

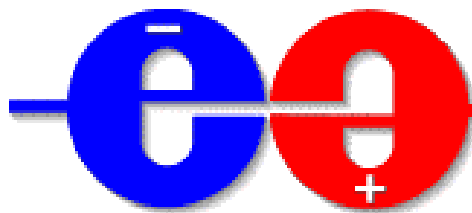
# Particle-flow Algorithms in America

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Physics Group

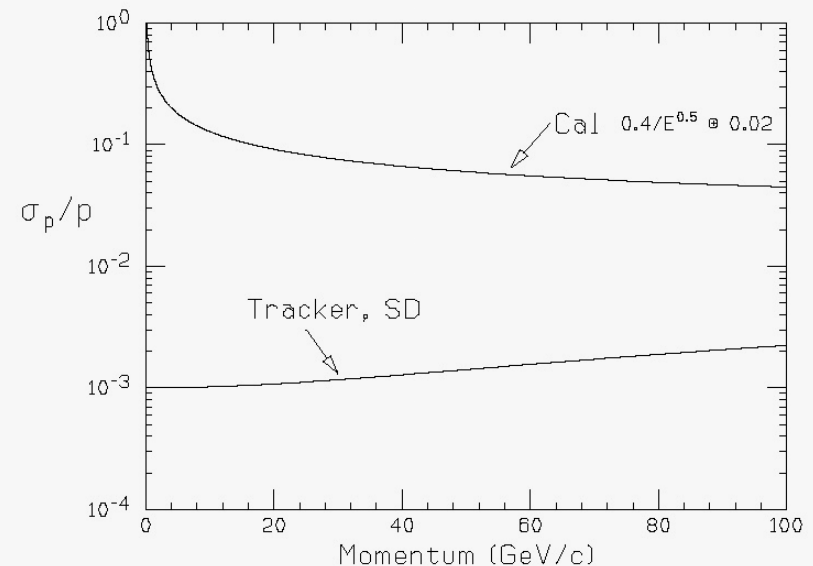
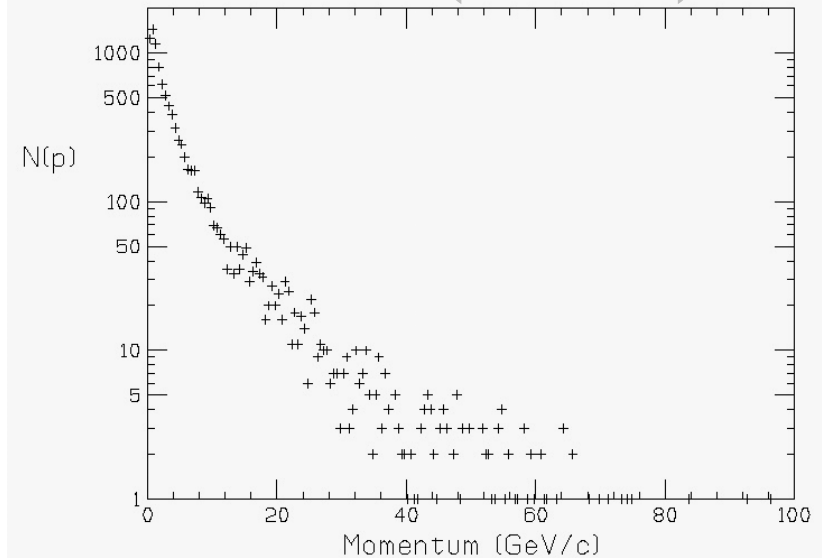
International Conference on Linear Colliders  
LCWS04, 19–23 April, 2004  
Paris, France

# Work done over the past 2 years:

- Algorithms:
  - NICADD/NIU (V. Zutshi)
  - ANL (S. Magill, S. Kuhlmann)
  - SLAC (N. Graf, G. Bower)
- Simulations:
  - NICADD/NIU (D. Chakraborty, G. Lima, J. McCormick)
  - SLAC (R. Cassell)

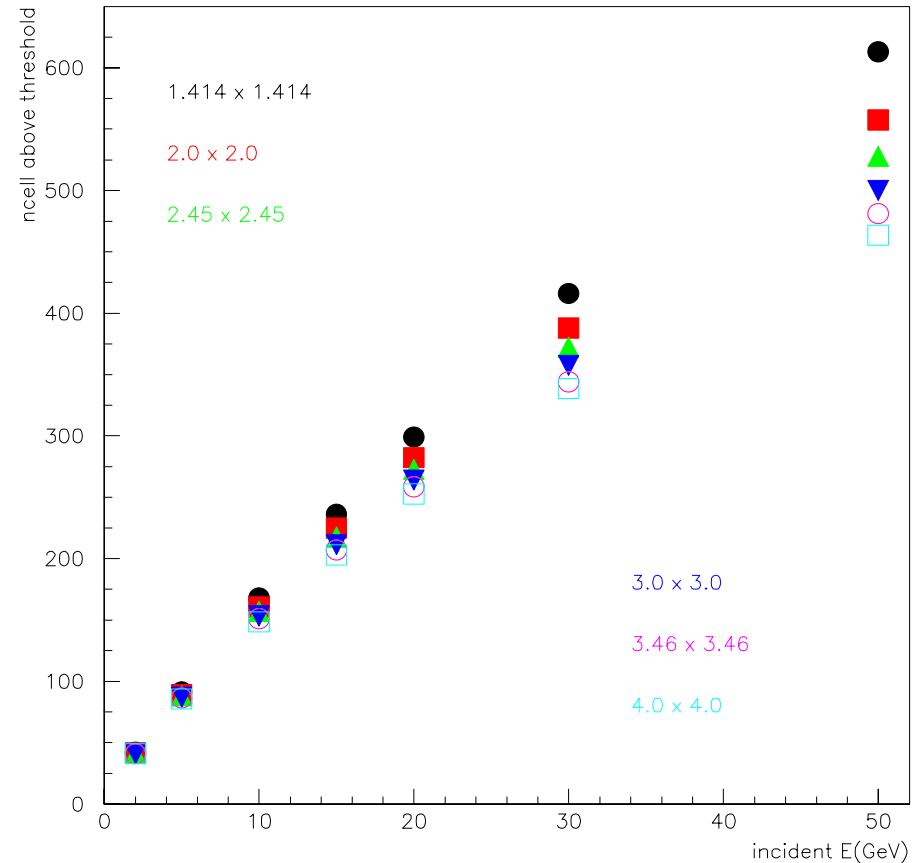
# Particle-Flow Algorithm (PFA)

- Charged particles in a jet are more precisely measured in the tracker
- A typical jet consists of:
  - 64% charged particles
  - 21% photons
  - 11% neutral hadrons
- Use tracker for charged,
- Calorimeter for neutrals only
- Must be able to separate charged particle energy clusters from neutrals inside a jet in calorimeter  
⇒ fine 3d granularity

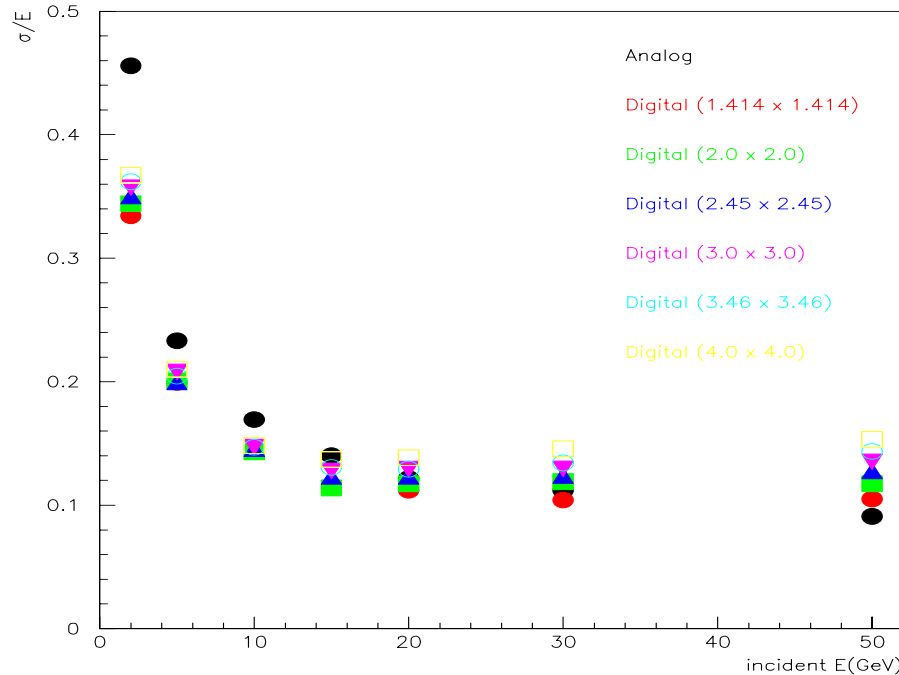


# Technology/Design simulations: Scintillator semi-Digital HCal (NIU)

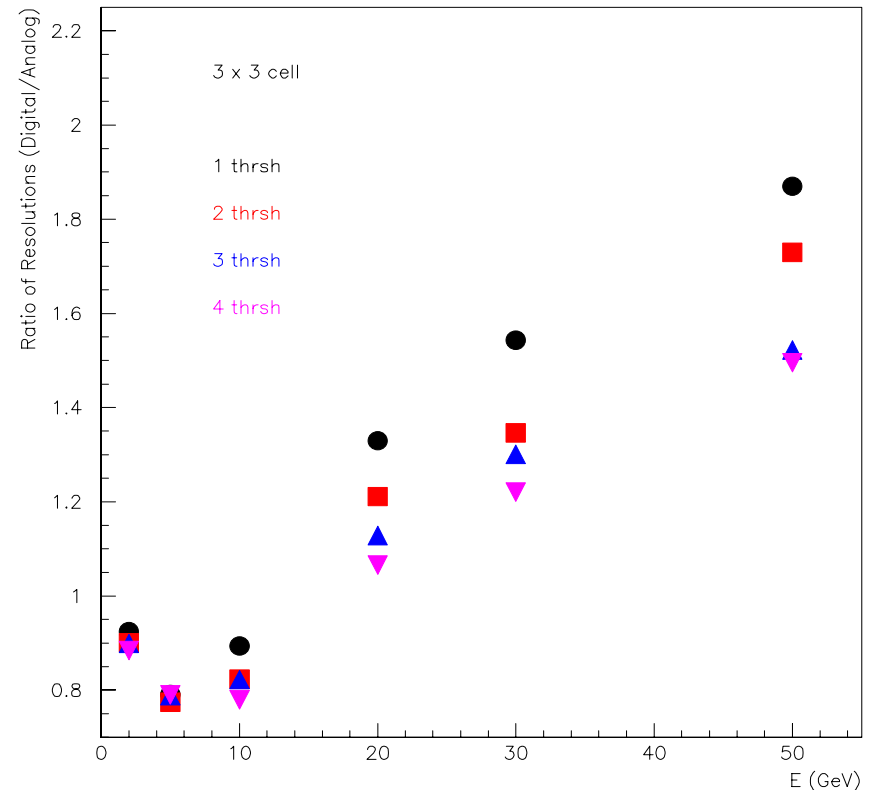
- Studies with GISMO and G4 based simulations
- Detailed comparisons of GISMO–LCDG4–Mokka
- Steel(20mm)–Scint(5mm) sandwich with varying transverse segmentation



