### Hadronic final states and resummation

Gavin Salam

LPTHE — Univ. Paris VI & VII and CNRS

HERA-LHC workshop 26 March 2004

# **Background and Aim [of HERA LHC Workshop]**

http://www.desy.de/~heralhc/#aim

The impact of measurements made at HERA, present and future, on the physics of the LHC is potentially large. However, this potential is currently not as well explored as e.g. the more obvious connection between the Tevatron and the LHC.

# **Background and Aim [of HERA LHC Workshop]**

http://www.desy.de/~heralhc/#aim

The impact of measurements made at HERA, present and future, on the physics of the LHC is potentially large. However, this potential is currently not as well explored as e.g. the more obvious connection between the Tevatron and the LHC.

The most obvious area of impact is in the determination of proton structure from very low to very high x, which is measured precisely at HERA.

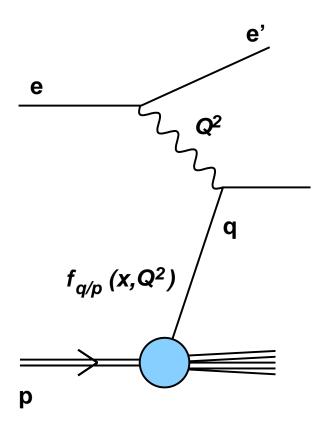
# **Background and Aim [of HERA LHC Workshop]**

http://www.desy.de/~heralhc/#aim

The impact of measurements made at HERA, present and future, on the physics of the LHC is potentially large. However, this potential is currently not as well explored as e.g. the more obvious connection between the Tevatron and the LHC.

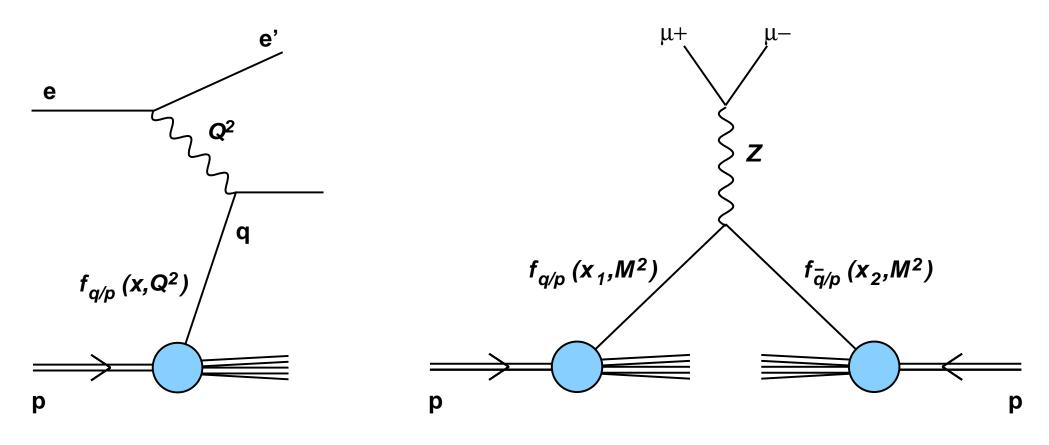
The most obvious area of impact is in the determination of proton structure from very low to very high x, which is measured precisely at HERA. Other topics include QCD production of heavy flavors and the study of multi-jet final states, energy flows and structure of underlying events.

# 'Problem' is (collinear) factorization



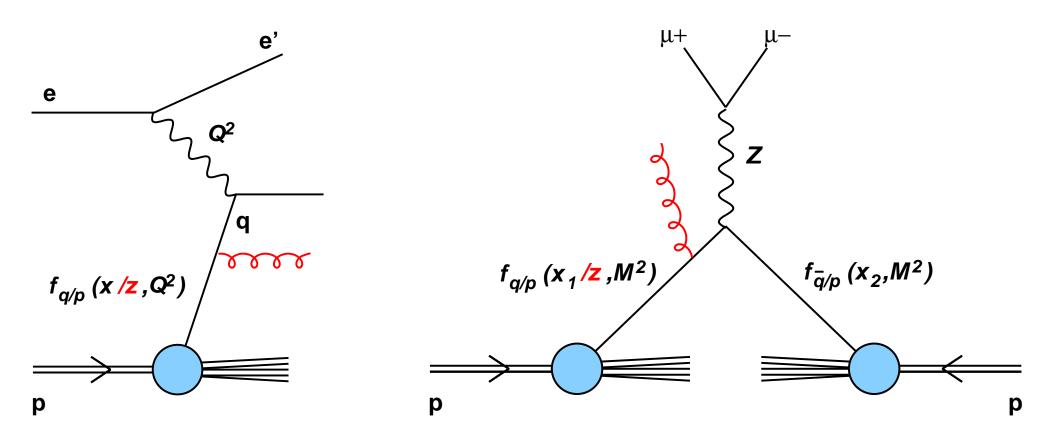
Measure PDFs, measure  $\alpha_s(Q^2)$ , evolve with DGLAP

# 'Problem' is (collinear) factorization



- Measure PDFs, measure  $\alpha_s(Q^2)$ , evolve with DGLAP
- Predict, perturbatively, cross sections for other hard processes

# 'Problem' is (collinear) factorization



- Measure PDFs, measure  $\alpha_s(Q^2)$ , evolve with DGLAP
- Predict, perturbatively, cross sections for other hard processes
- Predict, perturbatively, any (infrared-collinear safe) final-state observable [Initial-state collinear singularities are absorbed into PDFs]

- LO calculations with many partons / arbitrary final states
  - NJETS, VECBOS, ALPGEN, COMPHEP, GRACE, AMEGIC,
- NLO calculations (2 jets, 3 jets)
  - JETRAD, DYRAD, MEPJET, DISENT, DISASTER++, JETVIP, NLOJET, MCFM, PHOX family,
- NNLO calculations (2 jets)
  - coming soon...

- LO calculations with many partons / arbitrary final states
  - NJETS, VECBOS, ALPGEN, COMPHEP, GRACE, AMEGIC,
- NLO calculations (2 jets, 3 jets)
  - JETRAD, DYRAD, MEPJET, DISENT, DISASTER++, JETVIP, NLOJET, MCFM, PHOX family,
- NNLO calculations (2 jets)
  - coming soon...

- 'Parton shower' generators
  - PYTHIA, HERWIG, RAPGAP, . . .
- Parton showers interfaced with LO multi-parton generators
- Parton showers at NLO
  - MC@NLO

- LO calculations with many partons / arbitrary final states
  - NJETS, VECBOS, ALPGEN, COMPHEP, GRACE, AMEGIC, ...
- NLO calculations (2 jets, 3 jets)
  - JETRAD, DYRAD, MEPJET, DISENT, DISASTER++, JETVIP, NLOJET, MCFM, PHOX family,
- NNLO calculations (2 jets)
  - coming soon...

- 'Parton shower' generators
  - PYTHIA, HERWIG, RAPGAP, . . .
- Parton showers interfaced with LO multi-parton generators
- Parton showers at NLO
  - MC@NLO
- DY/Higgs  $p_t$  resummations
  - NLL: RESBOS
  - NNLL: Bozzi et al
- Event & jet shape resummations
  - c. 20 analytical calculations
  - DISRESUM, CAESAR

- LO calculations with many partons / arbitrary final states
  - NJETS, VECBOS, ALPGEN, COMPHEP, GRACE, AMEGIC,
- NLO calculations (2 jets, 3 jets)
  - JETRAD, DYRAD, MEPJET, DISENT, DISASTER++, JETVIP, NLOJET, MCFM, PHOX family,
- NNLO calculations (2 jets)
  - coming soon...

- 'Parton shower' generators
  - PYTHIA, HERWIG, RAPGAP, . . .
- Parton showers interfaced with LO multi-parton generators
- Parton showers at NLO
  - MC@NLO
- DY/Higgs  $p_t$  resummations
  - NLL: RESBOS
  - NNLL: Bozzi et al
- Event & jet shape resummations
  - c. 20 analytical calculations
  - DISRESUM, CAESAR

The only inputs needed are PDFs and  $\alpha_s$  (+ hadronization ?)

