



**Armenian e-Science Foundation**

# **ArmeSFo CA**

Certificate Policy and  
Certification Practice  
Statement

Version 0.1 (Draft)  
*25 November 2003*



## Table of Contents

<b>1 Introduction</b>	5
1.1 Overview	5
1.1.1 General definitions	5
1.2 Identification	7
1.3 Community and Applicability	7
1.3.1 Certification authorities	7
1.3.2 Registration authorities	7
1.3.3 End entities	7
1.3.4 Applicability	7
1.4 Contact Details	8
<b>2 General Provisions</b>	8
2.1 Obligations	8
2.1.1 CA/RA obligations	8
2.1.2 Subscriber obligations	8
2.1.3 Relying party obligations	8
2.1.4 Repository obligations	9
2.2 Liability	9
2.3 Financial Responsibility	9
2.4 Interpretation and Enforcement	9
2.4.1 Governing law	9
2.4.2 Severability, survival, merger, notice	9
2.4.3 Dispute resolution procedure	9
2.5 Fees	9
2.6 Publication and Repository	9
2.6.1 Publication of CA information	9
2.6.2 Frequency of publication	9
2.6.3 Access controls	10
2.6.4 Repositories	10
2.7 Compliance Audit	10
2.8 Confidentiality	10
2.9 Intellectual Property Rights	10
<b>3 Identification and Authentication</b>	10
3.1 Initial Registration	10
3.1.1 Types of names	10
3.1.2 Need for names to be meaningful	11
3.1.3 Rules for interpreting various name forms	11
3.1.4 Uniqueness of names	11
3.1.5 Name claim dispute resolution procedure	11
3.1.6 Recognition, authentication and role of trademarks	11
3.1.7 Method to prove possession of private key	12
3.1.8 Authentication of organization identity	12
3.1.9 Authentication of individual identity	12
3.2 Routine Rekey	12
3.3 Rekey After Revocation	12
3.4 Revocation Request	12
<b>4 Operational Requirements</b>	12
4.1 Certificate Application	12
4.2 Certificate Issuance	12
4.3 Certificate Acceptance	13
4.4 Certificate Suspension and Revocation	13
4.4.1 Circumstances for revocation	13
4.4.2 Who can request revocation	13



---

4.4.3	Procedure for revocation request.....	13
4.4.4	Revocation request grace period .....	13
4.4.5	Circumstances for suspension .....	13
4.4.6	CRL issuance frequency .....	13
4.4.7	CRL checking requirements.....	13
4.4.8	On-line revocation/status checking availability .....	13
4.4.9	On-line revocation checking requirements.....	13
4.4.10	Other forms of revocation advertisement available.....	13
4.4.11	Checking requirements for other forms of revocation advertisements .....	13
4.4.12	Special requirements re key compromise.....	13
4.5	Security Audit Procedures.....	14
4.5.1	Types of event audited.....	14
4.5.2	Frequency of processing log.....	14
4.5.3	Retention period for audit logs .....	14
4.5.4	Protection of audit log .....	14
4.5.5	Audit log backup procedures.....	14
4.5.6	Audit collection system (internal vs. external).....	14
4.5.7	Notification to event-causing subject .....	14
4.5.8	Vulnerability assessments.....	14
4.6	Records Archival .....	14
4.6.1	Types of Event Recorded.....	14
4.6.2	Retention period for archive .....	14
4.6.3	Protection of archive .....	14
4.6.4	Archive backup procedures.....	14
4.6.5	Requirements for time-stamping of records.....	14
4.6.6	Archive collection system (internal or external) .....	14
4.6.7	Procedures to obtain and verify archive information.....	15
4.7	Key Changeover.....	15
4.8	Compromise and Disaster Recovery .....	15
4.8.1	Computing resources, software, and/or data are corrupted .....	15
4.8.2	Entity public key is revoked.....	15
4.8.3	Entity key is compromised .....	15
4.8.4	Secure facility after a natural or other type of disaster.....	15
4.9	CA Termination .....	15
<b>5</b>	<b>Physical, Procedural and Personnel Security Controls.....</b>	<b>15</b>
5.1	Physical Controls.....	15
5.1.1	Site location and construction .....	15
5.1.2	Physical access.....	16
5.1.3	Power and air conditioning.....	16
5.1.4	Water exposures .....	16
5.1.5	Fire prevention and protection .....	16
5.1.6	Media storage.....	16
5.1.7	Waste disposal.....	16
5.1.8	Off-site backup .....	16
5.2	Procedural Controls.....	16
5.3	Personnel Controls.....	16
5.3.1	Background, qualifications, experience, and clearance requirements.....	16
5.3.2	Background check procedures.....	16
5.3.3	Training requirements .....	16
5.3.4	Retraining frequency and requirements.....	16
5.3.5	Job rotation frequency and sequence.....	16
5.3.6	Sanctions for unauthorized actions.....	16
5.3.7	Contracting personnel requirements.....	16
5.3.8	Documentation supplied to personnel.....	17
<b>6</b>	<b>Technical Security Controls .....</b>	<b>17</b>
6.1	Key Pair Generation and Installation.....	17