# Scintillator (semi)Digital HCal: cell size and thresholds



## Non-projective geometry



- Single charged pions
- Plain cell-counting only
- 10–12 cm<sup>2</sup> acceptable
- 3 thresholds optimal

# SD Detector: a Particle-flow detector for the LC

Tracking :

Multi-layer Si Vertex Detector

$\sim 1 \text{ cm} \rightarrow \sim 7 \text{ cm}$  radius, 5 layers

Si-Strip Tracker

$\sim 20 \text{ cm} \rightarrow \sim 1.25 \text{ m}$  radius, 5 layers

ECAL :

30 layers,  $\sim 1.25 \text{ m} \rightarrow \sim 1.40 \text{ m}$  radius

W(0.25 cm)/Si(0.04 cm)

$\sim 20 X_0$ ,  $0.8 \lambda_1$

$\sim 5 \text{ mm} \times 5 \text{ mm}$  cells

HCAL :

34 layers,  $\sim 1.45 \text{ m} \rightarrow \sim 2.50 \text{ m}$  radius

stainless steel (2.0 cm)/scint. (1.0 cm)

$\sim 40 X_0$ ,  $4 \lambda_1$

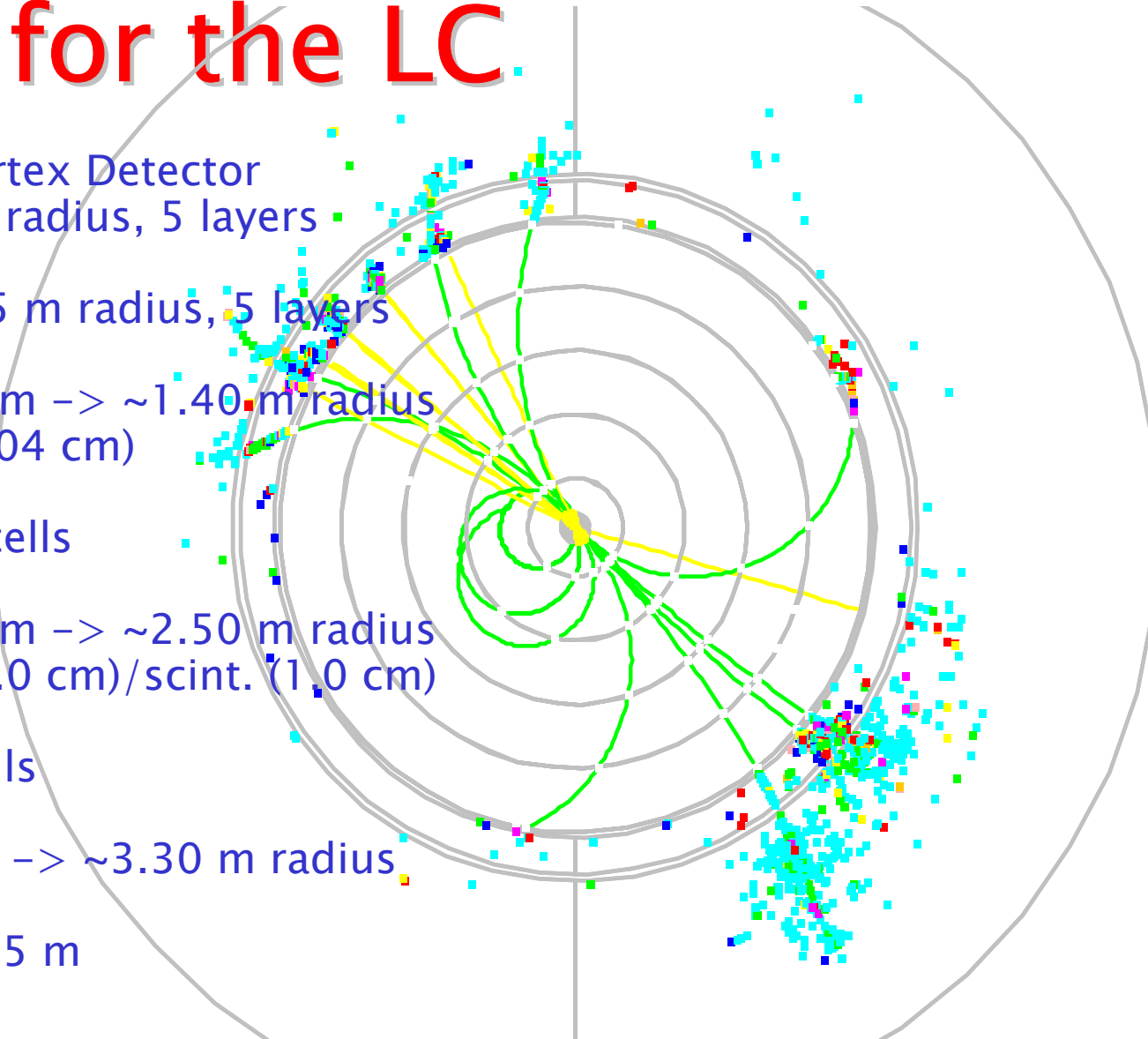
$\sim 1 \text{ cm} \times 1 \text{ cm}$  cells

Solenoid Coil :

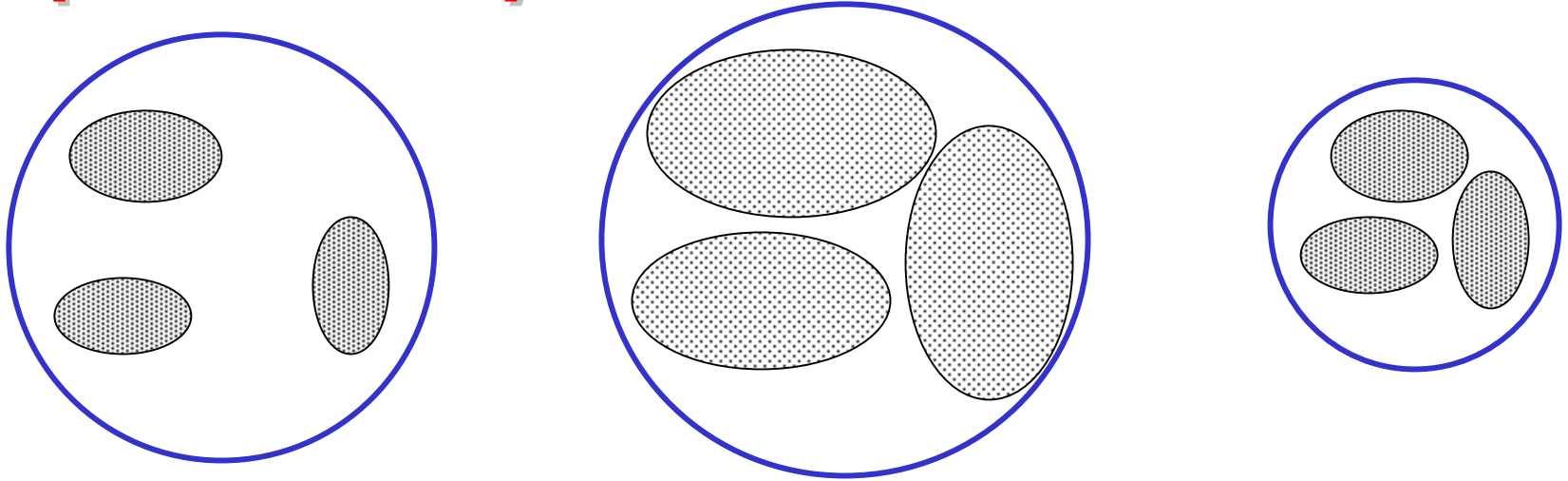
5 Tesla,  $\sim 2.50 \text{ m} \rightarrow \sim 3.30 \text{ m}$  radius

Muon (Tail Catcher) :

$\sim 3.40 \text{ m} \rightarrow \sim 5.45 \text{ m}$



# Separability of clusters



Best separability is achieved when spread within a class is small & distance between classes large.

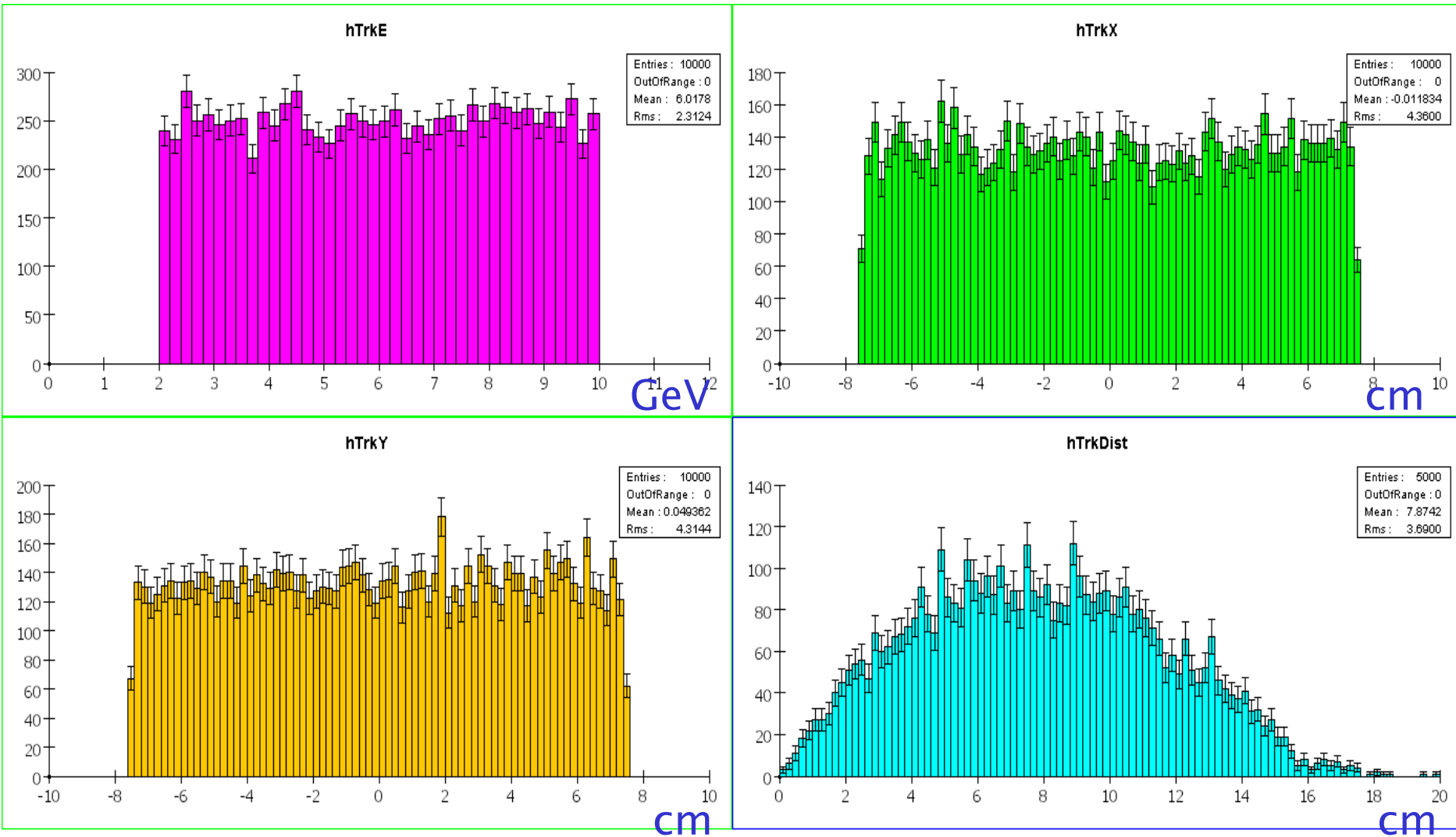
$$J = \text{Tr}\{S_w^{-1} S_m\}$$

where  $S_w = \sum_i W_i S_i$

$S_i$  = covariance matrix for cluster  $c_i$  (in  $x, y, z$ )