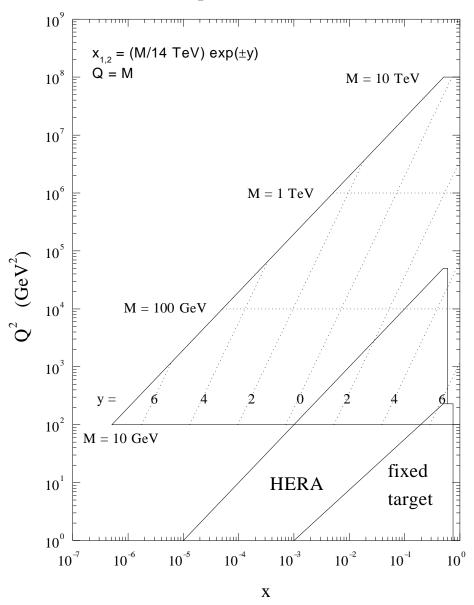
- LO calculations with many partons / arbitrary final states
  - NJETS, VECBOS, ALPGEN, COMPHEP, GRACE, AMEGIC,
- NLO calculations (2 jets, 3 jets)
  - JETRAD, DYRAD, MEPJET, DISENT, DISASTER++, JETVIP, NLOJET, MCFM, PHOX family,
- NNLO calculations (2 jets)
  - coming soon...

- 'Parton shower' generators
  - PYTHIA, HERWIG, RAPGAP, . . .
- Parton showers interfaced with LO multi-parton generators
- Parton showers at NLO
  - MC@NLO
- DY/Higgs  $p_t$  resummations
  - NLL: RESBOS
  - NNLL: Bozzi et al
- Event & jet shape resummations
  - c. 20 analytical calculations
  - DISRESUM, CAESAR

The only inputs needed are PDFs and  $\alpha_s$  (+ hadronization ?)

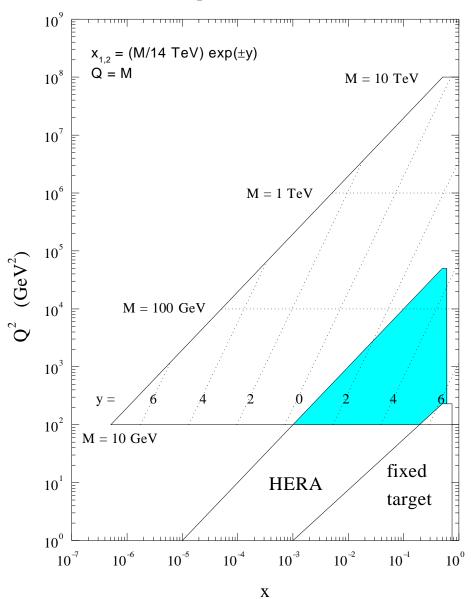
So that's all we need from HERA...

### Answer depends on kinematic domain



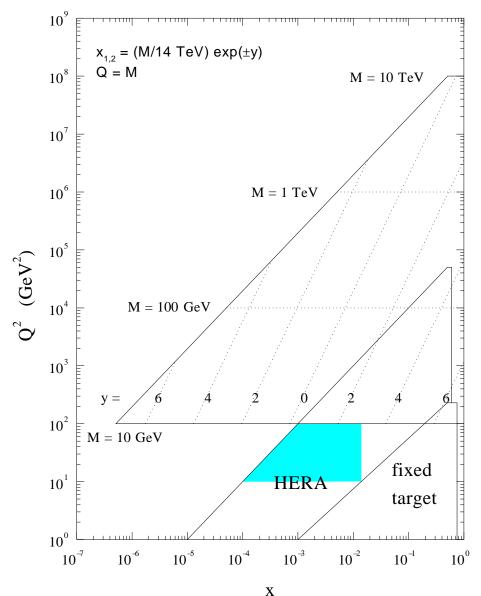
### Answer depends on kinematic domain

- High(ish) Q, moderate x
  - QCD comparisons work well
  - HERA has powerful & varied final-state analysis techniques



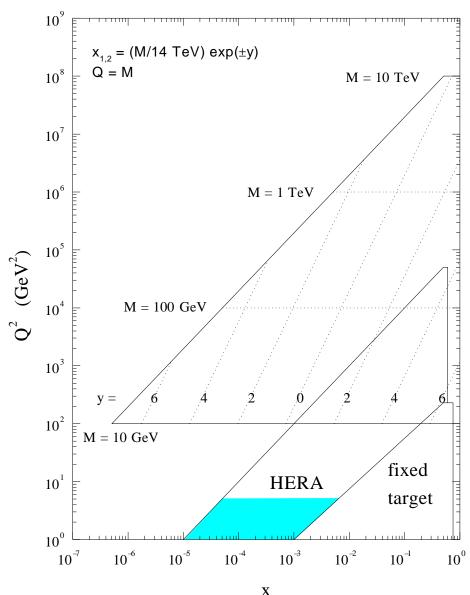
### Answer depends on kinematic domain

- High(ish) Q, moderate x
  - QCD comparisons work well
  - HERA has powerful & varied final-state analysis techniques
- ullet moderate Q, smallish x
  - Onset of small-x effects
  - Might they matter at LHC?



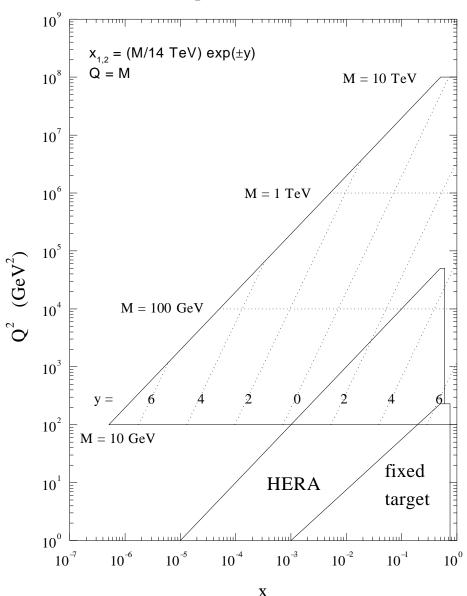
### Answer depends on kinematic domain

- High(ish) Q, moderate x
  - QCD comparisons work well
  - HERA has powerful & varied final-state analysis techniques
- ullet moderate Q, smallish x
  - Onset of small-x effects
  - Might they matter at LHC?
- ullet low Q, small x
  - BFKL, saturation & high parton densities?
  - Relevant for minimum bias & underlying event at LHC?



### Answer depends on kinematic domain

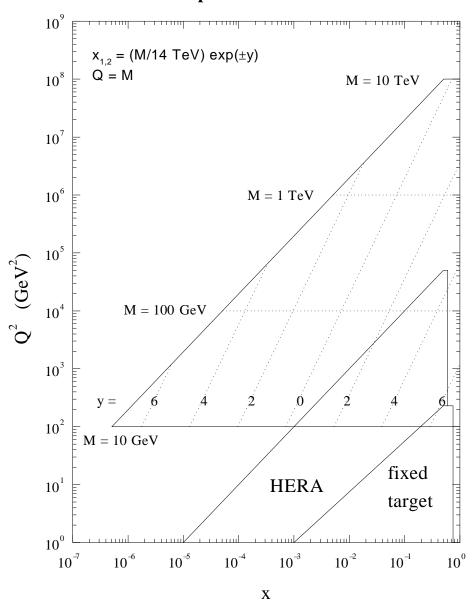
- High(ish) Q, moderate x
  - QCD comparisons work well
  - HERA has powerful & varied final-state analysis techniques
- ullet moderate Q, smallish x
  - Onset of small-x effects
  - Might they matter at LHC?
- ullet low Q, small x
  - BFKL, saturation & high parton densities?
  - Relevant for minimum bias & underlying event at LHC?
- diffraction



### Answer depends on kinematic domain

- ullet High(ish) Q, moderate x
  - QCD comparisons work well
  - HERA has powerful & varied final-state analysis techniques
- ullet moderate Q, smallish x
  - Onset of small-x effects
  - Might they matter at LHC?
- ullet low Q, small x
  - BFKL, saturation & high parton densities?
  - Relevant for minimum bias & underlying event at LHC?
- diffraction