---

6.1.1	Key pair generation .....	17
6.1.2	Private key delivery to entity .....	17
6.1.3	Public key delivery to certificate issuer .....	17
6.1.4	CA public key delivery to users .....	17
6.1.5	Key sizes .....	17
6.1.6	Public key parameters generation.....	17
6.1.7	Parameter quality checking.....	17
6.1.8	Hardware/software key generation .....	17
6.1.9	Key usage purposes .....	17
6.2	Private Key Protection.....	17
6.2.1	Standards for cryptographic module .....	17
6.2.2	Private key (n out of m) multi-person control .....	17
6.2.3	Private key escrow .....	17
6.2.4	Private key backup and archival .....	18
6.2.5	Private key entry into cryptographic module .....	18
6.2.6	Method of activating private key.....	18
6.2.7	Method of deactivating private key.....	18
6.2.8	Method of destroying private key .....	18
6.3	Other Aspects of Key Pair Management.....	18
6.3.1	Public key archival .....	18
6.3.2	Usage periods for the public and private keys .....	18
6.4	Activation Data .....	18
6.4.1	Activation data generation and installation .....	18
6.4.2	Activation data protection.....	18
6.4.3	Other aspects of activation data.....	18
6.5	Computer Security Controls .....	18
6.5.1	Specific computer security technical requirements.....	18
6.5.2	Computer security rating .....	18
6.6	Life Cycle Technical Controls.....	18
6.7	Network Security Controls.....	19
6.8	Cryptographic Module Engineering Controls .....	18
<b>7</b>	<b>Certificate and CRL Profiles .....</b>	<b>19</b>
7.1	Certificate Profile .....	19
7.1.1	Version number .....	19
7.1.2	Certificate extensions.....	19
7.1.3	Algorithm object identifiers .....	20
7.1.4	Name forms.....	20
7.1.5	Name constraints .....	20
7.1.6	Certificate policy object identifier.....	20
7.1.7	Usage of policy constraints extensions.....	20
7.1.8	Policy qualifier syntax and semantics .....	20
7.1.9	Processing semantics for the critical certificate policy extension .....	20
7.2	CRL Profile .....	20
7.2.1	Version number .....	20
7.2.2	CRL and CRL entry extensions.....	20
<b>8</b>	<b>Specification Administration .....</b>	<b>20</b>
8.1	Specification Change Procedures.....	20
8.2	Publication and Notification Policies .....	20
8.3	CPS Approval Procedures .....	20
<b>9</b>	<b>Bibliography.....</b>	<b>21</b>

## 1 Introduction

### 1.1 Overview

Armenian e-Science Foundation (<http://www.escience.am>) is an Armenian non-profit institution aimed at the introduction and dissemination of e-Science technologies in Armenian scientific, educational and other organizations. One of the main objectives of ArmeSfo is the deployment of the Grid infrastructures in Armenia. ArmeSfo CA is an Armenian Certification Authority maintained by ArmeSfo as a courtesy service to the Armenian Grid community.

This is a draft document structured according to the memo “Internet X.509 Public Key Infrastructure Certificate Policy and Certification Practice Framework” [RFC 2527]. This document describes the set of rules and operational practices used by the ArmeSfo CA.

#### 1.1.1 General definitions

##### **Activation data**

Data values, other than keys, that are required to operate cryptographic modules and that need to be protected (e.g., a PIN, a pass phrase, or a manually-held key share).

##### **ArmeSfo**

Armenian e-Science Foundation

##### **Authentication**

The process of establishing that individuals, organizations, or things are who or what they claim to be. In the context of a PKI, authentication can be the process of establishing that an individual or organization applying for or seeking access to something under a certain name is, in fact, the proper individual or organization. This process corresponds to the second process involved with identification as shown in the definition of the “identification” below. Authentication can also refer to a security service that provides assurances that individuals, organizations, or things are who or what they claim to be or that a message or other data originated from a specific individual, organization, or device. Thus, it is said that a digital signature of a message authenticates the message’s sender.

##### **Certificate**

Synonymous with Public Key Certificate

##### **Certification Authority (CA)**

An authority trusted by one or more subscribers to create and assign public key certificates and to be responsible for them during their whole lifetime.

##### **Certificate Policy (CP)**

A named set of rules that indicates the applicability of a certificate to a particular community and/or class of application with common security requirements. For example, a particular certificate policy might indicate applicability of a type of certificate to the authentication of electronic data interchange transactions for the trading of goods within a given price range.

##### **Certification Practice Statement (CPS)**

A statement of the practices, which a CA employs in issuing certificates.

##### **Certificate Revocation List (CRL)**

A time stamped list identifying the revoked certificates, which is signed by a CA and made freely available in the CA public repository.

***Host certificate***

A certificate for server certification and encryption of communications (SSL/TLS). It will represent a single machine.

***Identification***

The process of establishing the identity of an individual or organization, i.e., to show that an individual or organization is a specific individual or organization. In the context of a PKI, identification refers to two processes: (1) establishing that a given name of an individual or organization corresponds to a real world identity of an individual or organization, and (2) establishing that an individual or organization applying for or seeking access to something under that name is, in fact, the named individual or organization. A person seeking identification may be a certificate applicant, an applicant for employment in a trusted position within a PKI participant, or a person seeking access to a network or software application, such as a CA administrator seeking access to CA systems.

