## New Trends in Fusion Research Ambrogio Fasoli

## Centre de Recherches en Physique des Plasmas Ecole Polytechnique Fédérale de Lausanne *and MIT –USA*

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Credits and acknowledgments EFDA, CRPP, MIT-PSFC, PPPL, LLNL, SNL, IFE Forum, US-DoE, ILE Osaka, ESA, NASA, LLE, UCB, UKAEA, ..... with apologies to the many authors from whom I have 'stolen' viewgraphs EUROPEAN FUSION DEVELOPMENT AGREE EUROPEAN FUSION DEVELOPMENT AGREE

### Lay-out of the course (can still be changed!)

- Mon Oct. 11 –*1h* 
  - Fusion basics, Lawson criterion, plasmas, confinement schemes
  - Inertial confinement fusion
- Tue Oct 12 –2*h* 
  - Magnetic confinement: principles and general challenges
  - The tokamak
  - Heating and current drive
  - Macroscopic equilibrium, stability, operational limits, disruptions
  - Plasma-wall intereaction
- Wed Oct 13 –2*h* 
  - Plasma diagnostics
  - Transport of energy and particles
  - The burning plasma regime
  - The future: ITER, the world burning plasma experiment

#### Web links

- Plasma physics lectures at EPFL http://crppwww.epfl.ch/lectures/
- CRPP-EPFL http://crppwww.epfl.ch
- EFDA http://www.efda.org
- JET http://www.jet.efda.org
- ITER http://www.iter.org
- A useful US-based site with many fusion links http://www.fire.pppl.gov

#### Lay-out of lecture 1 (today)

#### Fusion basics

- Energy needs
- Urgency of alternates to fossil fuels: scarcity, environmental issues
- Plasmas
- Why *thermo*-nuclear fusion? Coulomb collisions in plasmas
- Fusion energy balance: Lawson criterion, breakeven and ignition
- Confinement schemes
- Inertial confinement fusion (*the view of a non-expert*)
  - Direct drive
  - Indirect drive
  - Drivers: lasers, ion beams, X-ray (Z-pinch)
  - Fast ignition

#### Growth of world population and energy demand



Energy demand grows even faster than world population



#### **Unequal distribution of energy consumption**



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#### **Reliance on fossil fuels**

Fossil Fuels have been produced from decayed plant and animal matter over millions of years, cannot be re-formed in time



R.W.Bentley et al., Perspectives on the Future of Oil, Vol. 18, Nos. 2&3 2000, MULTI-SCIENCE PUBLISHING CO. LTD., 107 High Street, Brentwood, Essex, CM14 4RX, UK.

#### Urgency of alternates development Oil geographical distribution



(source BP statistical review 2002)

#### **Urgency of alternates development** Environmental impact (CO<sub>2</sub> and global warming)



#### CO<sub>2</sub> is prime greenhouse gas



#### **Modelling global warming**



#### The effects of climate change



Ambitious goal for 2050 (when total world power market predicted to be 30TW)

Limit CO<sub>2</sub> to twice pre-industrial level: will need 20 TW of CO<sub>2</sub>-free power (today's world total power market is 13 TW)

US DoE "The technology to generate this amount of emission-free power does'nt exist"

**Urgency of alternates development** Scarcity of resources: related to CO2 limitations

#### "BEST-PLAUSIBLE-HOPE" PROJECTION: 5 TW OF NEW NON-FOSSIL ENERGY SOURCES NEEDED BY 2030 (e.g., SOLAR, ADVANCED FISSION, FUSION)





#### Alternative energy sources Nuclear Fission





- Long lived radioactive waste products (many thousands of years) that require transportation, re-processing and geological storage
- Public concerns on safety

#### Alternative energy sources Renewables





Renewables (wind, wave, solar, hydro) are the most attractive option at present and offer long term, clean energy reserves

**However** :

•Low energy density

•Fluctuations in time require storage systems

#### Ex.: Contribution to electricity of wind energy in 2002

Country or region	Cumul. installed (GW) 2002	share of electricity by wind
Germany	12	4%
Spain	5	5.5%
Denmark	2.8	18.5%
EU	24	2%
US	4.7	0.3%
Total World	32	0.45%

#### Going to 20% of electricity in EU (240GW) would mean 1500 big wind parks



Horns Rev in Denmark: 160 MW (80 mills)