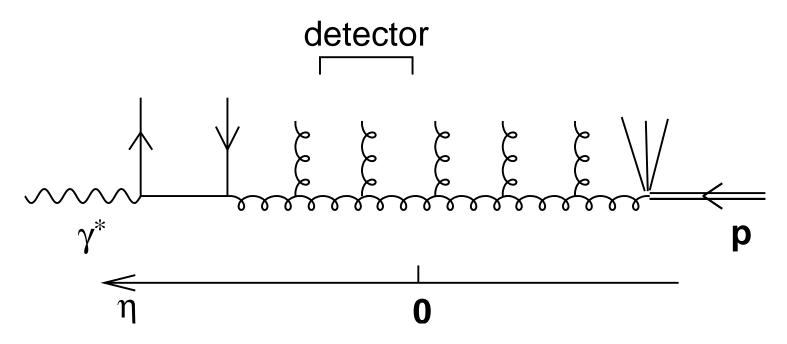
# What works, what does not

SMALLX 'collaboration'	collinear factorization				$k_t$ —
hep-ph/0312333	direct		resolved		factorization
	LO+PS	higher order	LO+PS	higher order	LO+PS
		NLO (dijet)		NLO (dijet)	
HERA observables					
DIS D* production		ok	?	?	ok
photoprod. of D*	ok	ok	ok	no	ok
DIS B production (visible)	ok	ok			ok
DIS B production (total)	no	ok			no
photoprod. of B (visible)	ok	?			ok
photoprod. of B (total)	no	no	?	?	ok
high $Q^2$ di-jets	?	ok	?	?	?
low $Q^2$ di-jets (cross sec.)	?	ok	?	no	?
low $Q^2$ di-jets (azim.corr.)	no	no	ok	?	ok
		NLO 3-jet no			
photoprod. of di-jets	?	ok	?	no	?
				ok	
particle spectra	no		ok		ok
energy flow	no	_	ok		?
HERA small- $x$ observables					
DIS forward jet production	no	no	ok	ok	ok
DIS forward $\pi$ production	no	?	ok	?	1/2
DIS $J/\psi$ prod.	?		?	?	ok
photoprod. of $J/\psi$	no	ok		ok	ok
$J/\psi$ polarization				low.stat.	low.stat.

# What works, what does not

_		
collinear fa		
direct		
LO+PS	higher order	
	NLO (dijet)	
	ok	
ok	ok	
ok	ok	
no	ok	
ok	?	
no	no	
?	ok	
?	ok	
no	no	
	NLO 3-jet <mark>no</mark>	
?	ok	
no		
no	idronic final states and resummation – p.6/25	
	ok ok no ok no ok no ? ? no ?	

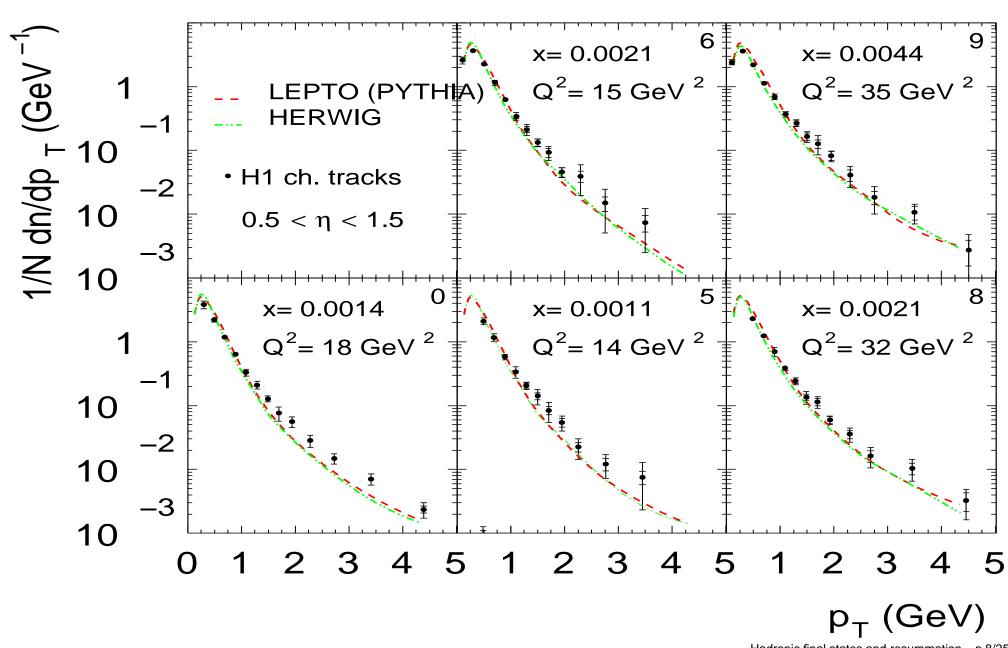
# E.g.: charged-particle $p_t$ spectra



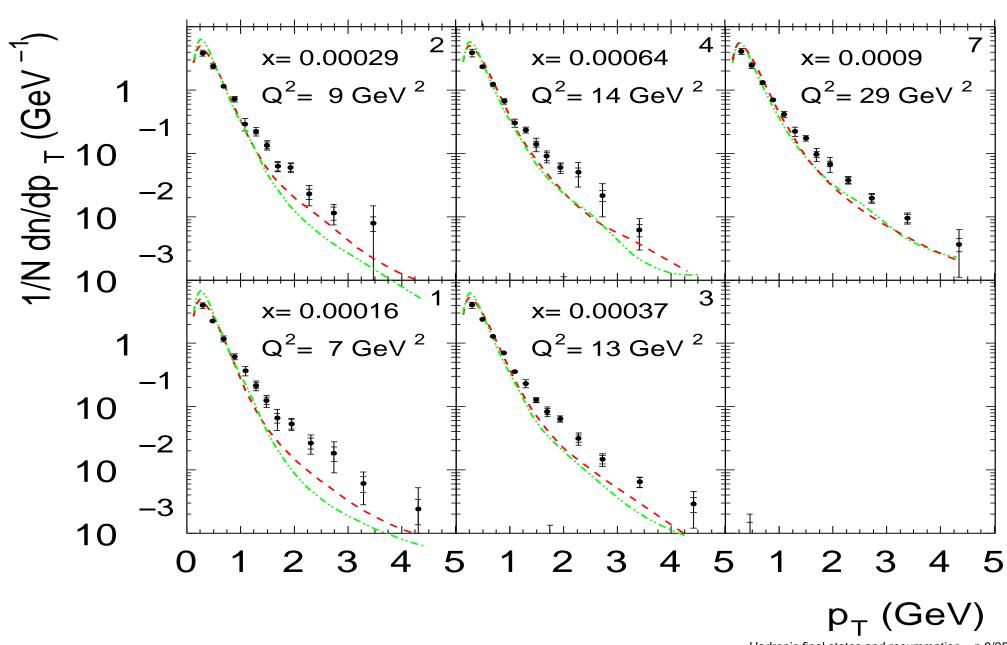
Study charged particle spectra as a function of