***Person Certificate***

A certificate used for authentication to establish a person identity. It will represent an individual person.

***Policy qualifier***

The policy-dependent information that accompanies a certificate policy identifier in an X.509 certificate.

***Public Key Certificate (PKC)***

A data structure containing the public key of an entity and some other information, which is digitally signed with the private key of the CA, which issued it.

***Registration Authority (RA)***

An entity that is responsible for identification and authentication of certificate subjects, but that does not sign or issue certificates (i.e., an RA is delegated certain tasks on behalf of a CA).

***Relying party***

A recipient of a certificate who acts in reliance on that certificate and/or digital signatures verified using that certificate. In this document, the terms “certificate user” and “relying party” are used interchangeably.

***Repository***

A storage area, usually on-line, where a CA stores its root certificate, issued certificates, CRLs, policy documents etc.

***Service certificate***

A certificate for a particular service running on a host. It will represent a single service on a single host.

***Strong pass-phrase***

In this document, “strong pass-phrase” refers to a pass phrase protecting a private key and satisfying the following: it is at least 16 characters long and contains upper and lower case letters. The pass-phrase should also contain some non-letter characters in the US-ASCII range (0x20-0x7e) and no letters outside this range.

***Subscriber***

A person or server to whom a digital certificate is issued.

## 1.2 Identification

Title: **ArmeSFo CA Certificate Policy and Certification Practice Statement**

Version: **0.1 (Draft)**

Date: **25 November 2003**

Expiration: **This document is valid until further notice.**

OID: **The following unique Object Identifier (OID) identifies this CP/CPS:**

**1.3.6.1.4.1.17306.8.1.0.1**

The next Table clarifies the meaning of this OID

<b>1</b>	International Organization for Standardization (ISO) assigned OIDs
<b>3</b>	Organizations acknowledged by ISO
<b>6</b>	United States Department of Defense (DOD)
<b>1</b>	Internet
<b>4</b>	Private
<b>1</b>	Internet Assigned Numbers Authority (IANA) registered private enterprises
<b>17306</b>	Armenian e-Science Foundation (ArmeSFo)
<b>8</b>	ArmeSFo CA
<b>1</b>	ArmeSFo CA CP/CPS
<b>0</b>	Major version
<b>1</b>	Minor version

## 1.3 Community and Applicability

### 1.3.1 Certification authorities

The ArmeSFo CA does not issue certificates to subordinate certification authorities.

### 1.3.2 Registration authorities

The ArmeSFo CA also performs the role of RA. Further registration authorities may be created by the ArmeSFo CA as required, in order to support both the growth of the organizations and the demand for certificates.

### 1.3.3 End entities

The ArmeSFo CA issues certificates to natural persons and computer entities. The entities that are eligible for certification by the ArmeSFo CA are all those entities related to the organizations, formally based in and/or having offices inside the Republic of Armenia, that are involved in the research or deployment of multi-domain distributed computing infrastructures, intended for cross-organizational sharing of resources.

### 1.3.4 Applicability

The issued certificate can be used for:

- e-mail signing and encryption (S/MIME);
- authentication and encryption of communication (SSL/TLS)
- object-signing

Certificates issued by the ArmeSFo CA are only valid in the context of the ArmeSFo computing infrastructure research and deployment activities, any other usage including financial transactions is strictly forbidden.

## 1.4 Contact Details

The ArmeSFo CA is managed by the ArmeSFo team of the Yerevan Physics Institute ([YerPhI](#)). The ArmeSFo CA address for operational issues is:

Yerevan Physics Institute  
2, Brothers Alikhanian Str.  
375036 Yerevan Armenia

Phone: (+ 3741) 341500  
Fax: (+ 3741) 350030  
Email: [ca@escience.am](mailto:ca@escience.am)

The contact persons for questions related with this document or any other ArmeSFo CA related issues are:

Ara Grigoryan ([aagrigor@jerewan1.yerphi.am](mailto:aagrigor@jerewan1.yerphi.am))  
Artem Harutyunyan ([hartem@moon.yerphi.am](mailto:hartem@moon.yerphi.am))

Yerevan Physics Institute  
2, Brothers Alikhanian Str.  
375036 Yerevan Armenia

Phone: (+ 3741) 341500  
Fax: (+ 3741) 350030

## 2 General Provisions

### 2.1 Obligations

#### 2.1.1 CA /RA obligations

The ArmeSFo CA will:

- Accept certification requests from entitled entities;
- Issue certificates based on requests from authenticated entities;
- Notify the subscriber of the issuing of the certificate;
- Accept revocation requests from entitled entities;
- Issue a CRL according to the procedure outlined in this document;
- Publish the issued CRL;
- Follow the policies and procedures described in this document.