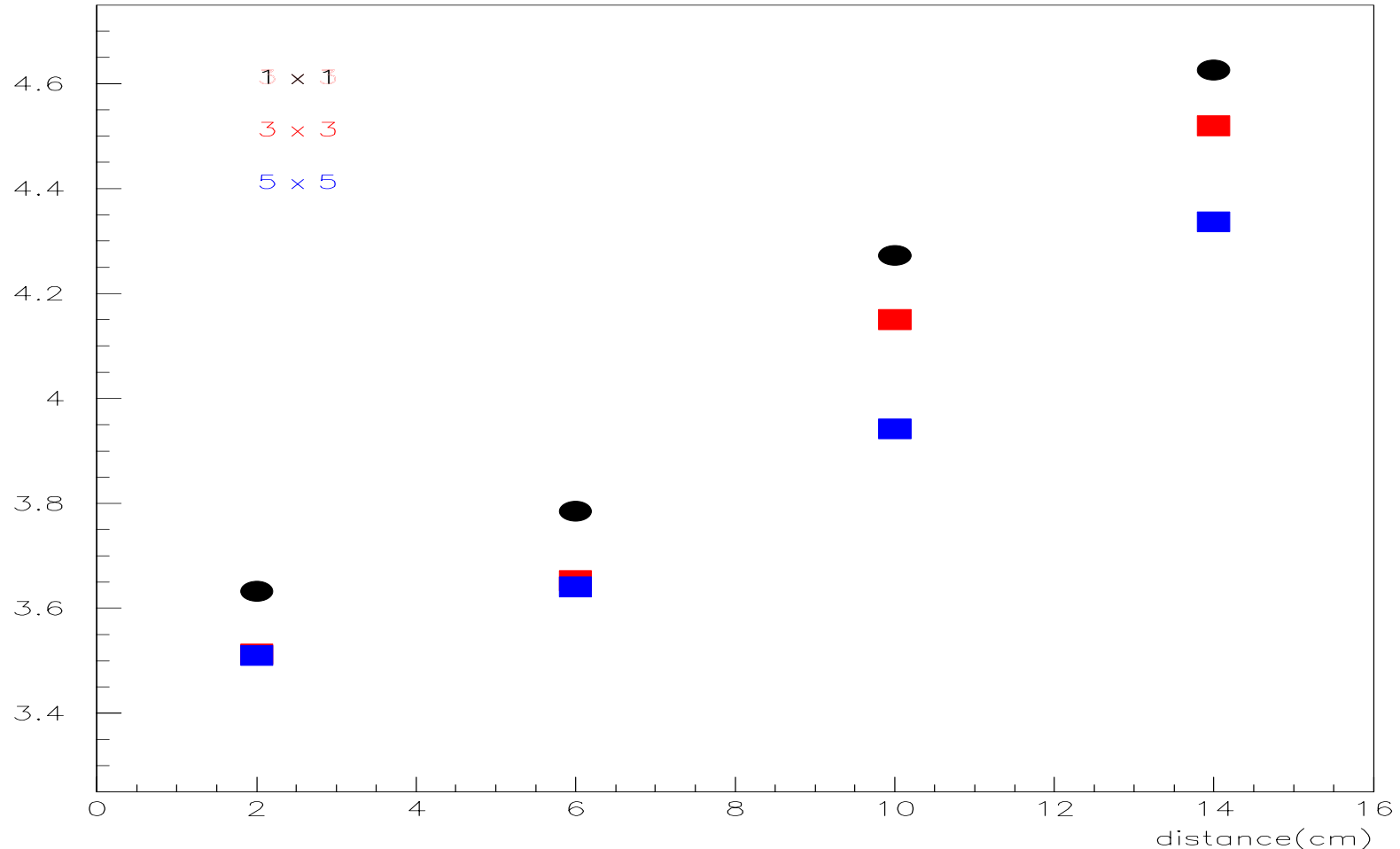
$S_m$  = covariance matrix w.r.t. the global mean

# Two (parallel) $\pi^+$ 's in TB sim:





# Two (parallel) $\pi^+$ 's in TB prototype sim: separability ( $J$ ) vs. track distance for different cell sizes



# Another measure of separability

$$B = a (\mu_i - \mu_k)^T (\{S_i + S_k\}/2)^{-1} (\mu_i - \mu_k) + \\ b \ln \{ (|(S_i + S_k)|/2) (|S_i| |S_k|)^{-1/2} \}$$

