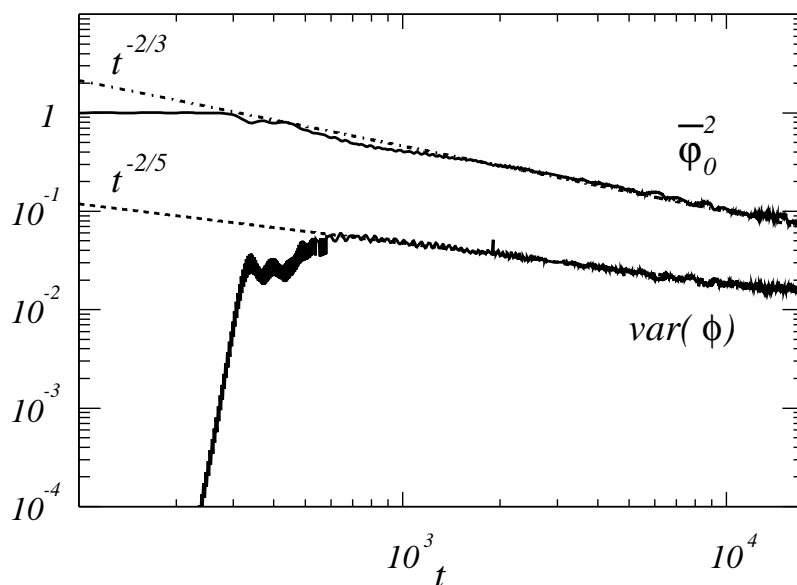
### Complications:

- Insufficient dynamical range in  $k$
- Hopelessly long integration time

Is it possible to use simple kinetic description ?

Complications:

- Zero mode never dies
- Occupation numbers too big
- Anomalous correlators are non-vanishing
- Not clear how to write collision integral

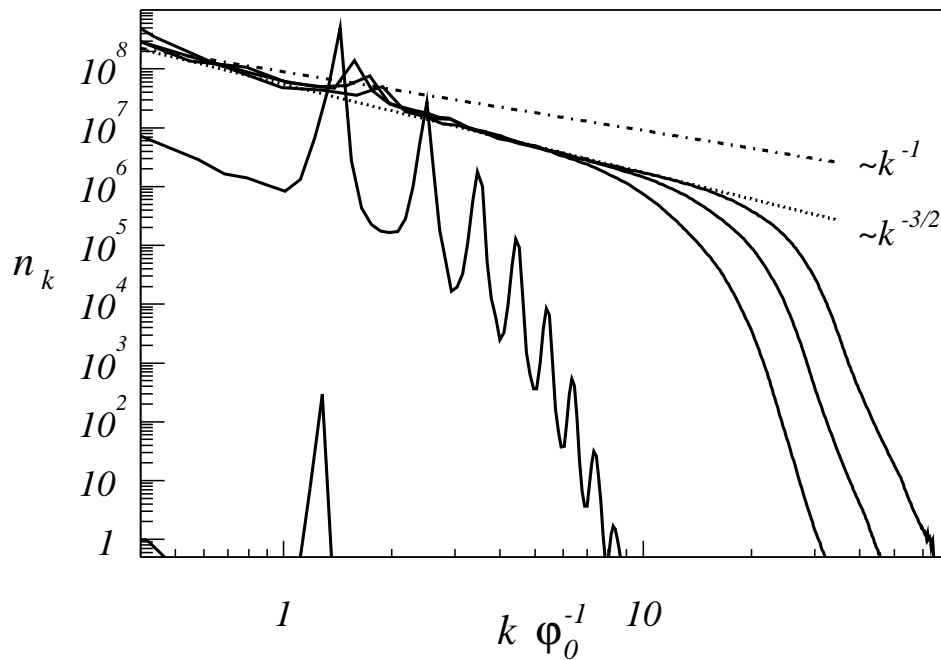


## Hint

Re-scale the field and coordinates by the **current** amplitude of the zero mode

$$\square\phi + \phi^3 = 0$$

Here  $x^\mu \rightarrow x^\mu \phi_0$  and therefore  $k \rightarrow k / \phi_0$



Let  $n \sim k^{-\alpha}$ .