- ullet photon virtuality  $Q^2$
- Bjorken-x
- particle rapidity

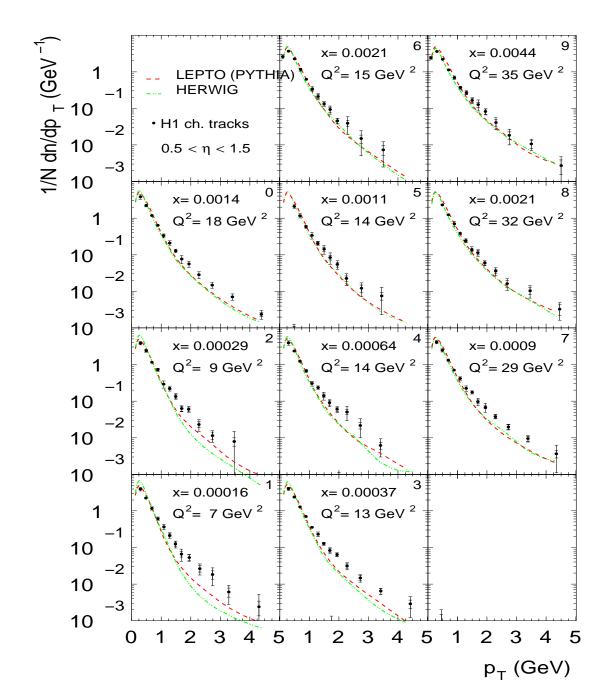
## **Measured spectra**



### **Measured spectra**

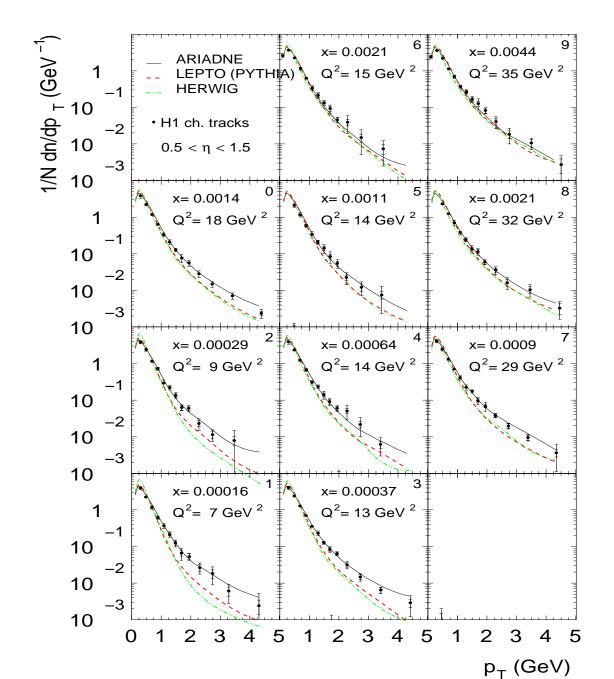


# E.g.: $p_t$ spectra



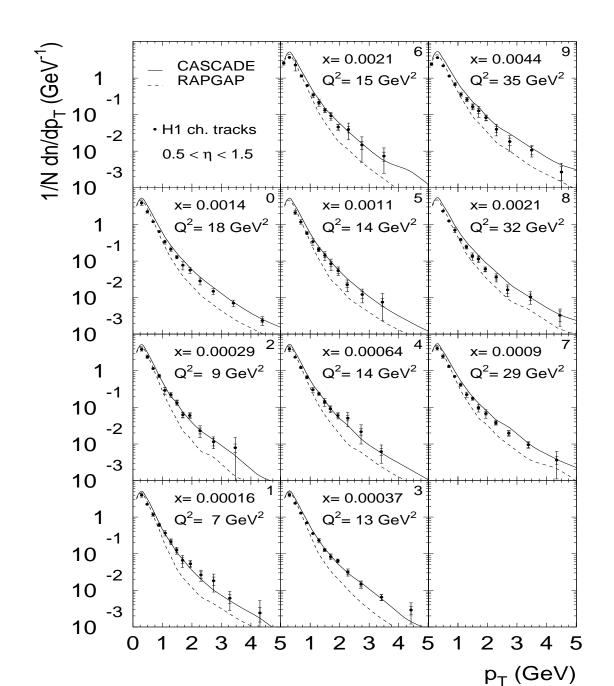
Independently of  $Q^2$ , clear problem for PYTHIA & HERWIG at  $x \lesssim 10^{-3}$ .

# E.g.: $p_t$ spectra



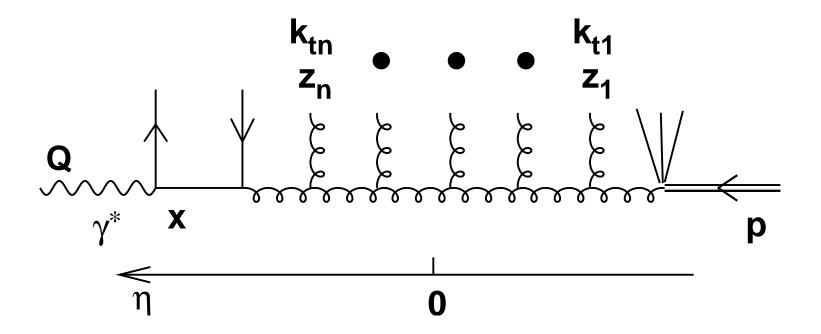
- Independently of  $Q^2$ , clear problem for PYTHIA & HERWIG at  $x \lesssim 10^{-3}$ .
- ARIADNE gets it right.
  - Ariadne often works well at small-x
  - Theoretical interpretation unclear

### E.g.: $p_t$ spectra

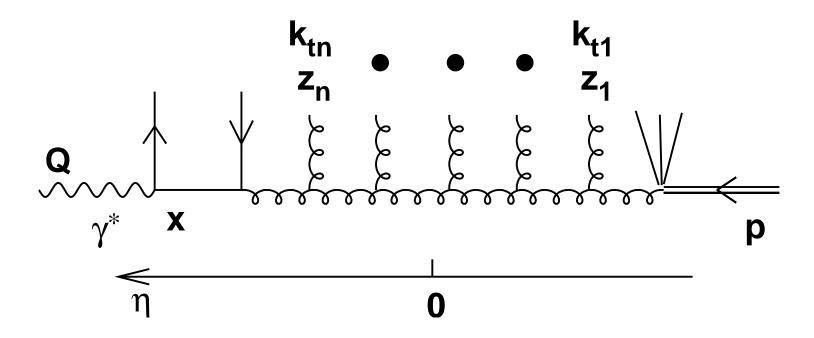


- Independently of  $Q^2$ , clear problem for PYTHIA & HERWIG at  $x \lesssim 10^{-3}$ .
- ARIADNE gets it right.
  - Ariadne often works well at small-x
  - Theoretical interpretation unclear
- CASCADE (& LDC) does too
  - CASCADE & LDC are CCFM/BFKL based they resum  $(\alpha_{\rm s} \ln x)^n$
  - Is this a sign of onset of small-x effects?

# Brief recap on small-x effects (BFKL/CCFM)



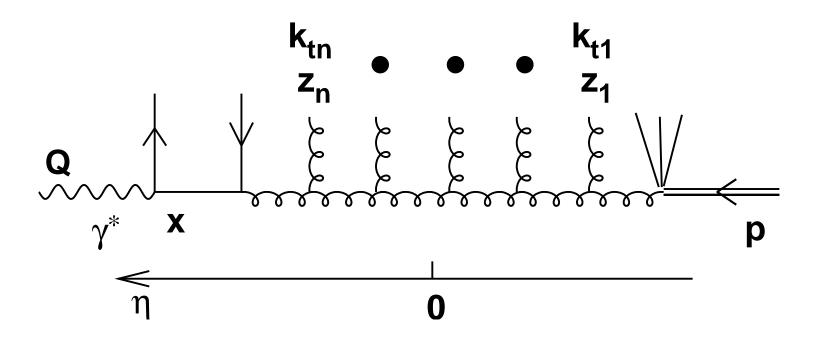
# Brief recap on small-x effects (BFKL/CCFM)



#### Collinear factorization

- transverse momentum ordering  $Q \gg k_n \gg \cdots \gg k_1$
- ullet resummation of  $\left(lpha_{\mathsf{s}}\ln Q
  ight)^n$
- $k_t$  unordered configs are suppressed by powers of  $\alpha_s$
- theoretically very well understood

## Brief recap on small-x effects (BFKL/CCFM)



#### Collinear factorization

- transverse momentum ordering  $Q \gg k_n \gg \cdots \gg k_1$
- ullet resummation of  $\left(lpha_{\mathsf{s}}\ln Q
  ight)^n$
- $k_t$  unordered configs are suppressed by powers of  $\alpha_s$
- theoretically very well understood