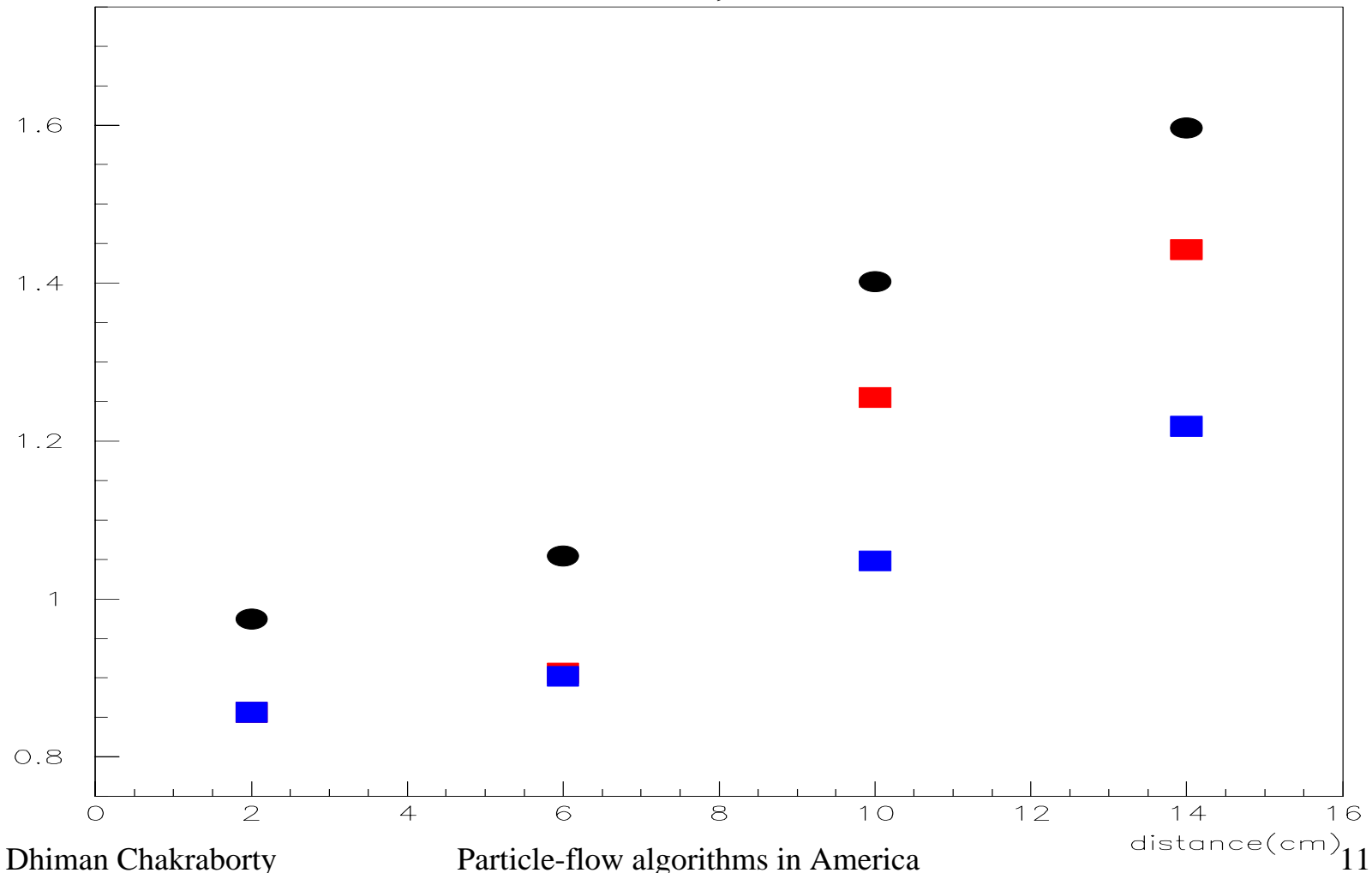
$$a, b > 0$$

$\mu_i$  = mean,  $S_i$  = covariance of  $i$ -th cluster

1st term gives separation due to mean difference,

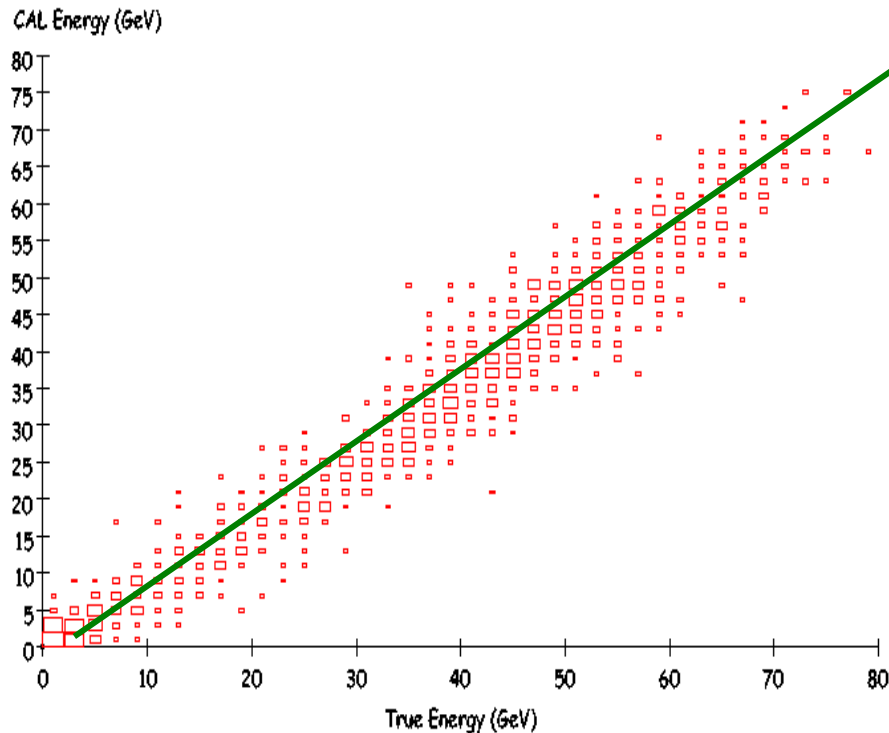
2nd term due to covariance difference

# Two (parallel) $\pi^+$ 's in TB sim: separability ( $B$ ) vs. track distance for different cell sizes

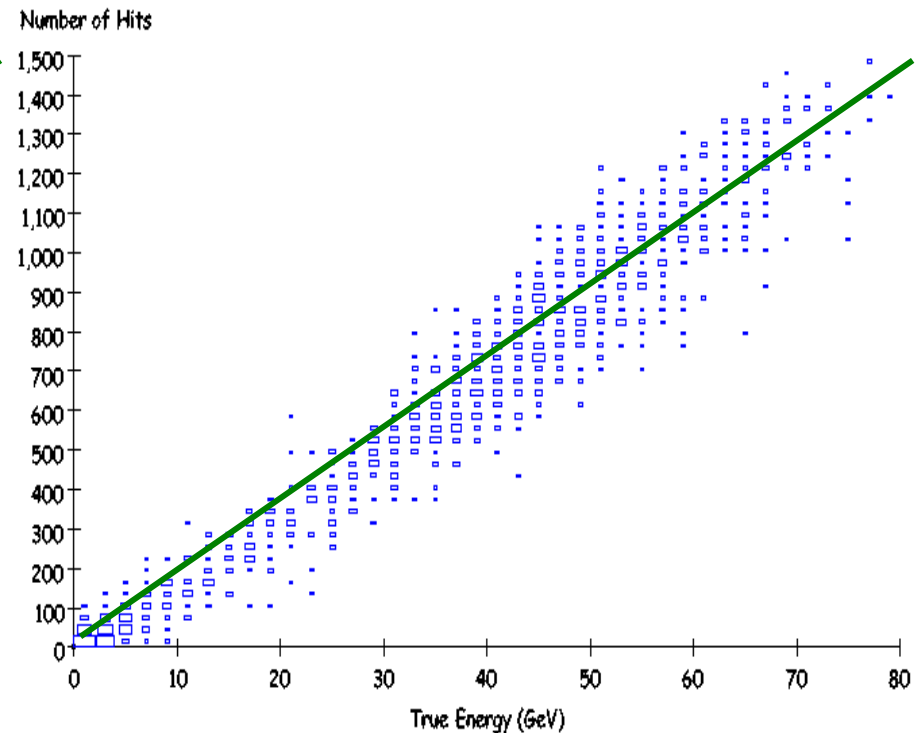


# Analog/Digital response to hadrons

Analog CAL HadronEthr vs True HadronE



Digital CAL Hadron Nhitsthr vs True HadronE



development in terms of “track length” :

Track Length (T) = sum of tracks of all charged particles in a shower –  
Analog sampling calorimeters sum energy, Digital sampling  
calorimeters sum hits

$T \propto E$  (particle energy) – what about spread in energy?

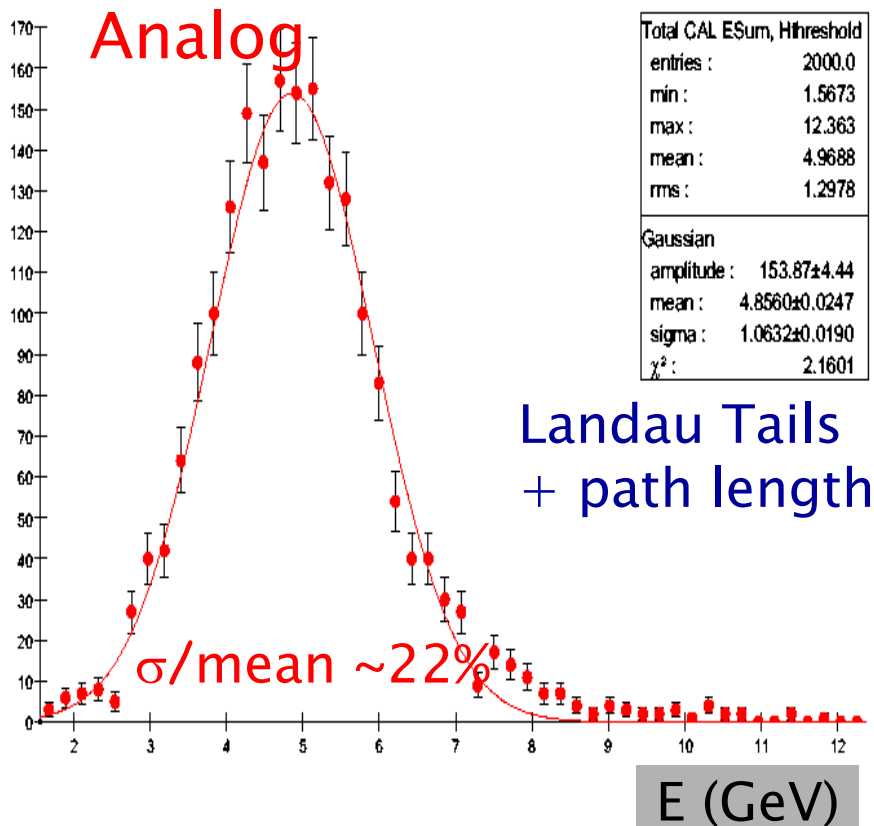
# Energy resolution with analog & digital calorimetry

GEANT4 Simulation of SD Detector ( $5 \text{ GeV } \pi^+$ )

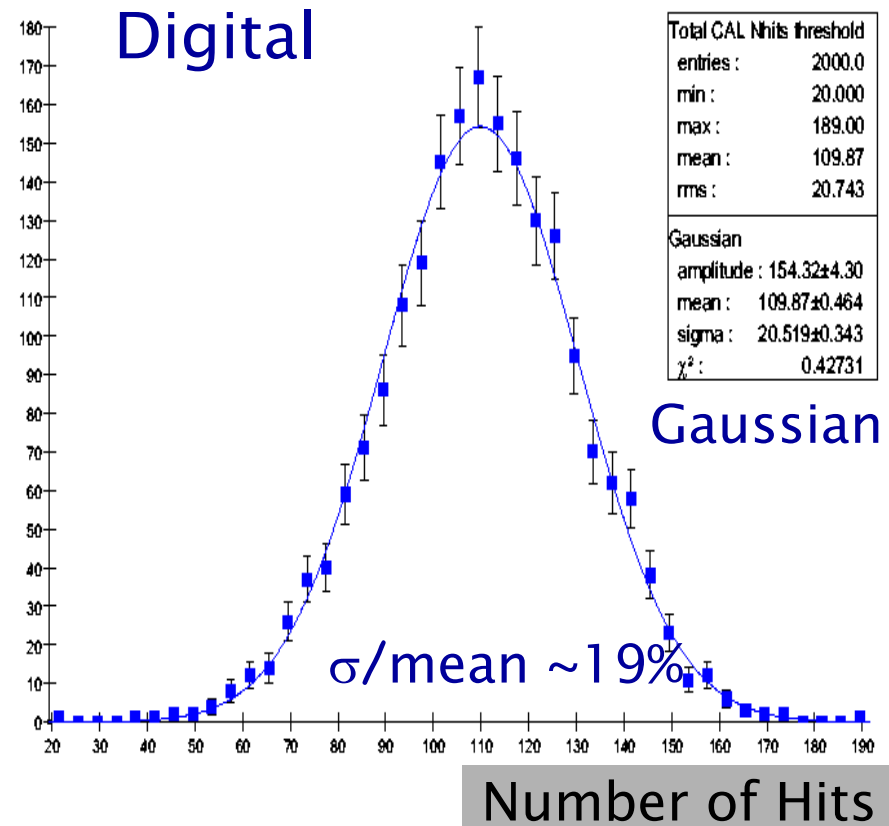
**Analog:** sum of ECAL and HCAL analog signals