Theory of stationary Kolmogorov turbulence predicts

- $\alpha = \frac{5}{3}$  for 4-particle interaction
- $\alpha = \frac{3}{2}$  for 3-particle interaction



# Kinetic Theory

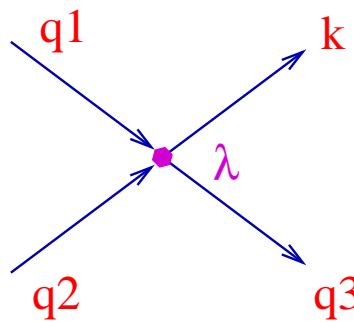
Kinetic equation

$$\dot{n}_k = I_k[n]$$

Collision integral

$$I_k[n] = \int d\Omega(k, q_i) F(k, q_i)$$

Example:



$$d\Omega(k, q_i) = \frac{(2\pi)^4 |M|^2}{2\omega_k} \delta^4(k_\mu, q_{i\mu}) \prod_{i=1}^3 \frac{d^3 q_i}{2\omega_i (2\pi)^3}$$

In full quantum problem

$$F(k, q_i) = (1+n_k) (1+n_{q_1}) n_{q_2} n_{q_3} - n_k n_{q_1} (1+n_{q_2}) (1+n_{q_3})$$

For classical waves ( $n \gg 1$ )

$$F(k, q_i) = (n_k + n_{q_1}) n_{q_2} n_{q_3} - n_k n_{q_1} (n_{q_2} + n_{q_3})$$

## Scaling

Rescaling of  $n$

$$F(\zeta n) = \zeta^{m-1} F(n) ,$$

where  $m$  is the number of particles which participate in the process.

Rescaling of momenta

$$d\Omega(\xi k, \xi q_i) = \xi^\mu d\Omega(k, q_i) ,$$

where  $\mu$  depends upon theory and number of dimensions.  
E.g.  $\mu = 1$  for a relativistic theory with dimensionless couplings in  $d = 3$ .

If  $n(q) \propto q^{-s}$  we also have

$$F(\xi k, \xi q_i) = \xi^{-s(m-1)} F(k, q_i) .$$

This gives e.g.

$$I_{\xi k}[n] = \xi^{-\nu} I_k[n] ,$$

where  $\nu = s(m-1)$

## Kolmogorov Turbulence

We have a source of energy (or particles) located at  $k = k_i$  and a sink located at  $k = k_f$ . Energy conserves

$$\partial_t(\omega_k n_k) + \nabla_k \cdot j_k = 0.$$

In stationary situation energy flux is constant through any surface

$$\begin{aligned} \text{Flux} &= - \int^p d^d k \omega_k \dot{n}_k = - \int^p dk k^{d-1} \omega_k I_k[n] \\ &\propto - p^{d+\alpha-\nu} \frac{I_1(\nu)}{d + \alpha - \nu}, \end{aligned}$$

where  $\omega(\xi k) = \xi^\alpha \omega(k)$ .

We find  $\nu = d + \alpha$  or

$$s = \frac{d + \alpha + \mu}{m - 1}$$

## Self-similar evolution

Assume  $n(k, \tau) = A^\gamma n_0(kA) \equiv A^\gamma n_0(\zeta)$

where  $\tau \equiv t/t_0$  and  $A = A(\tau)$ .