### Small-*x* resummation

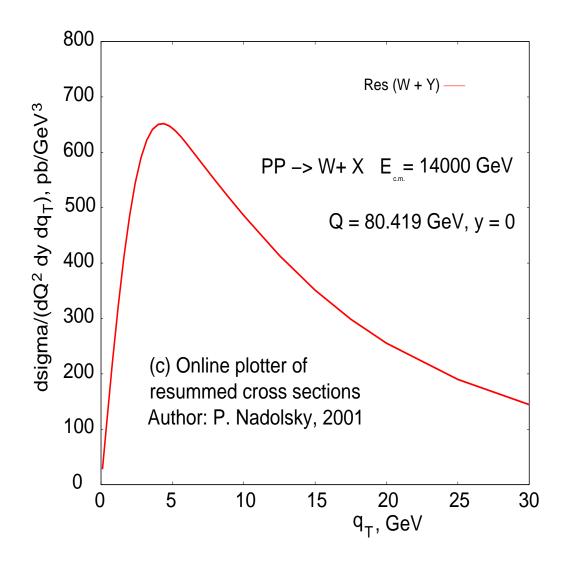
- Iongitudinal momentum ordering  $x_{Bi} \ll z_n \ll \cdots \ll z_1$
- resummation of  $(\alpha_{\sf s} \ln x)^n$
- $k_t$  unordered configs dominate
- theory treatment is 'work in progress'
  Hadronic final states and resummation p.10/25

# W/Z and Higgs $q_T$ spectra

- Light Higgs and W/Z bosons are produced at moderately small  $x \lesssim 10^{-2}$ .
- Effective scale for PDFs in total X-section is  $\sim M_{W/Z/H}$

# W/Z and Higgs $q_T$ spectra

- Light Higgs and W/Z bosons are produced at moderately small  $x \lesssim 10^{-2}$ .
- Effective scale for PDFs in total X-section is  $\sim M_{W/Z/H}$
- But  $q_T$  distribution of boson is concentrated in small(ish)  $q_T$  region
  - → dangerous region at HERA?



# Relevance of HERA 'problems' to LHC W/Z/H $q_T$ dists.?

### Not a simple issue

- Small-x discrepancy is in tail of particle  $p_t$ -spectrum at HERA: at  $Q \sim 5 \, {\rm GeV}$ , particles with  $p_t \simeq 5 \, {\rm GeV}$  are quite rare.
- $q_T$  of W/Z/H has origin in Sudakov logarithms,  $\alpha_{\rm s} \ln^2(M^2/q_T^2)$  the  $5\,{
  m GeV}$  peak is the *typical* transverse momentum.
- Rare small-x effects may well be swamped by Sudakov effects.

# Relevance of HERA 'problems' to LHC W/Z/H $q_T$ dists.?

### Not a simple issue

- Small-x discrepancy is in tail of particle  $p_t$ -spectrum at HERA: at  $Q\sim 5\,{\rm GeV}$ , particles with  $p_t\simeq 5\,{\rm GeV}$  are quite rare.
- $q_T$  of W/Z/H has origin in Sudakov logarithms,  $\alpha_{\rm s} \ln^2(M^2/q_T^2)$  the  $5\,{
  m GeV}$  peak is the *typical* transverse momentum.
- Rare small-x effects may well be swamped by Sudakov effects.

### Two existing approaches

- Apply usual Sudakov  $q_T$  resummation approach at HERA
  - extract 'extra' x-dependence
  - put it into calculations for LHC
- Apply CCFM/Cascade approach directly to LHC (only H)

### **Sudakov resummation at HERA?**

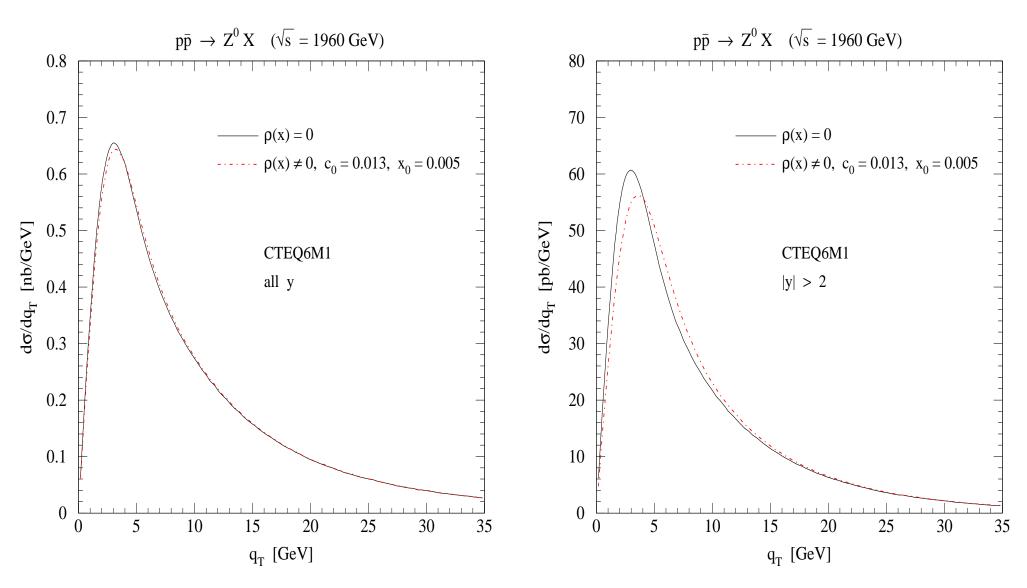
### Use crossing symmetry

Meng, Olness & Soper, '95

$$h_1 h_2 \to \ell^+ \ell^- + X \iff h_1 \ell^- \to \bar{h}_2 \ell^- + X$$

- trade incoming proton for (energy-weighted sum over all) outgoing hadrons
- resum the photon relativistically invariant transverse momentum  $(q_T)$  with respect to  $h_1, \bar{h}_2$ .
  - $lacksquare q_T$  is closely related to  $h_2$ 's rapidity, not its  $p_t!$
- Allow for small-x effects in a 'non-perturbative' correction to Sudakov form factor
  - found, phenomenologically, to grow rapidly with decreasing  $x\lesssim 10^{-2}$  Nadolsky, Stump, Yuan, '00

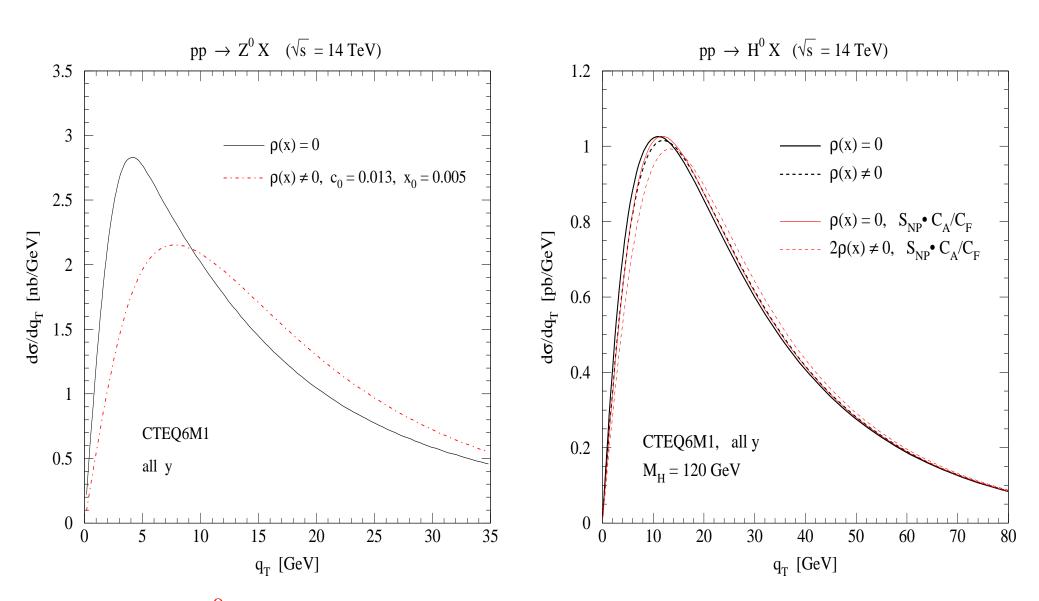
# Apply fitted small-x effects to Tevatron