**Digital:** number of hits with 10 MeV threshold in HCAL

Total CAL ESUm, Hthreshold

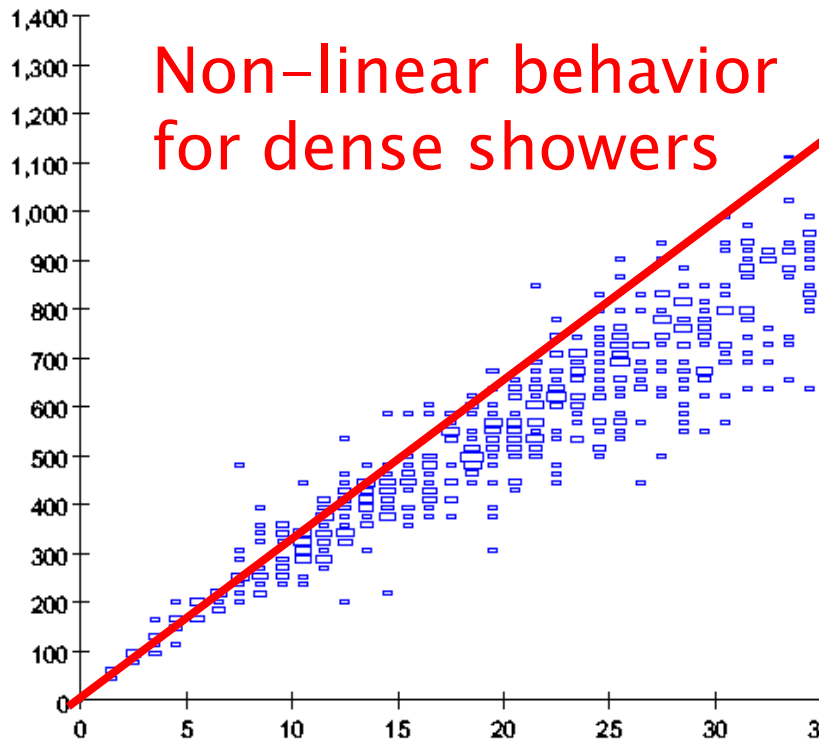


Total CAL Nhits threshold



# Digital calorimetry for photons?

sm -- Number of photon hits vs True Photon Energy



Non-linear behavior  
for dense showers

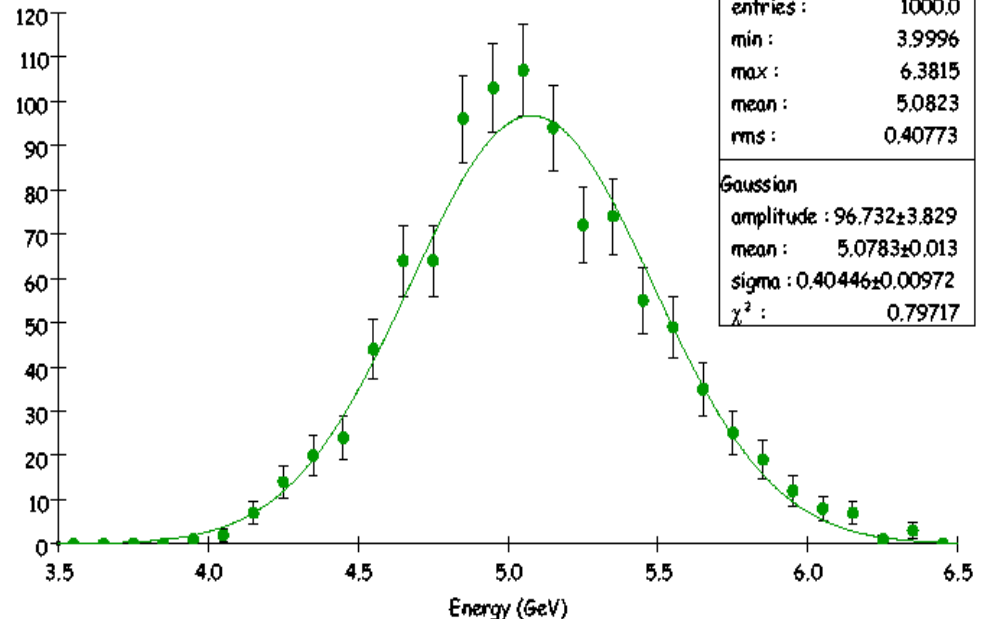
5 mm X 5 mm EM cells

Analog ECal

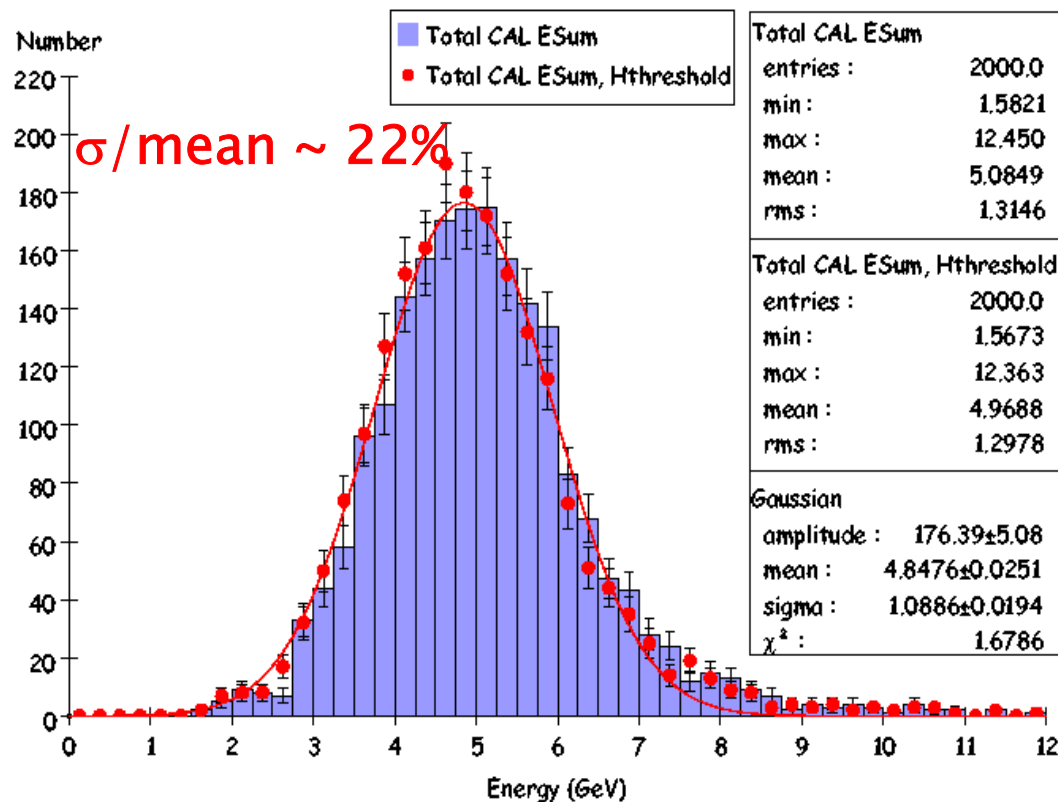
$$\sigma/\text{mean} \sim 16\%/\sqrt{E}$$