With this ansatz kinetic equation separates into two equations. The first one determines the shape of a distribution function:

$$\gamma n_0 + \zeta \frac{dn_0}{d\zeta} = -I(\zeta)$$

The second one fixes its evolution, and has a solution

$$A(\tau) = \tau^{-p} \quad \text{where} \quad p = \frac{1}{\gamma(m-2) - \mu}$$

Two important cases

- Isolated system (energy conserves),  $\gamma = (d + \alpha)$  and

$$p_i = \frac{1}{(d + \alpha)(m - 2) - \mu}$$

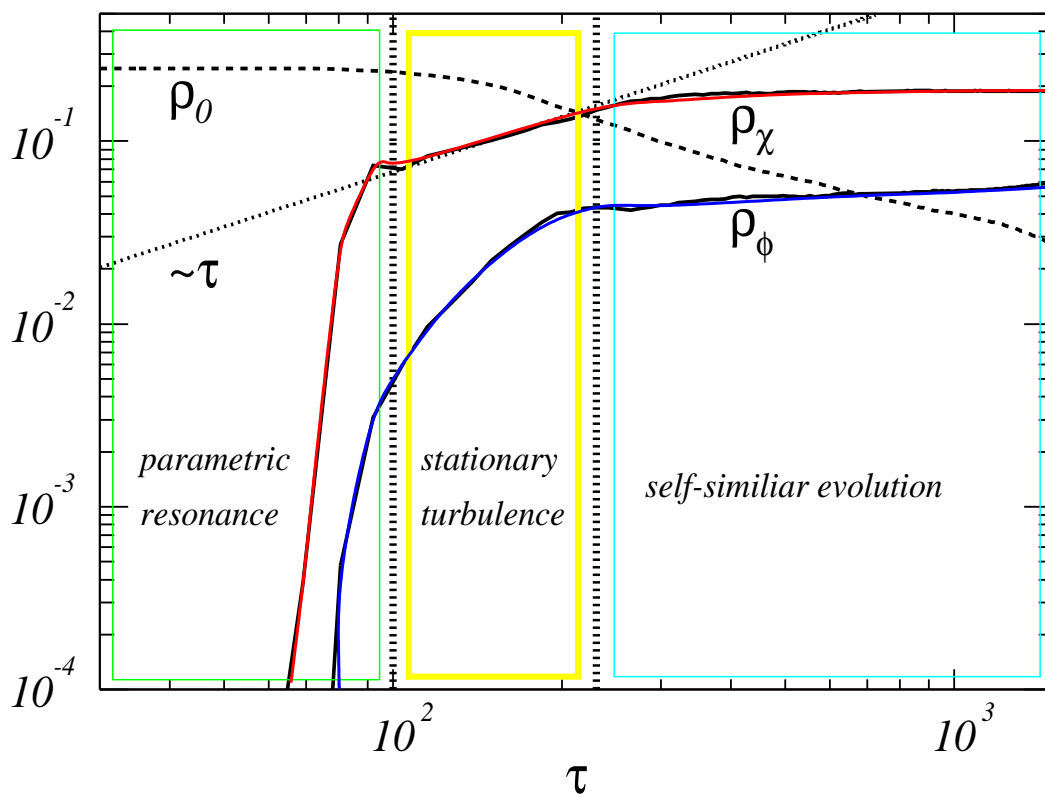
- Stationary source,  $\gamma = s$  and

$$p_t = 3 p_i$$

## Three major epochs of reheating

$$V(\chi, X) = \frac{\lambda_\phi}{4} \phi^4 + \frac{g}{2} \phi^2 \chi^2 + \frac{\lambda_\chi}{4} \chi^4$$

At large  $g/\lambda_\phi$  and/or large  $\lambda_\chi/\lambda_\phi$  parametric resonance stops when  $n_\chi$  are low