#### 2.1.2 Subscriber obligations

Subscriber must:

- Read and adhere to the policy and procedures outlined in this document;
- Generate a key pair using a trustworthy method;
- Take reasonable precautions to prevent any loss, disclosure or unauthorized use of the private key associated with the certificate;
- Use a strong pass-phrase (see in General Definitions) to protect the private key of the personal certificate;
- Notify the ArmeSFo CA immediately in case of possible private key compromise;
- Notify the ArmeSFo CA immediately in case of key destruction and loss;
- Notify the ArmeSFo CA when the certificate is no longer required;
- Notify the ArmeSFo CA when the information in the certificate becomes wrong or inaccurate.

#### 2.1.3 Relaying party obligations

Relying parties must:

- Read and accept the policy and procedures published in this document;
- Verify the CRL before validating a certificate;
- Use the certificates for permitted uses only.



#### 2.1.4 Repository obligations

- The ArmeSFo CA will keep a web server page at <http://www.escience.am/ca/>;
- The ArmeSFo CA will publish on its web server the ArmeSFo CA public key;
- The ArmeSFo CA will publish on its web server the CRLs as soon as issued.

### 2.2 Liability

- The ArmeSFo CA only guarantees to control the identity of the subjects requesting a certificate according to the practices described in this document;
- The ArmeSFo CA is run on a best effort only basis and does not give any guarantees about the service security or suitability;
- The ArmeSFo CA does not warrant its procedures and it will take no responsibility for problems arising from its operation or for the use made of certificates it issues;
- The ArmeSFo CA denies any financial or any other kind of responsibilities for damages or impairments resulting from its operation.

### 2.3 Financial Responsibility

The ArmeSFo CA denies any financial responsibilities for damages or impairments resulting from its operation.

### 2.4 Interpretation and Enforcement

#### 2.4.1 Governing law

This document is subject to all applicable laws of the Republic of Armenia.

#### 2.4.2 Severability, survival, merger, notice

The ArmeSFo CA shall be entitled to terminate the certification services at any time. The ArmeSFo CA will make all reasonable efforts to notify on such termination all its subscribers and any relying parties known to the ArmeSFo CA to be currently and actively relying on certificates issued by the ArmeSFo CA. All certificates issued by the ArmeSFo CA that reference this document will be revoked no later than the time of termination.

#### 2.4.3 Dispute resolution procedure

All disputes related to the interpretation and enforcement of conditions and rules described in this document will be resolved by the Chairman of the ArmeSFo.

### 2.5 Fees

No fees are charged.

### 2.6 Publication and Repository

#### 2.6.1 Publication of CA information

The ArmeSFo CA publishes the following information through its online repository:

- The ArmeSFo CA certificate;
- Certificates issued by the ArmeSFo CA;
- The latest CRL;
- A copy of this document;
- Other relevant information.

#### 2.6.2 Frequency of publication

- The certificates will be published as soon as issued;
- The CRL's will be published as soon as issued and at least every 30 days;
- New versions of the ArmeSFo CA's CP/CPS will be published as soon as they have been approved.

### 2.6.3 Access controls

- The ArmeSFo CA online repository is maintained on a best effort basis. Excluding maintenance shutdowns and unforeseen failures the site should be available most of the time.
- The ArmeSFo CA does not impose any access control on its policy, its certificate, issued certificates and CRLs

### 2.6.4 Repositories

The ArmeSFo CA online repository is available at <http://www.escience.am/ca/>.

## 2.7 Compliance Audit

No external audit will be required, only a self-assessment by the ArmeSFo CA that its operation is according to this document.

## 2.8 Confidentiality

- The ArmeSFo CA collects subscribers' full names, organization and e-mail addresses. Some of this information is used to construct unique, meaningful subject names in the issued certificates.
- Information included in issued certificates and CRLs is not considered confidential.
- The ArmeSFo CA does not collect any kind of confidential information.
- Under no circumstances will the ArmeSFo CA have access to the private keys of any subscriber to whom it issues a certificate.

## 2.9 Intellectual Property Rights

No IPR are claimed on issued by the ArmeSFo CA certificates or certificate revocation lists.

This document is based on the following sources: [RFC 2527], [RFC3280], [DOE CP/CPS], [DutchGrid CP/CPS], [INFN CP/CPS], [Grid-Ireland CP/CPS], [LIP CP/CPS], [UK CP/CPS], [EuroPKI CP], [ASGCCA CP/CPS], [CERN CP/CPS].

This text may be used by anybody without prior approval; acknowledgments are welcomed but not required. Unmodified copies may be published without permission.

# 3 Identification and Authentication

## 3.1 Initial Registration

### 3.1.1 Types of names

Name components vary depending on the type of certificate. Names will be consistent with the name requirements specified in [RFC3280].

The certificate subject name is an X.500 distinguished name. Any name under this CP/CPS starts with a fixed component common to all certificates issued by ArmeSFo CA

**C=AM, O=ArmeSFo**

The variable component contains the name of the organization (O) with which the subject is officially related. A second optional organizational unit name (OU) must be specified when the certificate subject is related with a sub-organization as branch or department of the main organization. A common name (CN) that uniquely identifies the subject name must follow the organization name. The CN must be obtainable from the subject real name as stated in Section 3.1.2. For a server, the CN is the fully qualified domain name (FQDN) of the server. For a grid host, the CN is the FQDN of the server prefixed with the qualifier "host/". For a service, the CN is the FQDN of the server prefixed with the service name followed by a slash.