Small but measurable effect for forward  $\mathbb{Z}^0$  production

Berge et al '04

## Works at Tevatron? Apply to LHC...



Big effect for  $\mathbb{Z}^0$ ; almost negligible for Higgs

Berge et al '04

### What about small-x predictions?

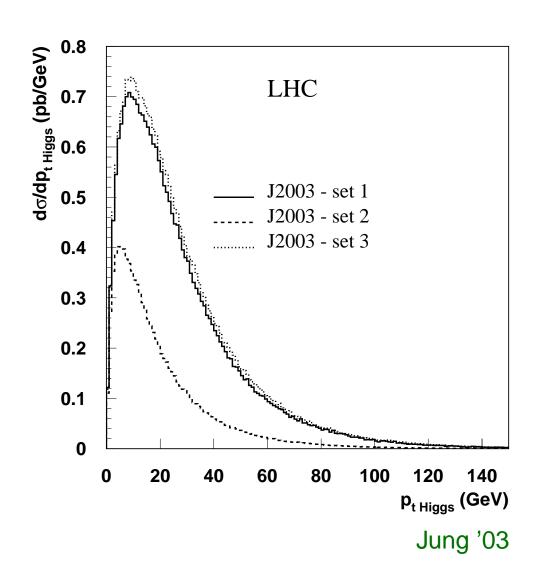
- Recent study using CCFM-based CASCADE
  - NB: CCFM is like BFKL
  - lacktriangle resums leading logs of 1/x
  - but with correct Sudakov double logs
  - consistent merging of  $z \to 0$  and  $z \to 1$  effects
- CASCADE reproduces bulk of HERA data for  $x \lesssim 10^{-2}$

## What about small-x predictions?

Recent study using CCFM-based CASCADE

NB: CCFM is like BFKL

- lacktriangle resums leading logs of 1/x
- but with correct Sudakov double logs
- consistent merging of  $z \to 0$  and  $z \to 1$  effects
- CASCADE reproduces bulk of HERA data for  $x \lesssim 10^{-2}$
- Application of same ingredients to  $gg \rightarrow \text{Higgs}$  is conceptually simple

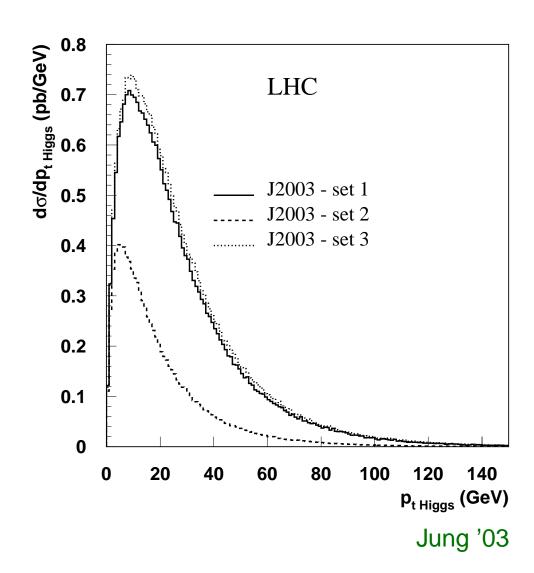


### What about small-x predictions?

Recent study using CCFM-based CASCADE

NB: CCFM is like BFKL

- lacktriangle resums leading logs of 1/x
- but with correct Sudakov double logs
- consistent merging of  $z \to 0$  and  $z \to 1$  effects
- CASCADE reproduces bulk of HERA data for  $x \lesssim 10^{-2}$
- Application of same ingredients to  $gg \rightarrow \text{Higgs}$  is conceptually simple
  - quark induced processes are trickier, so W/Z difficult for now...



## Degree of reliability of these predictions?

#### Both have 'issues'...

#### Sudakov resummation

- The corresponding HERA measurement can be contaminated by hadronisation (crossing is not quite exact)
- Parametrization of 'non-perturbative' small-x effects rises very steeply  $\sim 1/x$  unnatural theoretically?

### CCFM approach

- Evolution involves only gluons, not quarks
- This could matter: Higgs production involves scales up to  $m_t$ .
- tested in limited kinematical domain

## Degree of reliability of these predictions?

#### Both have 'issues'...

#### Sudakov resummation

- The corresponding HERA measurement can be contaminated by hadronisation (crossing is not quite exact)
- Parametrization of 'non-perturbative' small-x effects rises very steeply  $\sim 1/x$  unnatural theoretically?

### **CCFM** approach

- Evolution involves only gluons, not quarks
- This could matter: Higgs production involves scales up to  $m_t$ .
- tested in limited kinematical domain

#### Ways forward?

#### New HERA measurements?

- ullet distribution of  $\sum_{i \in \mathrm{current}} ec{p}_{ti}$
- less sensitive to hadronisation
- more complicated perturbatively

### Better theory?

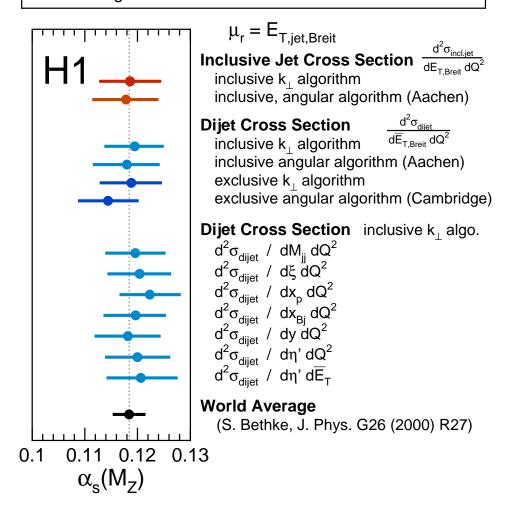
- Put quarks into CCFM (hard!?)
- Learn how to how incorporate small-x resummation analytically in the Sudakov resummation

#### Jets are (next) most basic element of QCD final-state studies

### Amazing array of results from HERA

- Measurements of the coupling
- Measurements of the gluon density
- Tests of multi-jet structure in QCD

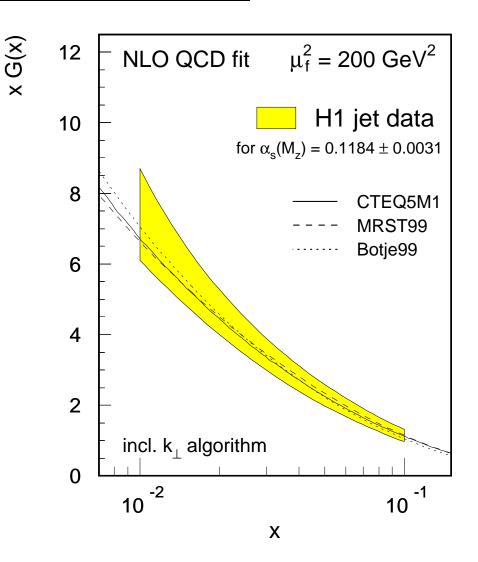
#### $\alpha_s$ from jet production in DIS



#### Jets are (next) most basic element of QCD final-state studies

### Amazing array of results from HERA

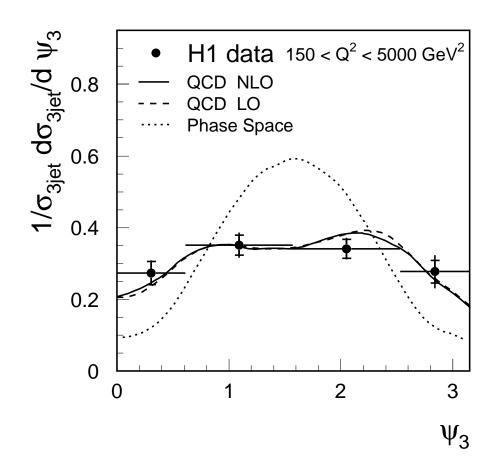
- Measurements of the coupling
- Measurements of the gluon density
- Tests of multi-jet structure in QCD



#### Jets are (next) most basic element of QCD final-state studies

### Amazing array of results from HERA

- Measurements of the coupling
- Measurements of the gluon density
- Tests of multi-jet structure in QCD