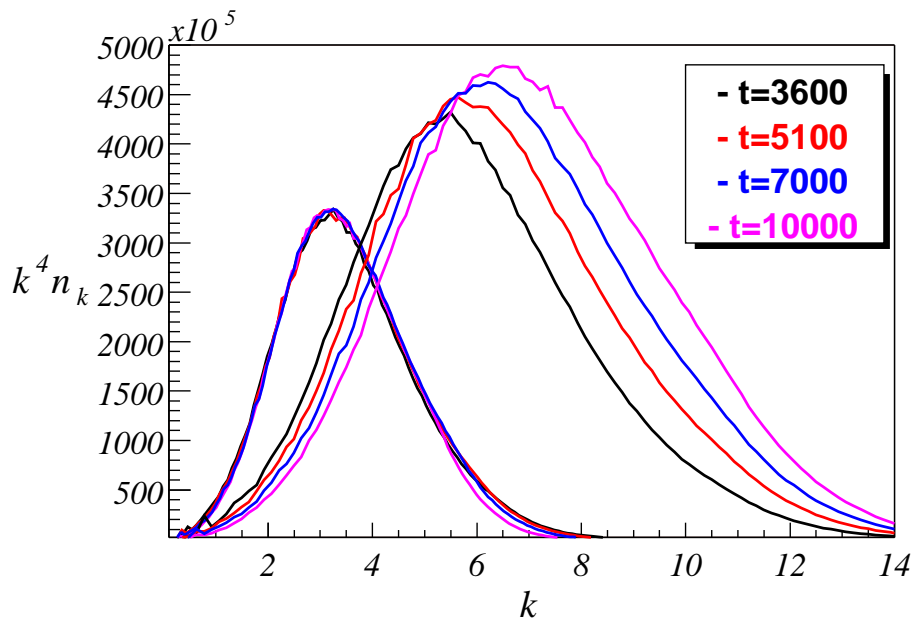
$$q = 30\lambda_\phi, \quad \lambda_\chi = 300\lambda_\phi$$

## Decaying turbulence

At late times we expect self-similarity with conserved energy

$$n(k, t) = t^{-q} n_0(kt^{-p})$$

Excellent fit to numerical data:  $q = 3.5p$  and  $p = \frac{1}{5}$



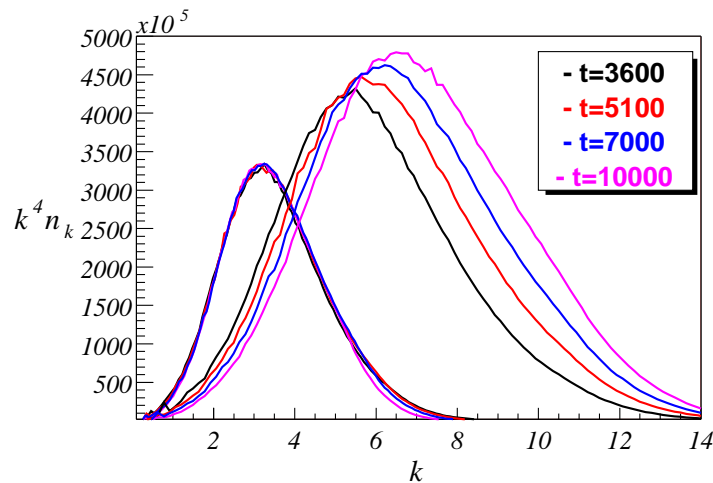
For  $\lambda\phi^4$  model and 4-particle interaction in  $d = 3$  we have

- (1) Assuming energy conservation:  $q = 4p$  and  $p = \frac{1}{7}$
- (2) Assuming stationary turbulence:  $q = \frac{5}{3}p$  and  $p = \frac{3}{7}$

Truth is in between. Correcting for the energy influx from the zero mode we get  $p = \frac{1}{6}$ .

## Thermalization

At late times influence of the zero mode should become negligible and  $p = \frac{1}{7}$ . This exponent determines the rate with which a system approaches equilibrium



$$k_{\max}(\tau) = k_0 \tau^p, \quad \text{where } k_0 = \lambda^{1/2} \varphi_0.$$

Thermalization will occur when  $k_{\max}^4 \sim T^4 \sim \lambda \varphi_0^4$ .

Time to thermalization  $\tau \sim \lambda^{-7/4} \sim 10^{21}$ .

Scale factor in comoving coordinates  $a(\tau) = \tau$

and we find for thermalization temperature

$$T \sim \frac{k_{\max}}{a(\tau)} = \lambda^2 \varphi_0 = 10^{-26} M_{\text{Pl}} = 100 \text{ eV}.$$

One can use “naive” perturbation theory to estimate thermalization temperature