Following this, the distinguished name has one of the following forms:

For issuer

**C=AM, O=ArmeSfo, CN=ArmeSfo CA**

For persons

**C=AM, O=ArmeSfo, O=organizationName, OU=organizationunitName,  
CN=commonName**

**Example:** *C=AM, O=ArmeSfo, O=YerPhi, OU=Experimental Department,  
CN= Artem Harutyunyan*

For servers

**C=AM, O=ArmeSfo, O=organizationName, OU=organizationunitName, CN=server  
FQDN**

**Example:** *C=AM, O=ArmeSfo, O=YerPhi, OU=Experimental Department,  
CN= aligrid.yerphi.am*

For grid hosts

**C=AM, O=ArmeSfo, O=organizationName, OU=organizationunitName,  
CN=host/server FQDN**

**Example:** *C=AM, O=ArmeSfo, O=YerPhi, OU=Experimental Department,  
CN= host/aligrid.yerphi.am*

For services

**C=AM, O=ArmeSfo, O=organizationName, OU=organizationunitName,  
CN=serviceName/server FQDN**

**Example:** *C=AM, O=ArmeSfo, O=YerPhi, OU=Experimental Department,  
CN= ldap/aligrid.yerphi.am*

### 3.1.2 Need for names to be meaningful

- The names specified in the common name, in the organization name and in the organizational unit must be meaningful. The names must be related with the subject organization and with the subject real name.
- For persons, the CN must be obtainable from the legal person name as presented in an official governmental identity document such as a passport or identity card.
- For servers and grid hosts, the CN must be formed from the FQDN.
- For a service, the CN must be related to the type of service and the FQDN of the server where the service is running.

### 3.1.3 Rules for interpreting various name forms

See Sections 3.1.1 and 3.1.2.

### 3.1.4 Uniqueness of names

The distinguished name for each certificate must be unique. In case of real subject name duplication, additional numbers and/or letters will be appended to the distinguished name to guarantee uniqueness.

### 3.1.5 Name claim dispute resolution procedure

No stipulation.

### 3.1.6 Recognition, authentication and role of trademarks

No stipulation.

### 3.1.7 Method to prove possession of private key

No stipulation.

### 3.1.8 Authentication of organization identity

The relation between the subscriber and the organization or organizational unit mentioned in the subject name must be proved through an organization identity card or organization official document stamped and signed by an official representative of the organization. In case of doubt the CA/RA may take any required steps to inquire about the relation of the subscriber with the organization.

### 3.1.9 Authentication of individual identity

Procedure differs if the subject is a person, server or service:

#### *For person requesting a certificate:*

The certificate must be requested from the ArmeSFo CA/RA in person. The person authentication is performed through the presentation of valid official identification documents proving that the subject is an acceptable end entity as defined in the Section 1.3.3 of this CP/CPS:

#### *For server or service:*

Certificate requests must be sent by e-mail, signed by a valid personal ArmeSFo CA certificate of the corresponding system administrator.

## 3.2 Routine Rekey

- Rekey before expiration can be accomplished by sending a rekey request signed with the current user certificate.
- Rekey after expiration follows the same authentication procedure as new certificate.

## 3.3 Rekey After Revocation

There is no rekey after revocation. Subscribers must apply for a new certificate.

## 3.4 Revocation Request

Certificate revocation requests should be submitted in the following ways:

- By an e-mail sent to [ca@escience.am](mailto:ca@escience.am) and signed with a valid ArmeSFo CA certificate;
- When the e-mail is not an option, the request will be authenticated using the procedure described in Section 3.1.9.

# 4 Operational Requirements

## 4.1 Certificate Application

- The subscriber must generate his own key pair as per Section 6.
- The subscriber must register with the ArmeSFo CA as per Section 3.1.
- The Distinguished Name must be as per Section 3.1.
- Certification requests should be submitted by e-mail to [ca@escience.am](mailto:ca@escience.am).

## 4.2 Certificate Issuance

- The ArmeSFo CA issues the certificate if, and only if, the authentication of the subject is successful.
- The subject will be notified by e-mail about the certificate issuance or rejection. In the case of rejection, the e-mail will state the reason.

### **4.3 Certificate Acceptance**

No stipulation.

### **4.4 Certificate Suspension and Revocation**

#### **4.4.1 Circumstances for revocation**

A certificate will be revoked in the following circumstances;

- The private key has been lost or compromised;
- The information in the certificate is suspected to be inaccurate;
- The subject has failed to comply with the rules in this CP/CPS;
- The system to which the certificate has been issued has been retired.
- The subject of the certificate has ceased his relation with organization;
- At subject's request.

#### **4.4.2 Who can request revocation**

The revocation of the certificate can be requested by:

- The certificate holder;
- Any other entity presenting proof of knowledge of the private key compromise, of the certificate misuse, of the modification of the subscriber's data.