## Thermonuclear Fusion

#### **Fusion reactions**



electricity

#### Schematic of a fusion power plant



#### **Advantages of fusion energy**

- High energy density fuel
   1g D-T →26000kW-hr (1g coal→0.003kW-hr)
- Abundant fuel, available everywhere
  - D is 1/6500 of H (OK for 10<sup>10</sup> years)
  - Li is 17ppm of crustal rock (OK for 10<sup>3</sup> years)
- Environmental
  - no CO<sub>2</sub> emission
  - no high-level radioactive wastes
- No risk of nuclear accidents (<5min of fuel in reactor)
- No generation of weapons material
- Geographically concentrated, little use of land
- Not subject to local or seasonal variations

# Comparison of activation in fusion and fission reactors



#### T~10keV: matter is under the form of plasma Definition of a plasma

#### - Ensemble of changed particles, globally neutral



- Exhibiting collective behaviour

 $\phi(r) = \frac{e}{4\pi\varepsilon_0} \frac{1}{r} \exp\left\{-\frac{r}{\lambda_D}\right\} \qquad \lambda_D = \sqrt{\frac{T}{n_0 e^2/\varepsilon_0}}$ 

• Local response to violation of neutrality Static: screening of charge Debye length  $\lambda_{D}$ 

Dynamical: plasma oscillations

$$\omega_p = \frac{1}{\tau} = \sqrt{\frac{e^2 n}{\varepsilon_0 m}} \quad \text{``plasma frequency''}$$

#### **Examples of plasmas**



#### Why *thermo*-nuclear fusion ? A note on collisions in plasmas

- Short range Charged particles neutrals (ionisation)
  inelastic Nuclear (fusion reactions)
  - Nuclear (fusion reactions)
- Long range ~ elastic
- Charged particles charged particles (Coulomb)
  - Approximate many interactions within the Debye sphere with binary interaction, consider all possible collisions and average



 $b_{90}$ : impact parameter for deflection of  $90^{0}$ 

# **Coulomb collisions: cumulative effects and effective collision frequencies**

- Consider all scatterers, integrate over b and particle distribution
- Ex. effective collision frequency for energy exchange

$$\bar{\nu}_{E_{k}}^{e/i} = \frac{1}{E_{k}} \int f_{e}(\mathbf{v}_{e}) d^{3}v \underbrace{\int_{b_{min}}^{b_{max}} \frac{m_{e}v^{2}}{2} \frac{m_{e}}{m_{i}} \left(\frac{2b_{90}}{b}\right)^{2} n v 2\pi b db}_{\int_{b_{min}}^{b_{max}} \Delta E_{k} n v d\sigma} = \frac{dE_{k}}{dt} \quad Hotter \rightarrow less \ collisional$$

$$K = \frac{2}{3} \sqrt{\frac{2}{\pi} \frac{Z^2 e^4 m_e^{1/2}}{4\pi \varepsilon_0^2 m_i}} \ln \Lambda , \qquad \Lambda \equiv \int_{b_{\min}}^{b_{\max}} \frac{db}{b} , \qquad \text{`Coulomb logarithm''}$$

• We are considering only small angles

$$\implies \qquad b_{\min} \simeq max\{b_{90}, \lambda_{\text{DeBroglie}}\} \tag{7}$$

• Due to the Debye screening effect, outside the Debye sphere the potential is screened, so the Coulomb collisions are no more effective

$$\implies b_{\max} \simeq \lambda_D.$$
 (8)

#### **Coulomb collisions: plasma resistivity**

$$\eta = \frac{\sqrt{2}}{\pi^{3/2}} \frac{m_e^{1/2} Z e^2 \ln \Lambda}{12 \varepsilon_0^2 T_e^{3/2}} \propto T_e^{-3/2}$$

#### Resistivity - quantitative estimates

- 1. Plasma at 100 eV:  $\eta \sim 6 \cdot 10^{-7} \ \Omega m \ [\sim \eta \ of \ stainless \ steel]$
- 2. Plasma at 1 keV:  $\eta \sim 2 \cdot 10^{-8} \Omega m [\sim \eta \text{ of copper}]$
- 3. For  $T\gg 1~{\rm keV}$  plasma becomes almost superconducting

**Observations** The decrease of resistivity with temperature has two important consequences:

- 1. Magnetic flux is 'frozen' within plasma (e.g. solar wind carrying B-field with it)
- 2. Heating by current ('ohmic heating') becomes less and less effective at high  $T_e$ .