Total CAL ESum

Number



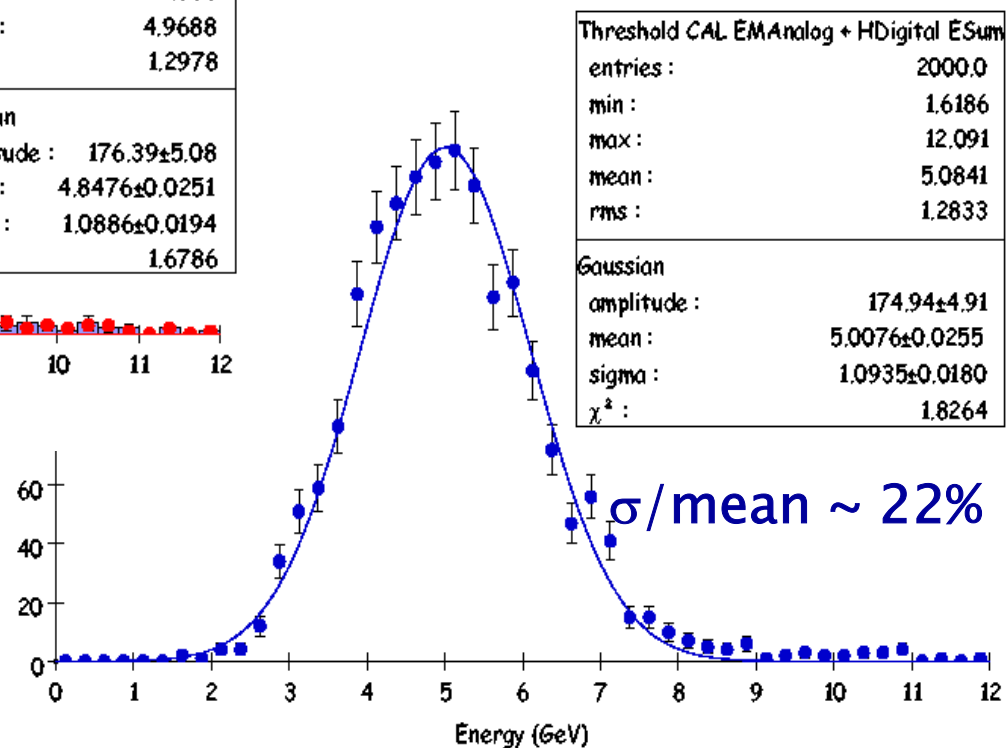
# Analog ECal + Digital HCal



Analog sum - ECal + HCal

Analog sum - ECal  
+  
Digital sum - HCal

Threshold CAL EAnalog + HDigital ESum

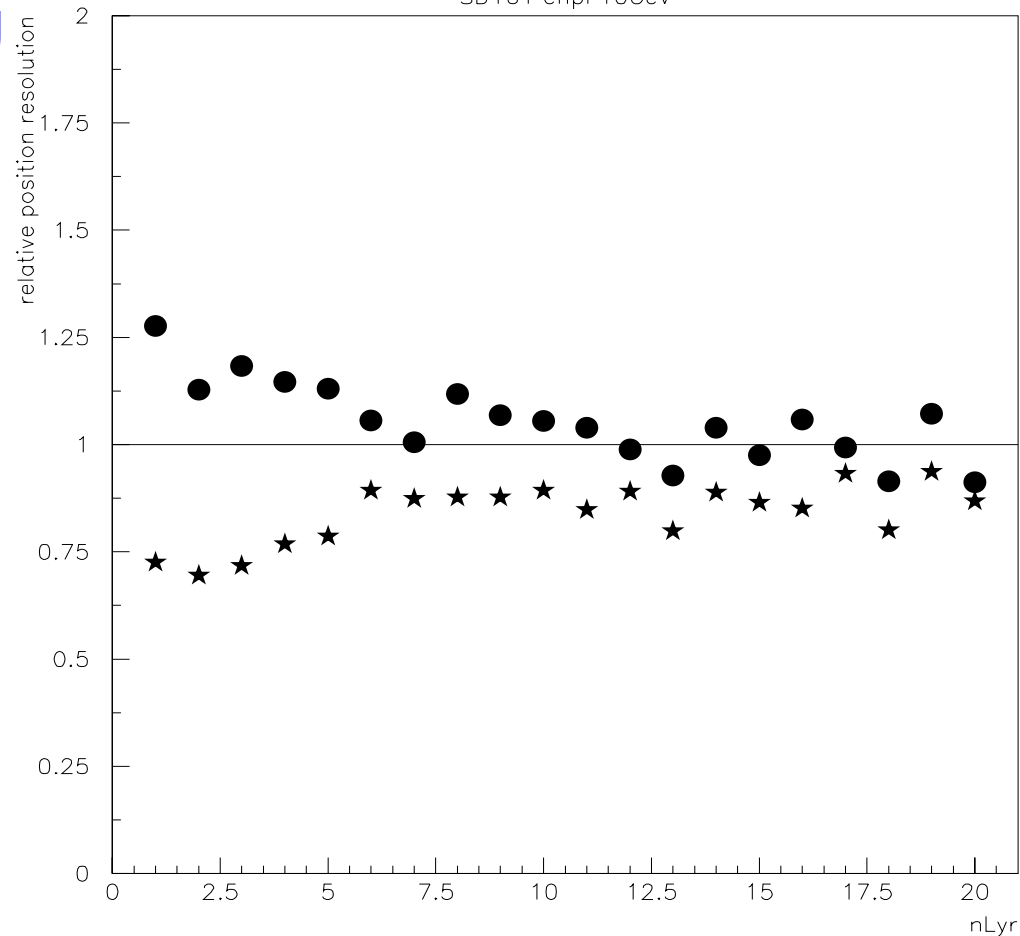


# DHCal: Density-weighted Clustering

$$d_i = k \sum (1/R_{ij})$$

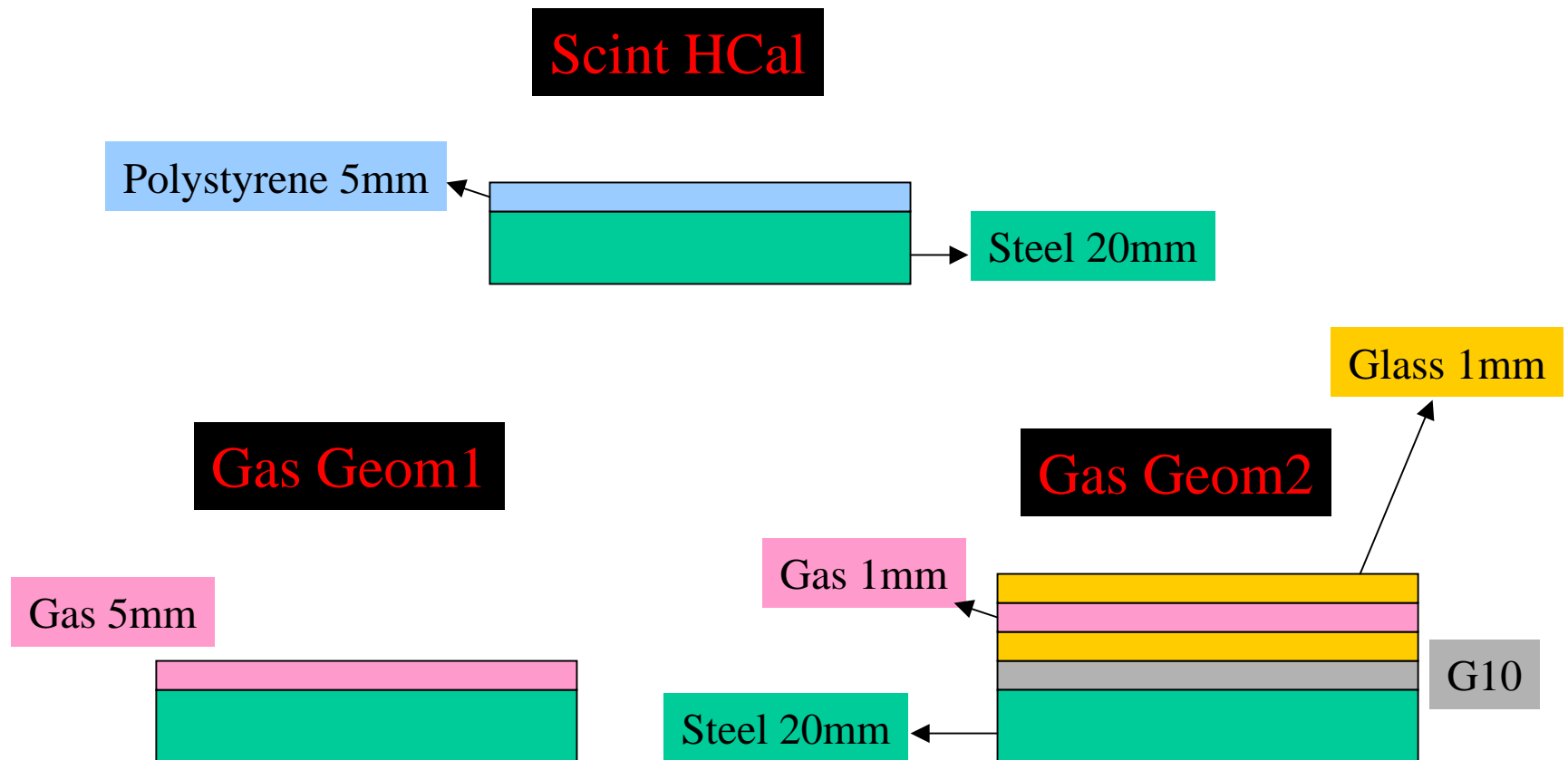
SD161 chpi 10GeV

- Density-based clustering in both ECal and HCal
- Clusters matched to tracks replaced by their generated momentum
- For ECal clusters, use energy of assoc. cells
- For HCal clusters, use number of hits to estimate energy.