#### **4.4.3 Procedure for revocation request**

The revocation request will be authenticated as per Section 3.1.9.

#### **4.4.4 Revocation request grace period**

The ArmeSFo CA will act promptly to revocation requests. However, the reaction can be delayed by the weekends and public holidays.

#### **4.4.5 Circumstances for suspension**

The ArmeSFo CA does not suspend the certificates.

#### **4.4.6 CRL issuance frequency**

CRL is issued after every certificate revocation and at least every 30 days.

#### **4.4.7 CRL checking requirements**

A relying party must verify a certificate against the most recent CRL issued, in order to validate the use of the certificate.

#### **4.4.8 On-line revocation/status checking availability**

No stipulation.

#### **4.4.9 On-line revocation checking requirements**

No stipulation

#### **4.4.10 Other forms of revocation advertisement available**

No stipulation.

#### **4.4.11 Checking requirements for other forms of revocation advertisement**

No stipulation.

#### **4.4.12 Special requirements re key compromise**

No stipulation.

## 4.5 Security Audit Procedures

### 4.5.1 Types of events recorded

The ArmeSFo CA records the following events:

- Certification requests;
- Issued certificates;
- Issued CRL.

### 4.5.2 Frequency of processing log

No stipulation.

### 4.5.3 Retention period for audit logs

Logs will be kept for a minimum of 3 years.

### 4.5.4 Protection of Audit Log

Only authorized ArmeSFo CA personnel is allowed to view and process audit logs. Audit logs are copied to an off-line medium.

### 4.5.5 Audit log backup procedures

Audit events are copied to an off-line medium, which is kept in a safe storage.

### 4.5.6 Audit collection system (internal vs. external)

The audit log accumulation system is internal to the ArmeSFo CA.

### 4.5.7 Notification to event-causing subject

No stipulation.

### 4.5.8 Vulnerability assessments

No stipulation.

## 4.6 Records Archival

### 4.6.1 Types of events recorded

- Certification requests;
- Revocation requests;
- Issued certificates;
- Issued CRLs;
- All electronic messages sent to and by the ArmeSFo CA;
- CA machine boots;
- Logins and logouts.

### 4.6.2 Retention period for archive

Minimum retention period is three years.

### 4.6.3 Protection of archive

Archives are copied to an off-line medium in encrypted form and stored in a safe place.

### 4.6.4 Archive backup procedures

See Section 4.6.3.

### 4.6.5 Requirements for time-stamping of records

No stipulation.

### 4.6.6 Archive collection system (internal or external)

The archive system is internal to the ArmeSFo CA.

#### **4.6.7 Procedures to obtain and verify archive information**

No stipulation.

### **4.7 Key Changeover**

The ArmeSFo CA's private signing key is changed periodically; from that time on, only the new key will be used for certificate signing purposes.

The older, but still valid, certificate will be available to verify old signatures until all of the certificates signed using the associated private key have also expired.

### **4.8 Compromise and Disaster Recovery**

#### **4.8.1 Computing resources, software, and/or data are corrupted**

If the ArmeSFo CA equipment is damaged or rendered inoperative, but the CA private key is not destroyed, CA operation will be reestablished as quickly as possible. If the private key is destroyed, the case will be treated as per Section 4.8.3.

#### **4.8.2 Entity public key is revoked**

As per Section 4.8.3.

#### **4.8.3 Entity key is compromised**

If the private key of the ArmeSFo CA is, or suspected to be, compromised, the ArmeSFo CA will:

- Inform subscribers and any known relaying party;
- Terminate the certificates and CRL distribution services for certificates and CRLs issued using the compromised key;
- Generate a new CA key pair and make it immediately available in the public repository.

New certificates will be issued only in accordance with the entity identification procedure defined in Section 3.1.

If an entity private key is compromised or suspected to be compromised, the entity must request a revocation of the certificate and make all reasonable efforts to inform any known relying parties.

#### **4.8.4 Secure facility after a natural or other type of disaster**

In the case of a disaster whereby the CA installation is physically damaged and all copies of the CA signature key are destroyed as a result, the ArmeSFo CA will take whatever action it deems appropriate.

### **4.9 CA Termination**

Before the ArmeSFo CA terminates its services, it will:

- Inform the subscribers and all relying parties;
- Cease the issuance of certificates and CRLs;
- Destroy all copies of private keys;
- Make widely available the information of its termination.

## **5 Physical, Procedural and Personnel Security Controls**

### **5.1 Physical Controls**

#### **5.1.1 Site location and construction**

The ArmeSFo CA is housed in the Yerevan Physics Institute in Yerevan.

### **5.1.2 Physical access**

Physical access to the ArmeSFo CA is restricted to the authorized personnel.

### **5.1.3 Power and air conditioning**

- The ArmeSFo CA signing machine and the ArmeSFo CA web server are both protected by uninterruptible power supplies;
- Environment temperature in rooms containing CA related equipment is maintained at appropriate levels by suitable air conditioning systems.

### **5.1.4 Water exposures**

Due to the location of the ArmeSFo CA facilities, floods are not expected.