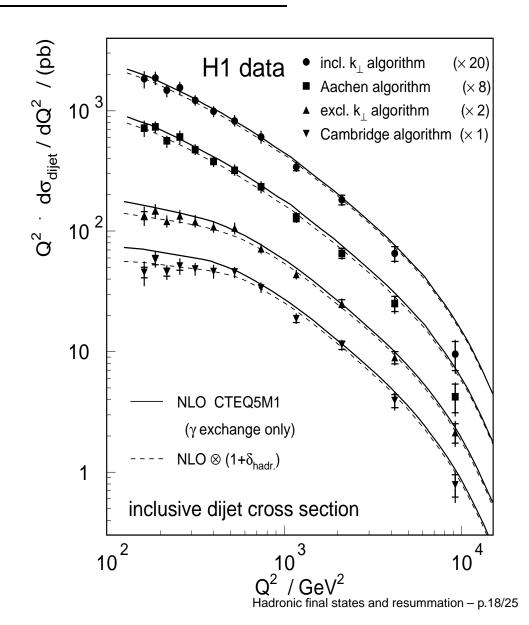
#### Jets are (next) most basic element of QCD final-state studies

### Amazing array of results from HERA

- Measurements of the coupling
- Measurements of the gluon density
- Tests of multi-jet structure in QCD

### A theorist's litany: the $k_t$ algorithm

- HERA is a convert!
- LHC seems not to be (yet...)
  - Algorithm of choice is cone with R=0.4(?)
  - Advantage: simple; intuitive.
     A 'standard' for searches

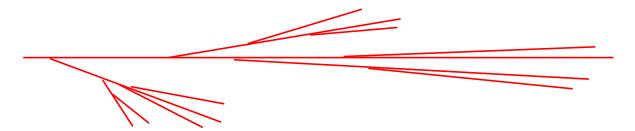


A role of the workshop should be to investigate such questions

- Connect experimental observations (hadrons) with QCD calculations (partons)
- Connect a shower of particles with intuitive picture of a single hard parton
- Should provide a handle on the ambiguity in making such connections a meaningful resolution parameter
  - lacktriangle This is a strength of the  $k_t$  clustering algorithms
  - Construction of a jet  $\sim$  inverse of QCD showering
  - At finer resolutions, jet is broken into subjets, each of which maintains intuitive connection with a QCD parton

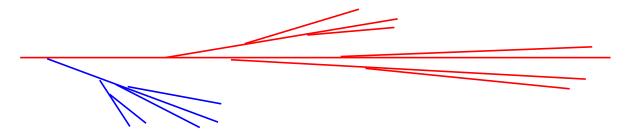
A role of the workshop should be to investigate such questions

- Connect experimental observations (hadrons) with QCD calculations (partons)
- Connect a shower of particles with intuitive picture of a single hard parton
- Should provide a handle on the ambiguity in making such connections a meaningful resolution parameter
  - ullet This is a strength of the  $k_t$  clustering algorithms
  - Construction of a jet  $\sim$  inverse of QCD showering
  - At finer resolutions, jet is broken into subjets, each of which maintains intuitive connection with a QCD parton



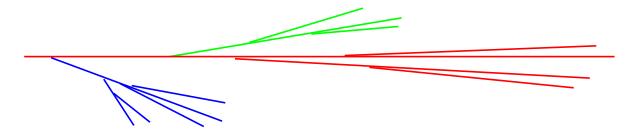
A role of the workshop should be to investigate such questions

- Connect experimental observations (hadrons) with QCD calculations (partons)
- Connect a shower of particles with intuitive picture of a single hard parton
- Should provide a handle on the ambiguity in making such connections a meaningful resolution parameter
  - ullet This is a strength of the  $k_t$  clustering algorithms
  - Construction of a jet  $\sim$  inverse of QCD showering
  - At finer resolutions, jet is broken into subjets, each of which maintains intuitive connection with a QCD parton



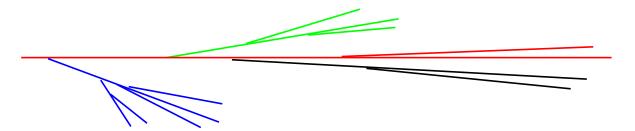
A role of the workshop should be to investigate such questions

- Connect experimental observations (hadrons) with QCD calculations (partons)
- Connect a shower of particles with intuitive picture of a single hard parton
- Should provide a handle on the ambiguity in making such connections a meaningful resolution parameter
  - ullet This is a strength of the  $k_t$  clustering algorithms
  - Construction of a jet  $\sim$  inverse of QCD showering
  - At finer resolutions, jet is broken into subjets, each of which maintains intuitive connection with a QCD parton



A role of the workshop should be to investigate such questions

- Connect experimental observations (hadrons) with QCD calculations (partons)
- Connect a shower of particles with intuitive picture of a single hard parton
- Should provide a handle on the ambiguity in making such connections a meaningful resolution parameter
  - lacktriangle This is a strength of the  $k_t$  clustering algorithms
  - Construction of a jet  $\sim$  inverse of QCD showering
  - At finer resolutions, jet is broken into subjets, each of which maintains intuitive connection with a QCD parton

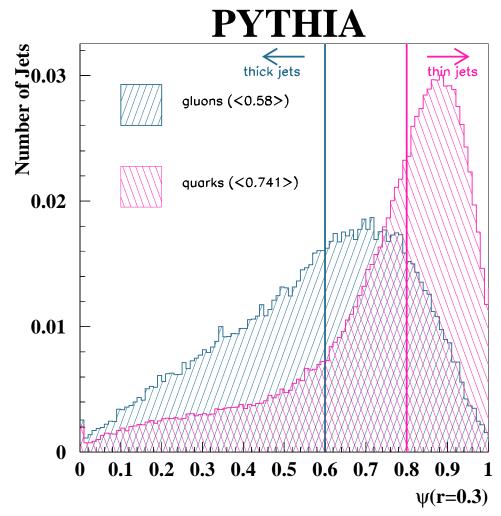


## Distinguishing quark and gluon jets

### ZEUS study of theory predictions

Dokshitzer et al '92, Seymour '94, '96 Forshaw & Seymour '98

Gluons give wider jets



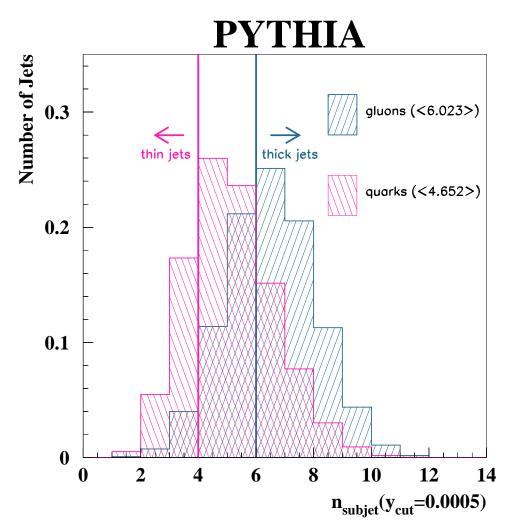
Distribution of  $\Psi(r) \equiv$  fraction of jet energy inside radius r.

## Distinguishing quark and gluon jets

### ZEUS study of theory predictions

Dokshitzer et al '92, Seymour '94, '96 Forshaw & Seymour '98

- Gluons give wider jets
- Gluons give more subjets



Distribution of # of subjets for a small resolution parameter  $y_{\rm cut}$ .