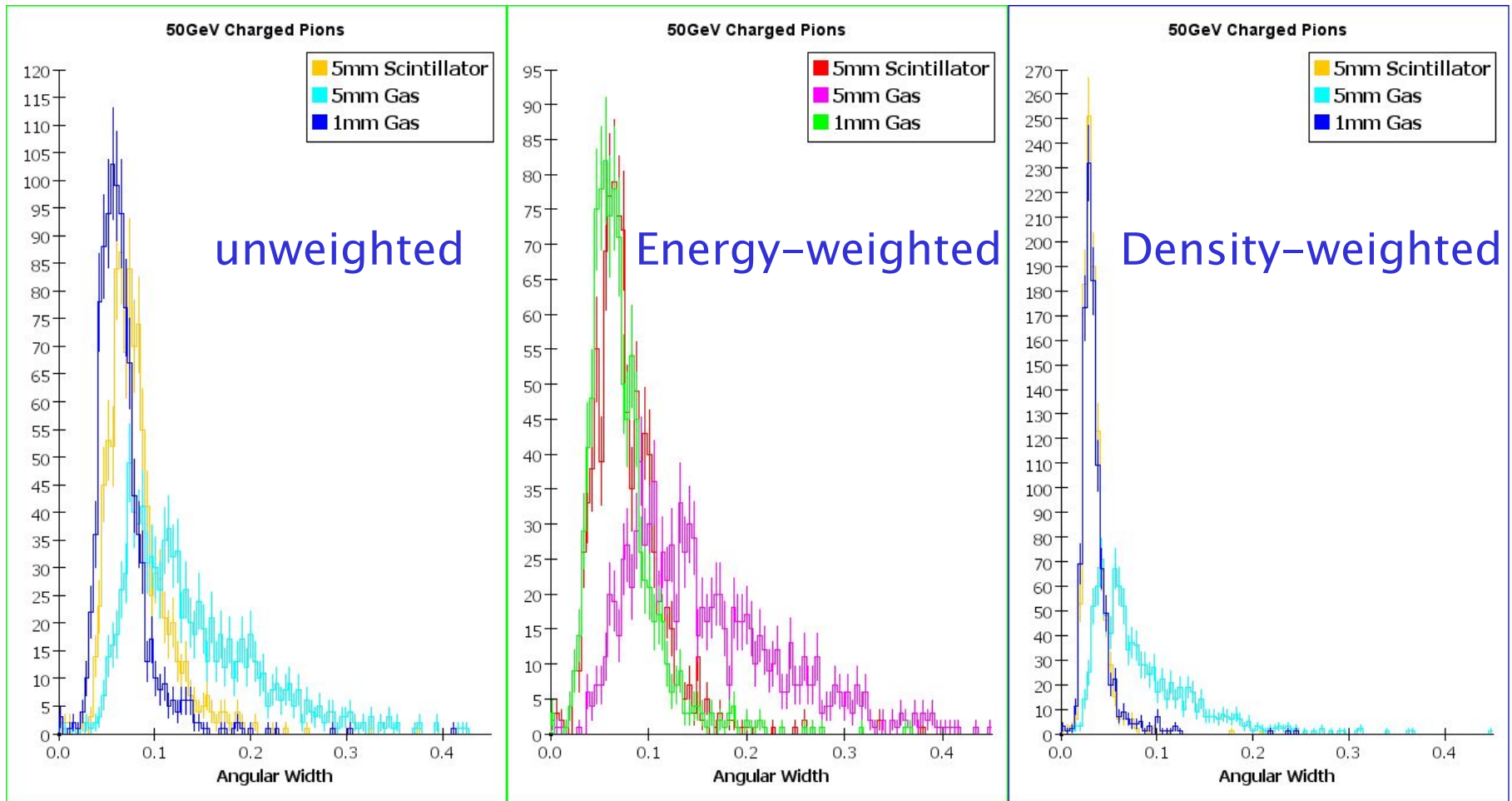


# Scintillator & gas-based DHCAL geometries modelled in simulation:

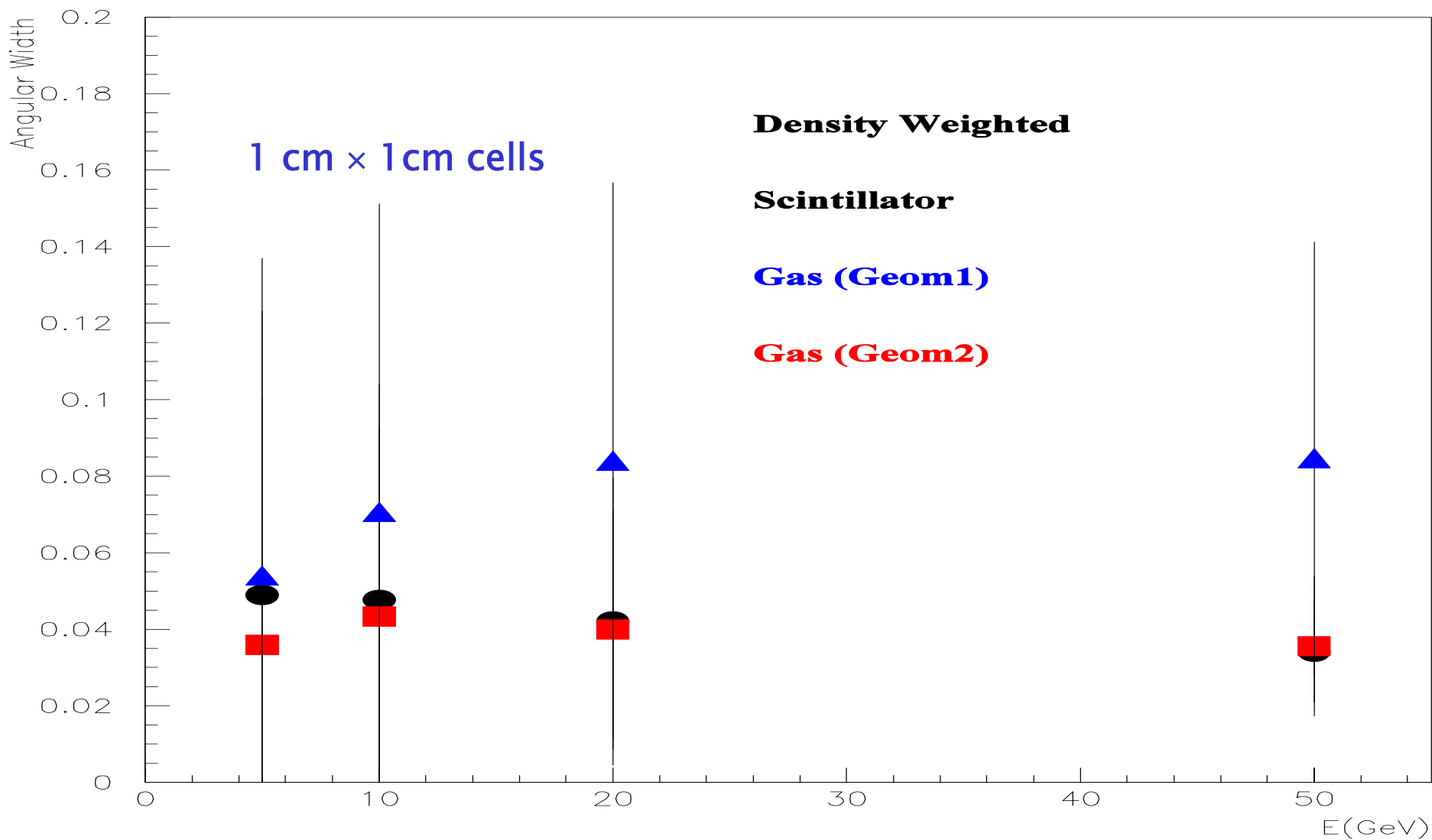


# Shower widths with Scintillator and gas-based HCal designs

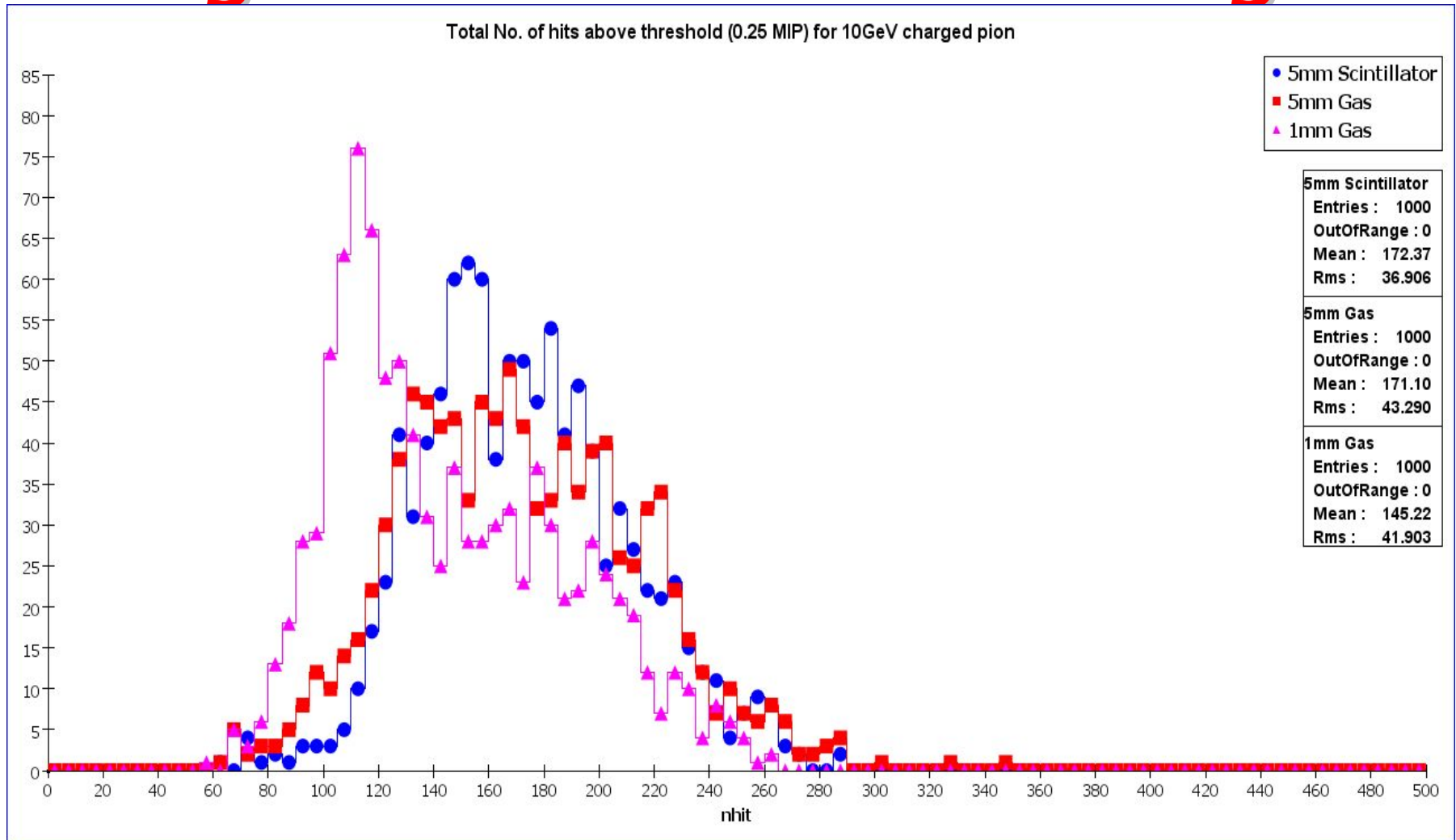
1 cm × 1 cm cells



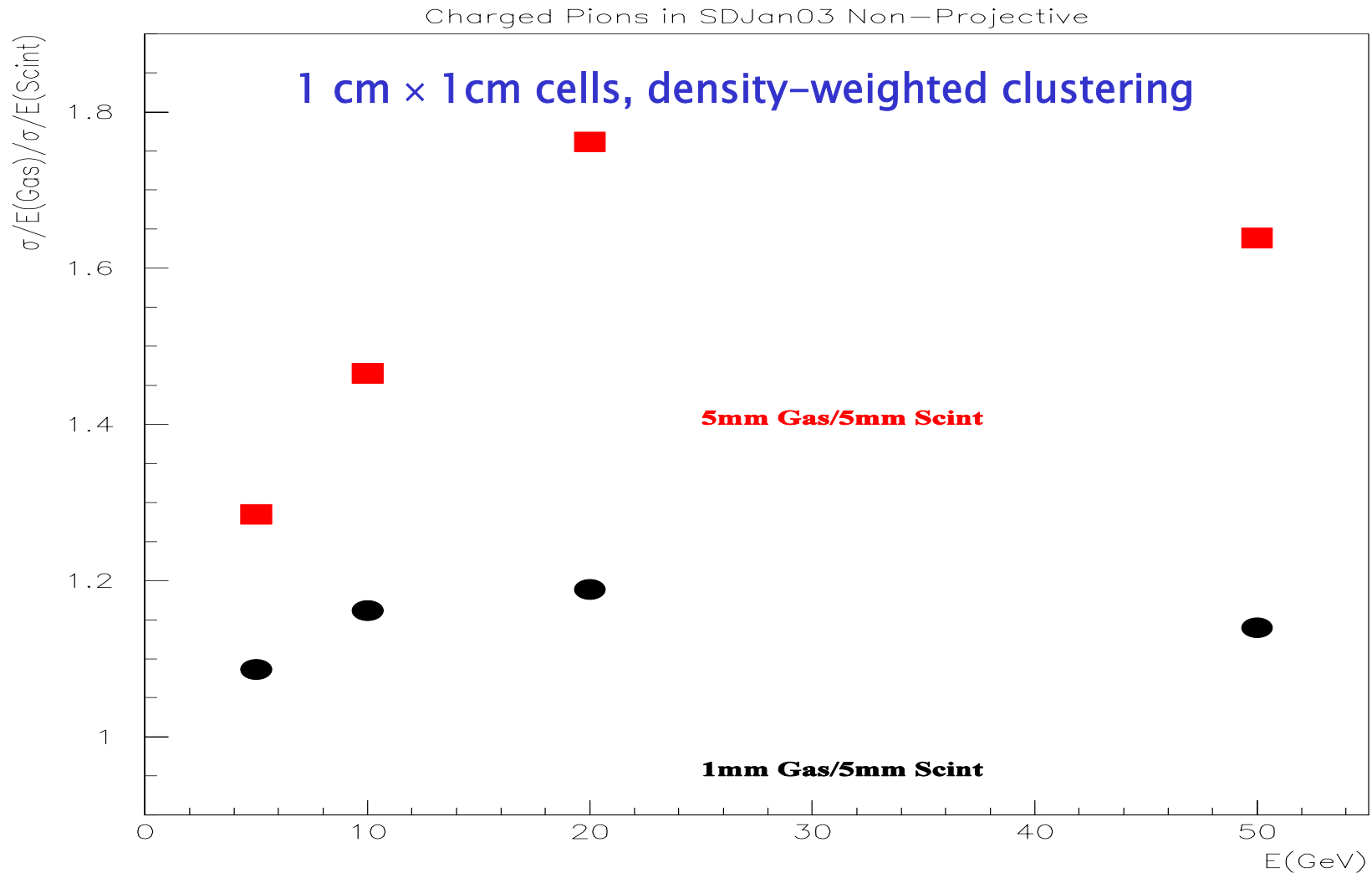
# Shower widths vs. $E(\pi^+)$



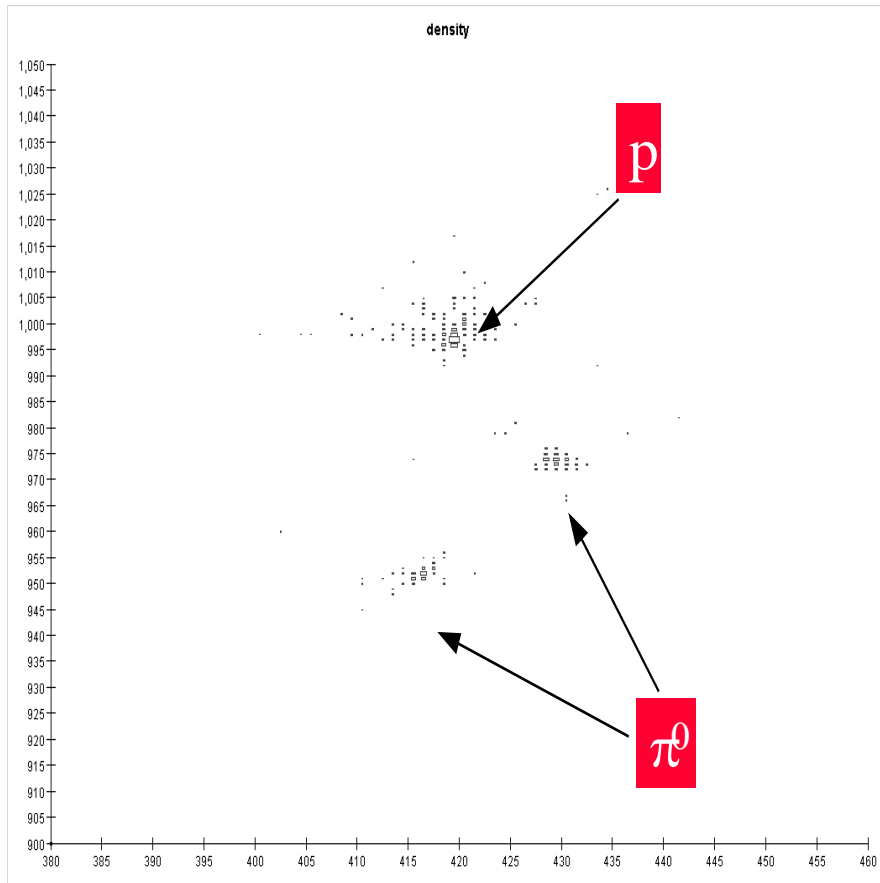
# Number of hits with Scintillator and gas-based DHCAL designs