### **5.1.5 Fire prevention and protection**

The ArmeSFo CA facilities obey to the Republic of Armenia law regarding fire prevention and protection in buildings.

### **5.1.6 Media Storage**

- The ArmeSFo CA key is kept in several removable storage media;
- Backup copies of the CA related information are kept in floppies and CD-ROMs.

### **5.1.7 Waste disposal**

Waste carrying potentially confidential information such as old floppy disks, are physically destroyed before being trashed.

### **5.1.8 Off-site backup**

No stipulation.

## **5.2 Procedural Controls**

No stipulation.

## **5.3 Personnel Controls**

### **5.3.1 Background, qualifications, experience and clearance requirements**

No stipulation.

### **5.3.2 Background check procedures**

No stipulation.

### **5.3.3 Training requirements**

No stipulation.

### **5.3.4 Retraining frequency and sequence**

No stipulation.

### **5.3.5 Job rotation frequency and sequence**

No stipulation.

### **5.3.6 Sanctions for unauthorized actions**

No stipulation.

### **5.3.7 Contracting personnel requirements**

No stipulation.



### 5.3.8 Documentation supplied to personnel

- Copy of this document;
- The ArmeSFo CA Operations Manual.

## 6 Technical Security Controls

### 6.1 Key Pair Generation and Installation

#### 6.1.1 Key pair generation

- Keys for the ArmeSFo CA are generated by the ArmeSFo CA managers on dedicated machine not connected to any kind of network. The software package is OpenSSL.
- Each entity must generate its key pair. The ArmeSFo CA does not generate private keys for entities.

#### 6.1.2 Private key delivery to entity

The ArmeSFo CA does not generate private keys for entities and hence does not deliver private keys.

#### 6.1.3 Public key delivery to certificate issuer

The entities' public keys are delivered to the ArmeSFo CA by signed e-mail, floppy disks, CDRoms.

#### 6.1.4 CA public key delivery to users

The ArmeSFo CA certificate can be downloaded from the ArmeSFo CA web site.

#### 6.1.5 Key sizes

- The minimum key length for a user or host/service certificate is 1024 bits;
- The ArmeSFo CA key length is 2048 bits.

#### 6.1.6 Public key parameters generation

No stipulation.

#### 6.1.7 Parameter quality checking

No stipulation.

#### 6.1.8 Hardware/software key generation

No stipulation.

#### 6.1.9 Key usage purposes (as per X.509 v3 key usage field)

For certificates issued by the ArmeSFo CA under this policy, the *keyUsage* extension is defined in Section 7.1.2.

### 6.2 Private Key Protection

#### 6.2.1 Standards for cryptographic module

No stipulation.

#### 6.2.2 Private key (n out of m) multi-person control

No stipulation.

#### 6.2.3 Private key escrow

No stipulation.

#### **6.2.4 Private key backup and archival**

The ArmeSFo CA private key is kept encrypted in multiple copies in floppy disks and CDROMs stored in secure places.

#### **6.2.5 Private key entry into cryptographic module**

No stipulation.

#### **6.2.6 Method of activating private key**

The ArmeSFo CA private key is activated by a pass-phrase.

#### **6.2.7 Method of deactivating private key**

No stipulation.

#### **6.2.8 Method of destroying private key**

No stipulation.

### **6.3 Other Aspects of Key Pair Management**

#### **6.3.1 Public key archival**

All issued certificates are archived.

#### **6.3.2 Usage periods for the public and private keys**

The ArmeSFo CA root certificate has a validity of three years. For other entity certificates, the maximum validity period is one year.

### **6.4 Activation Data**

The ArmeSFo CA private key is protected by a strong pass-phrase.

#### **6.4.1 Activation data generation and installation**

No stipulation.

#### **6.4.2 Activation data protection**

All ArmeSFo CA operators know the activation data for the ArmeSFo CA private key. No other person knows the activation data. However, the activation data for the ArmeSFo CA private key is also kept in a sealed envelop in a safe in a separate location from the safe containing the private key and its backup copies.

#### **6.4.3 Other aspects of activation data**

No stipulation.

### **6.5 Computer Security Controls**

#### **6.5.1 Specific computer security technical requirements**

- The operating systems of the ArmeSFo CA computers are maintained at a high level of security by applying all recommended and applicable patches;
- The operating systems configuration is reduced to the base minimum;
- The signing machine is kept in a safe and powered off between uses.

#### **6.5.2 Computer security rating**

No stipulation.

### **6.6 Life Cycle Security Controls**

No stipulation.

## 6.7 Network Security Controls

Certificates are issued on a machine that is not connected to any kind of network.

## 6.8 Cryptographic Module Engineering Controls

No stipulation.

## 7 Certificate and CRL Profiles

### 7.1 Certificate Profile

#### 7.1.1 Version number

X.509 v3.