#### **Coulomb collisions: characteristic time scales**



• Ex. H plasma,  $T_e = T_i = 10 \text{keV}$ ;  $n = 10^{20} \text{ m}^{-3}$ 

For momentum exchange and equilibrium within one species  $\tau_{p,Ek}^{e/e} \sim 0.2ms;$   $\tau_{p,Ek}^{i/I} \sim 10ms$ 

For thermal equilibrium between the two species  $\tau_{Ek}^{e/i} \sim 0.5s$ 

#### Coulomb collision $\sigma$ is much larger than fusion $\sigma$ for all energies



Fusion reactors must deal with 'thermal' plasmas

#### The Lawson criterion: energy production

- Fusion power density  $\equiv P_f$ 

 $= n_{D} n_{T} < \sigma v > E_{f} ; E_{f} = 17.6 MeV$ =  $\frac{1}{4}n^{2} < \sigma v > E_{f} (n_{D} = n_{T} = n/2)$ 

- Of this, 20% is in the  $\alpha$ 's:  $P_{\alpha} = P_f/5$ 



- <σv> is the rate at which fusion reactions take place ('thermonuclear' fusion: we can average over Maxwellian distributions of D and T)
  - Ex.: n=5×10<sup>20</sup> m<sup>-3</sup>, max(< $\sigma$ v>)
  - To have P<sub>f</sub>~1 GW we need a volume V~6 m<sup>3</sup>
  - If only it was so easy....

#### **The Lawson criterion: losses**

- Losses
  - Thermal energy density
    - W=3nT is lost over characteristic time  $\tau_E$ :  $P_{loss}$ =W/ $\tau_E$
  - Bremstrahlung radiation
    - $P_b = A Z^2 n^2 T^{1/2}$  (X-rays)
  - Cyclotron emission
    - $P_{cycl} = C nT B^2$  (micro-waves)
    - but ~only for electrons, and mostly reabsorbed by plasma either directly or after reflection from metal walls → negligible

#### Assumptions

- Plasma is pure 50:50 D-T
- Efficiency of conversion of thermal energy into electricity= $\eta_1$
- Efficiency of conversion of electricity into plasma heating = $\eta_2$
- Total efficiency  $\eta = \eta_1 \eta_2$
- The power density re-injected in plasma is  $\eta(W/\tau_E + P_b + P_f)$

The Lawson criterion: breakeven
Breakeven: Power reinjected = Losses

$$\eta(\mathbf{W}/\tau_{\mathrm{E}} + \mathbf{P}_{\mathrm{b}} + \mathbf{P}_{\mathrm{f}}) = \mathbf{W}/\tau_{\mathrm{E}} + \mathbf{P}_{\mathrm{b}}$$



#### The Lawson criterion: ignition

• If  $\alpha$ 's are confined, external heating is not needed (and bremstrahlung can be neglected) if  $P_{\alpha} = W/\tau_E$ 

**Ignition**  
**condition**  
$$n \tau_E = \frac{3T}{\frac{\langle \sigma v \rangle}{4} E_{\alpha}} = fct(T)$$

*conjinemeni* 

- Ignition condition  $\leftarrow \rightarrow$  efficiency  $\eta = 1/(1+E_{\alpha}/E_{f}^{\text{total}})=0.136$
- Fusion energy gain:  $Q \equiv P_{fusion}/P_{heat} = 5 P_{\alpha}/P_{heat}$
- $\alpha$  heating fraction:  $f_{\alpha} \equiv P_{\alpha}/(P_{\alpha}+P_{heat})=Q/(Q+5)$

<b>Q=1</b>	f <sub>α</sub> =17% breakeven	
Q=5	f <sub>α</sub> =50%	burning plasma
<b>Q=∞</b>	$f_{\alpha} = 100\%$ ignition	01
Need	$n \tau_E \sim 10^{21} m^{-3} s at T \sim 10 keV$	

neaing

#### **Plasma confinement**

- Magnetic  $n \sim 10^{20} \text{ m}^{-3}$  $\tau_E \sim 10 \text{ s}$
- Inertial  $n \sim 10^{31} m^{-3}$   $\tau_E \sim 10^{-10} s$
- Need to confine and heat the plasma