### Distinguishing quark and gluon jets

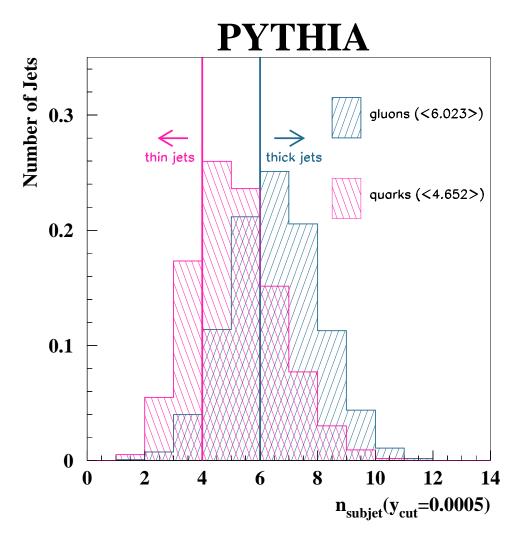
### ZEUS study of theory predictions

Dokshitzer et al '92, Seymour '94, '96 Forshaw & Seymour '98

- Gluons give wider jets
- Gluons give more subjets

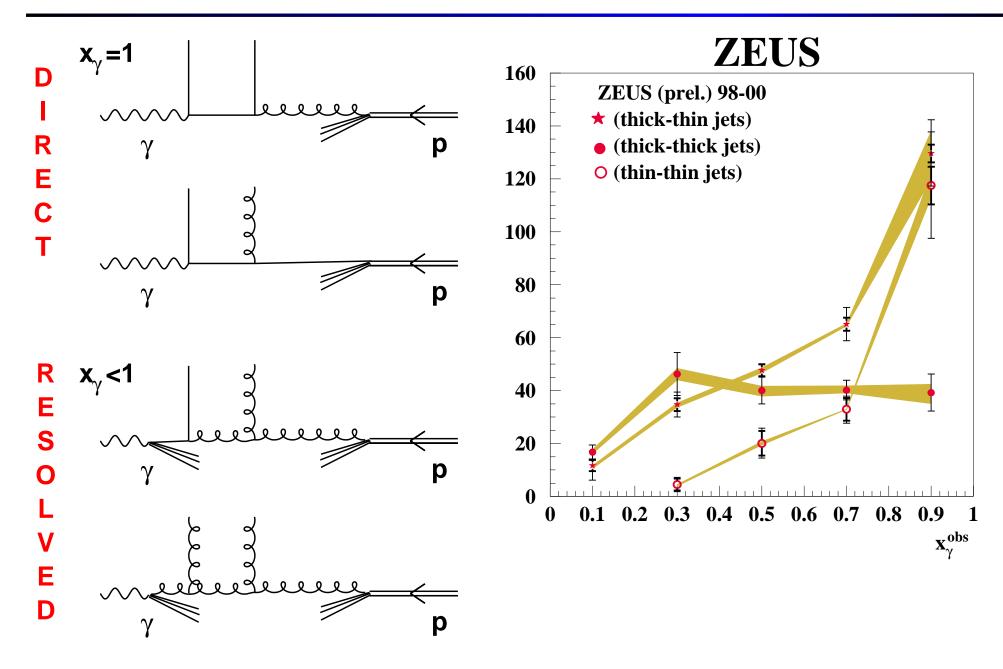
### Select gluon and quark jets

- Combine criteria to identify thin (quark) jets and thick (gluon) jets
  - 98% (61%) purity for quarks (gluons)
  - 15% (?) efficiency

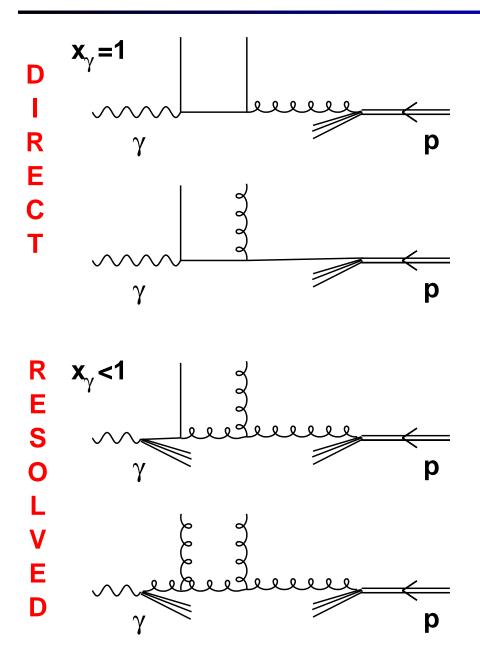


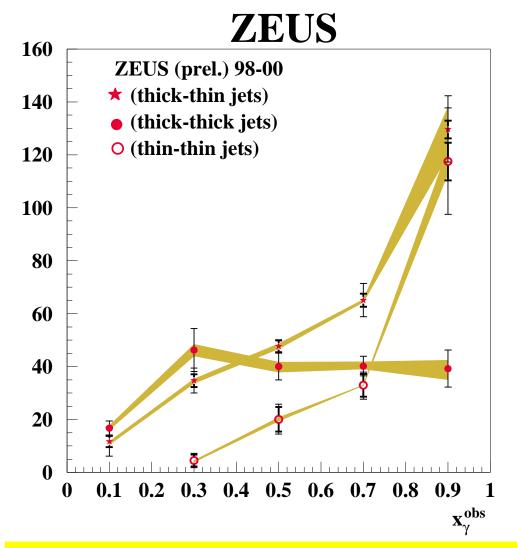
Distribution of # of subjets for a small resolution parameter  $y_{\rm cut}$ .

### Distinguishing quark and gluon jets: application



## Distinguishing quark and gluon jets: application





Can selection/efficiency be improved? How might this be applied at LHC?

### So many other topics...

#### Hard QCD

- Further (mis)uses of jet algorithms;
- Event shapes in  $e^+e^-$  & DIS, a laboratory for QCD across a range of scales how about at LHC?
- Diffraction!
- Rapidity gaps: 'Sudakov' QCD rapidity gaps v. true rapidity gaps. Perturbative gap survival. Non-perturbative gap survival.

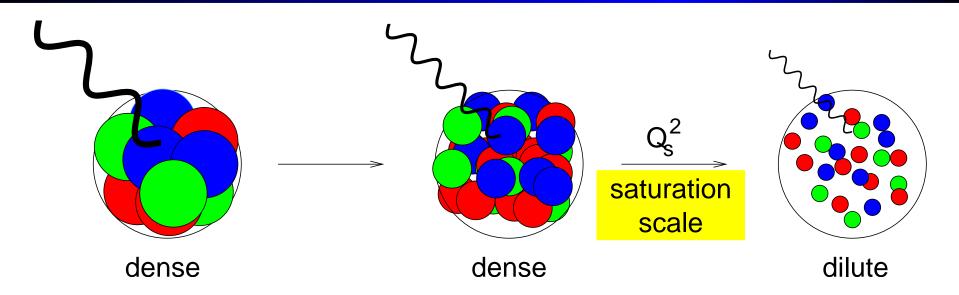
### Moderately hard QCD

BFKL for its own sake!

#### Softer QCD

- Underlying events, similarities between  $\gamma p$  and pp?
- Minimum bias; ways of measuring it; models; connection with saturation;

### **Extra time: Saturation scales**



Below saturation scale: dense system of gluons ( $\rho \sim 1/\alpha_{\rm s}$ )

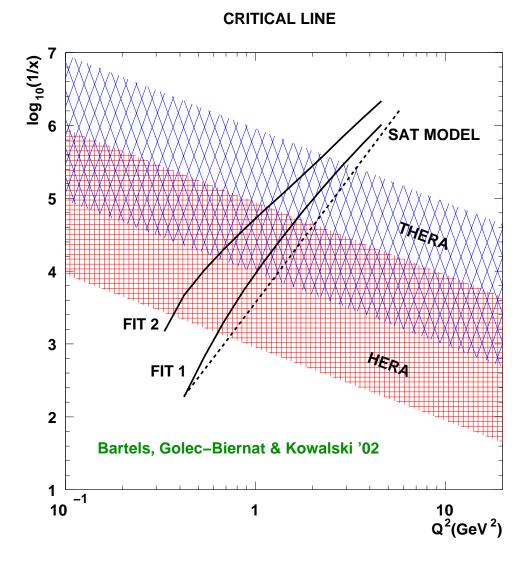
Above saturation scale: dilute system of gluons ( $ho \ll 1/lpha_{
m s}$ )

## **Saturation scales (cont.)**

#### Big business at HERA

- Models including saturation are fitted to HERA data
- Saturation sets in (perhaps?) just at limit of perturbative region
- Rises with decreasing x

What's the connection with final states?



## **Saturation scales (cont.)**

### Back of the envelope: Tevatron? LHC?

- Typical transverse momentum in minimum bias is  $Q_s^2$
- Convert from DIS using

$$x \sim \frac{Q_s^2(x)}{s}$$

- LHC minimum bias  $k_T \simeq 2 \times 10^{-5}$  Tevatron minimum bias?
- Very rough? But beware: transverse momentum/collision could rise much faster than the cross section