#### 7.1.2 Certificate extensions

**The following extensions are set in the ArmeSfo CA user certificate:**

- basicConstraints: **critical, CA:FALSE**
- keyUsage: **critical, digitalSignature, nonRepudiation, keyEncipherment, dataEncipherment, keyAgreement**
- subjectKeyIdentifier: **hash**
- authorityKeyIdentifier: **keyid, issuer:always**
- subjectAltName: **User's e-mail address**
- issuerAltName: **email:ca@escience.am**
- certificatePolicies: **The OID of ArmeSfo CA CP/CPS**
- crlDistributionPoints: **URI:http://www.escience.am/ca/crl.pem**
- nsCertType: **client, email, objsign**
- nsBaseUrl: **http://www.escience.am/ca/**
- nsCaPolicyUrl: **http://www.escience.am/ca/policy/**
- nsComment: **A short description of the certificate**
- nsCaRevocationUrl: **http://www.escience.am/ca/crl.pem**

**The following extensions are set in the ArmeSfo CA server/host and service certificates:**

- basicConstraints: **critical, CA:FALSE**
- keyUsage: **critical, digitalSignature, nonRepudiation, keyEncipherment, dataEncipherment, keyAgreement**
- subjectKeyIdentifier: **hash**
- authorityKeyIdentifier: **keyid, issuer:always**
- subjectAltName: **Server's Fully Qualified Domain Name**
- issuerAltName: **email:ca@escience.am**
- certificatePolicies: **The OID of ArmeSfo CA CP/CPS**
- crlDistributionPoints: **URI:http://www.escience.am/ca/crl.pem**
- nsCertType: **server, client, email**
- nsBaseUrl: **http://www.escience.am/ca/**
- nsCaPolicyUrl: **http://www.escience.am/ca/policy/**
- nsComment: **A short description of the certificate**
- nsCaRevocationUrl: **http://www.escience.am/ca/crl.pem**

**The following extensions are set in the ArmeSFo CA root certificate:**

- basicConstraints: **critical, CA:TRUE**
- keyUsage: **critical, digitalSignature, nonRepudiation, keyCertSign, cRLSign**
- subjectKeyIdentifier: **hash**
- authorityKeyIdentifier: **keyid, issuer:always**
- subjectAltName: **email:ca@escience.am**
- issuerAltName: **email:ca@escience.am**
- certificatePolicies: **The OID of ArmeSFo CA CP/CPS**
- crlDistributionPoints: **URI: <http://www.escience.am/ca/crl.pem>**
- nsCertType: **sslCA, emailCA, objCA**
- nsBaseUrl: **<http://www.escience.am/ca/>**
- nsCaPolicyUrl: **<http://www.escience.am/ca/policy/>**
- nsComment: **A short description of the certificate**
- nsCaRevocationUrl: **<http://www.escience.am/ca/crl.pem>**

**7.1.3 Algorithm object identifiers**

No stipulation.

**7.1.4 Name forms**

See Section 3.1.1.

**7.1.5 Name constraints**

See Section 3.1.2.

**7.1.6 Certificate policy object identifier**

See Section 1.2.

**7.1.7 Usage of policy constraints extensions**

No stipulation.

**7.1.8 Policy qualifier syntax and semantics**

No stipulation.

**7.1.9 Processing semantics for the critical certificate policy extensions**

No stipulation.

**7.2 CRL Profile**

**7.2.1 Version number**

X.509 v1.

**7.2.2 CRL and CRL entry extensions**

No stipulation.

## **8 Specification Administration**

**8.1 Specification Change Procedures**

Subscribers will not be warned in advance of changes to ArmeSFo CA's policy and CPS.

**8.2 Publication and Notification Policies**

The ArmeSFo CA policy is available at <http://www.escience.am/ca/policy/>.

**8.3 CPS Approval Procedures**

No stipulation.

## 9 Bibliography

- [ASGCCA CP/CPS] Academia Sinica Grid Computing Certification Authority (ASGCCA) Certificate Policy and Certification Practice Statement (Version 1.1, June 2003)
- [CERN CP/CPS] CERN Certification Authority Certificate Policy and Certification Practice Statement (Version 2.0, August 18, 2002)
- [DOE CP/CPS] DOE Grids Certificate Policy And Certification Practice Statement (Version 2.3, December 15, 2002)
- [DutchGrid CP/CPS] DutchGrid and NIKHEF Medium-security X.509 Certification Authority Certificate Policy and Practice Statement (Version 2.1, November 5, 2001)
- [EuroPKI CP] EuroPKI Certificate Policy (Version 1.1, draft 4, October 2000)
- [Grid-Ireland CP/CPS] Grid-Ireland Certification Authority Certificate Policy and Certification Practice Statement (Version 0.4, draft, June, 2002)
- [INFN CP/CPS] INFN CA Certificate Policy and Certification Practice Statement (Version 1.0, December 2001)
- [LIP CP/CPS] LIP CA Certificate Policy and Certification Practice Statement (Version 4.0, draft-F, 20 June 2003)
- [RFC 2527] S. Chokani and W. Ford, "Internet X.509 Public Key Infrastructure Certificate Policy and Certification Practice Framework", RFC2527
- [RFC3280] R. Housley et. al., "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile", RFC3280 (obsoletes: RFC2459) "
- [UK CP/CPS] UK e-Science Certification Authority Certificate Policy and Certification Practice Statement (Version 0.9, 31 March 2003)