#### **Inertial Confinement Fusion**

- A capsule with D-T is irradiated by lasers, X-rays, or particle beam



- Compression: need ~  $10^{12}$  bar to reach  $10^{31}$ m<sup>-3</sup>
  - Laser with  $10^{16} \text{ W/cm}^2 \rightarrow p_{\text{light}} \sim 10^6 \text{ bar, largely insufficient}$
  - Shock waves at the pellet surface, arriving at the center at the same time
  - Once fusion starts,  $\alpha$  heating sustains the reactions
- Heating to ignition must occur before ions fly away
  - Energy flux F:  $\tau_{heat} = U_{th} / (4\pi R^2 F)$ ;  $U_{th} = 3nT(4/3\pi R^3)$  $\tau_{heat} < \text{inertial time} = R/v_{sound}$  (~100ps)  $\rightarrow F > nT^{3/2}/m_i^{1/2} \sim 5 \times 10^{15} \text{ W/cm}^2$



## **ICF: general issues**

- Uniformity, stability of compression
  - Rayleigh-Taylor hydro-dynamic instability
    - Low density vaporised shell pushes high density D-T ice layer
    - Magnifies surface irregularities and may prevent ignition



- Capsule design
- Efficiency of drivers
- Steady-state: extension of techniques from single pulse to many repetitive pulses for energy production
- Materials for first wall
  - Long lifetime, low induced radio-activity, ...
- Optimisation to reduce cost and increase efficiency

#### **ICF: direct and indirect drive**







#### **Indirect drive**



Hohlraum



#### **ICF** – direct drive: ex. of results Initial 2D hydrodynamic simulations show good agreement with experimental $\alpha$ =4 cryogenic target results DRACO code simulated density contours $\alpha = 4$ pulse. 17 kJ 100-µm thick ice layer Density near peak burn 8-µm rms ice roughness 28969 200 Density $(q/cm^3)$ 18.4 150 12.3 R (µm) 6.1 100 0.0 Expt 1-D 2-D $5.95 \times 10^{9}$ $5.60 \times 10^{10}$ $5.32 \times 10^{9}$ Yin 50 Y<sub>2</sub> $6.75 \times 10^{7}$ $6.94 \times 10^{8}$ $6.31 \times 10^{7}$ $<\rho R>$ 67 80.058 Tion 2.51.7 2.00 -100-500 50 100 T.C.Sangster et al. Phys. Plasmas 10, $Z (\mu m)$ 1937 (2003 Low $\alpha$ -pulse High $\alpha$ -pulse I A $\alpha = P_{\text{fuel}}/P_{\text{Fermi}}$ P<sub>Fermi</sub>=Fermi degenerate pressure **Good stability** High gain

#### ICF – indirect drive: issues



- Ex. of avenue for optimisation: higher holraum T  $\rightarrow$  higher p, implosion velocity, compression  $\rightarrow$  ignition with less energy

#### ICF – laser drive: the US NIF project



#### **ICF – laser drive developments**

- Today's laser drivers are limited for power plant use by
  - Efficiency (should be >5%)
  - Repetition rate (should be >0.1Hz)
  - Damage to injection windows/mirrors by heat, n, debris
- New laser developments
  - Diode-Pumped Solid-State Laser Driver
    - Diodes instead of flashlamps to pump a solid-state laser could permit rapidly repeated firings, efficiency needed for power generation
      - Ex. Mercury laser at LLNL should reach: 100J, 10Hz, 10% efficiency, 3ns
  - Krypton-Flouride Gas Laser Driver
    - With the KrF laser (0.248µm), the laser medium is a gas that can be circulated for heat removal to achieve high repetition rate
- Other driver methods
  - Ion beams (indirect drive)
  - High rep rate z-pinches

#### **ICF** – ion beam indirect drive

and

Injector

- Heavy ion beams
  - Ions hit target, energy gets converted into X-rays that compress pellet
  - Ex. Cs ions, 400 TW
- Challenges
  - Beam transport, space-charge, emittance
  - Pulse compression
  - Focus and deposition depth (light vs. heavy ions)
  - Cost, but one accelerator could drive many target chambers



#### ICF – heavy ion beam target

- Similar issues to laser drive
  - Stability, ignition and burn propagation, symmetry control



#### ICF – heavy ion driver: future developments

The heavy ion fusion program plans consists of distinct experiments on ion sources, beam transport, and focusing to be followed by an integrated beam experiment





### **ICF – drive by X-rays from z-pinch**

- Ex. Sandia NL
  - 360 tungsten wires  $(\sim 1/10 \text{ of human hair})$ collapse, evaporate, form a plasma in high current pulse
  - Plasma emits X-rays: T~150eV E~2.0MJ P~100TW
  - Next generation: (Sandia) ~16MJ?
- X-rays-Z -machine

#### Z machine



Implosion

Emission



#### ICF – drive by X-rays from z-pinch Progress in the symmetry of implosion of targets driven by indirect drive from double z-pinch Radius vs. time



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G. Bennett, M. Cuneo, R. Vesey

## **ICF: fast ignition**

- Conventional ICF
  - D-T gas compressed by imploding solid
     D-T must form fusion 'hot spot', igniting and generating symmetrically propagating burn
  - Even if implosion is uniform, if hot spot is not symmetric, it squirts out, mixes with colder D-T and burn is prevented
- Fast ignition
  - Idea: decouple compression and ignition
  - No need for hot spot: at max compression, a very short (<10<sup>-11</sup>s) power pulse is injected on the side
  - →lower energy, inexpensive drivers could be used for simpler task of compressing fusion fuel if no need for a hot spot



#### ICF: fast ignition may give higher gain

FI at NIF



### Fast ignition is compatible with all drivers











#### **ICF – fast ignition: promising results?**

Enhanced neutron output from fast heating of deuterated direct drive shell implosion on Gekko XIII laser (Japan,UK) R. Kodama, et al., Nature 412, 798 (2001)



1.2 KJ compression pulse + 60 J, 100 tw fast heating pulse

Green lasers, t = 1-2 nsec. ~10<sup>14</sup> W/cm<sup>2</sup>

1 μm laser, t=0.5ps ~10<sup>19</sup> W/cm<sup>2</sup>

#### ICF – FI medium term development in Japan

FIREX (Fast Ignition Realization Experiment)
Purpose: Establishment of fast ignition physics and ignition demonstration
Starting Conditions: high density compression (already achieved)
heating by PW laser (1keV already achieved)



The overview of FIREX-II

Heating laser 50 kJ pulse width 10 ps implosion laser 50 kJ

#### Foreseen ICF development steps (US view)



#### **Summary of progress in inertial fusion**

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After J. Lindl et. al., 1995, Physics of Plasmas, Vol. 2, No. 11, p. 3933

#### **Progress in magnetic fusion**



Fusion Triple Product - density (particles/m<sup>3</sup>) x confinement time (s) x Temperature (keV)

#### ICF – indirect drive: ignition plan on NIF



#### Hope from fast ignition roadmap towards a reactor in Japan



#### **ICF systems under development**

Table 1:         List of Major ICF Driver Facilities         and Their Operating Parameters         (Table Includes both Operating and Planned Facilities)					
Location	Driver	Operating Parameters	Neutron Production per Shot		
Sandia National Laboratory (USA)	PBFA-II (light ion beam)	36 Beams 100 TW (design) 10 TW (actual)	Unknown		
Sandia National Laboratory (USA)	Z-pinch	2 MJ 290 TW 140 eV	D-T target not used yet.		
Sandia National Laboratory (USA)	X-1 (successor to z-pinch) (Conceptual Design)	16 MJ 1000 TW	Projection Unknown		
Europe	Heavy Ion Design for Ignition Facility (HIDIF) (Conceptual Design)	48 Beams 1 MJ 27 TW	Projection Unknown		
Lawrence Livermore National Laboratory (USA)	NOVA laser	10 Beams ~40-70 kJ ~100 TW	$10^8$ -3.6x10 <sup>13</sup>		
Lawrence Livermore National Laboratory (USA)	National Ignition Facility (NIF)	192 Beams 1.8 MJ ~360 TW	10 <sup>19</sup> (projected at max 20 MJ yield scenario)		
Osaka (Japan)	GEKKO-XII	12 Beams 15-30 kJ 0.1-10 ns	10 <sup>13</sup>		
Osaka (Japan)	Kongoh (Under Design)	92 Beams 300 kJ 100 TW	?		
Bordeaux (France)	Laser Mégajoule	1.8 MJs 120 TW	Same range as NIF		
VNIIEP (Russia)	Iskra-5	12 Beams 15 kJ 0.25 ns	?		

#### Long term development of z-pinch

The long-range goal of Z-Pinch IFE is to produce an economically-attractive power plant using high-yield z-pinch-driven targets (~3 GJ) at low rep-rate (~0.1 Hz)



Z-Pinch IFE DEMO (ZP-3, the first study) used 12 chambers, each with 3 GJ at 0.1 Hz, to produce 1000 MWe

## Control of hydrodynamic instabilities and laser imprint determine key features of laser direct drive targets