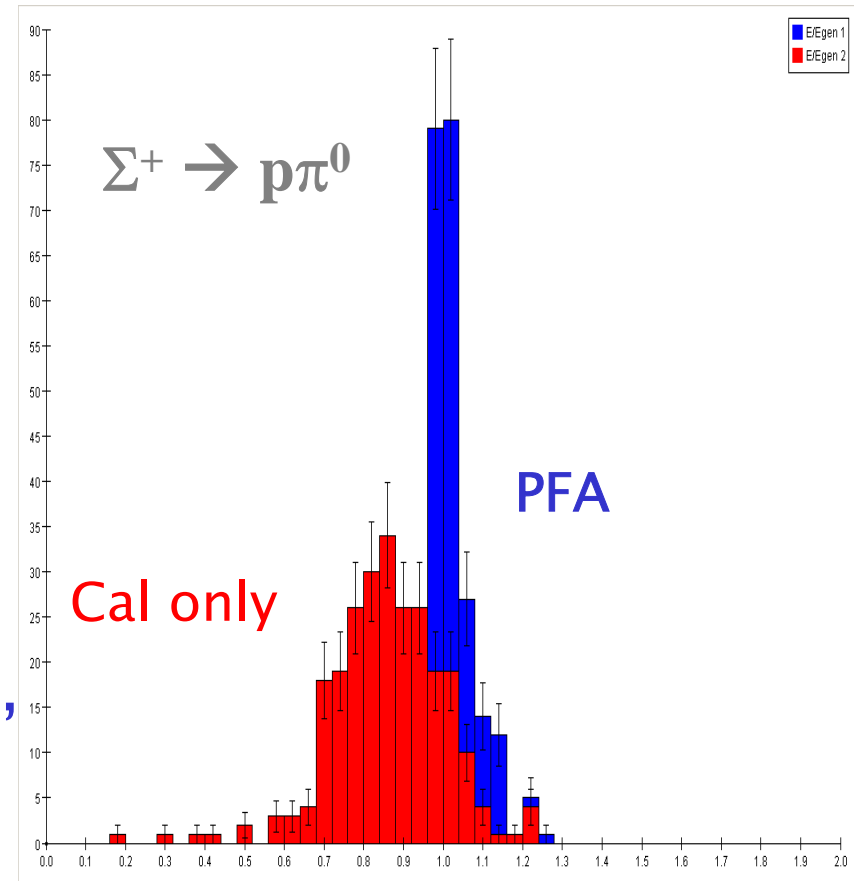
# Energy resolution with Scintillator and gas-based DHCAL designs



# DHCal: Particle-flow algorithm (NIU)



- Nominal SD geometry
- Density-weighted clustering

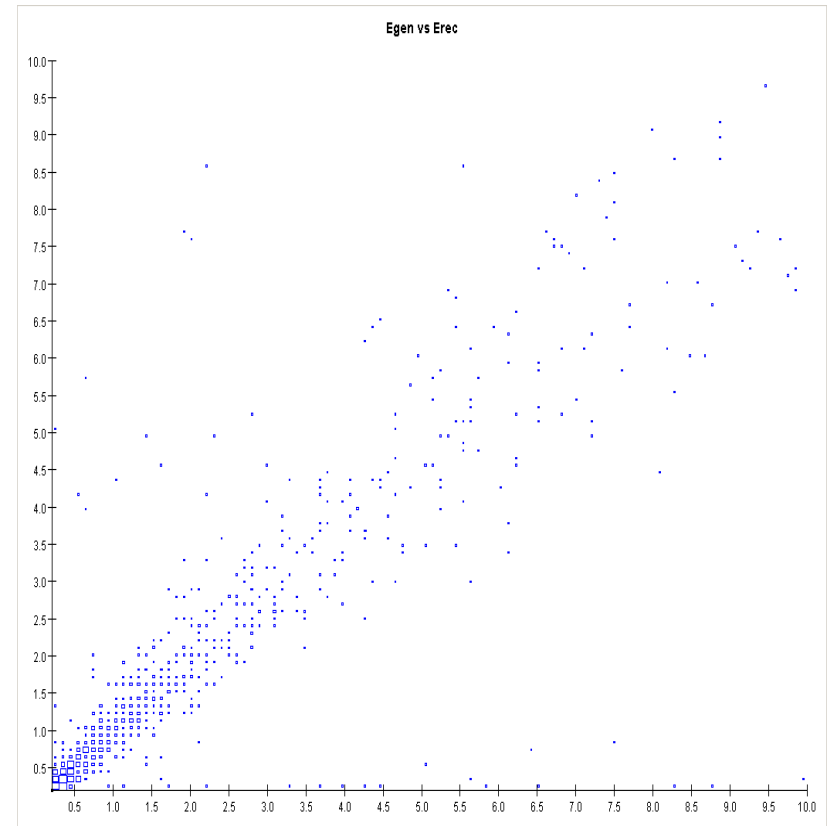
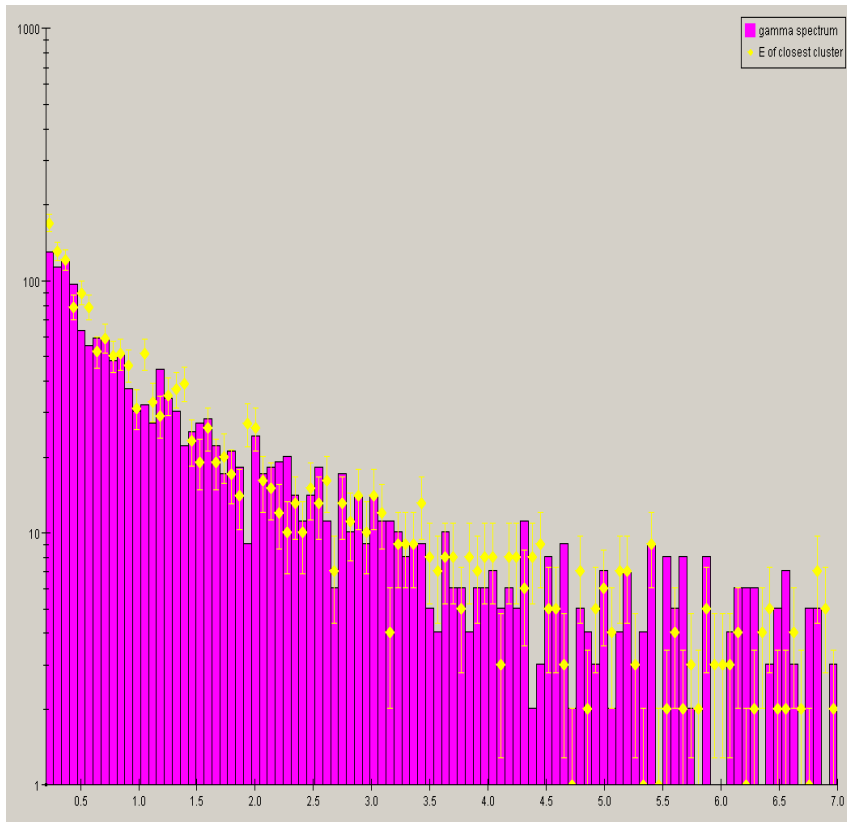


- Track momentum for charged,
- Calorimeter E for neutrals

# DHCal: Particle-flow algorithm (NIU)

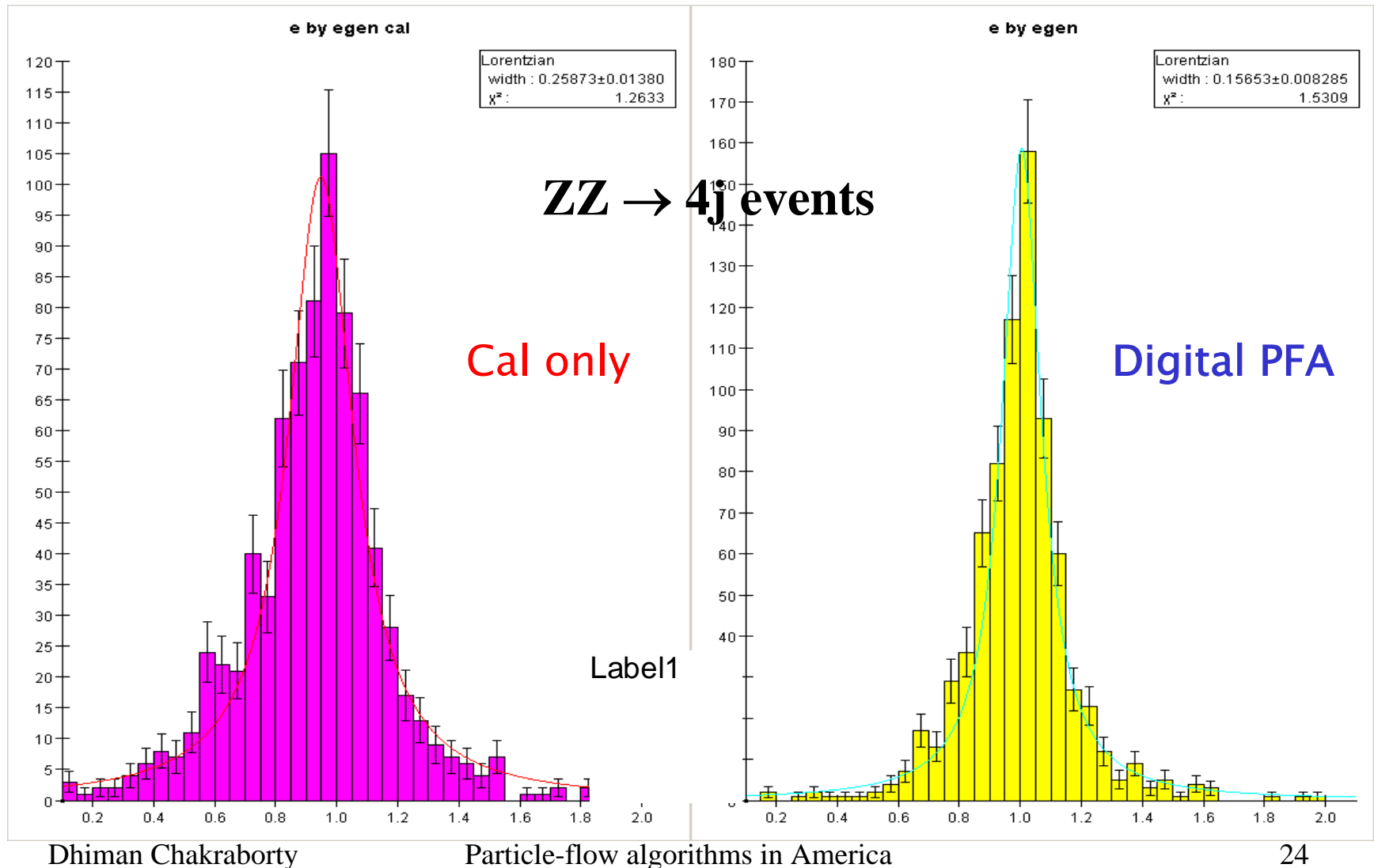
## Photon Reconstruction inside jets

Excellent agreement with Monte Carlo truth:



# DHCal: Particle-flow algorithm (NIU)

## Reconstructed jet resolution





# Track-first Particle-flow algorithm (ANL, SLAC)

## Step 1: Track extrapolation through Cal

- substitute for Cal cells (MIP + ECAL shower tube + HCAL tube; reconstruct linked MIP segments + density-weighted hit clusters)
- analog or digital techniques in HCAL
- Cal granularity/segmentation optimized for separation of charged & neutral clusters

## Step 2: Photon finder

- use analytic long./trans. energy profiles, ECAL shower max, etc.

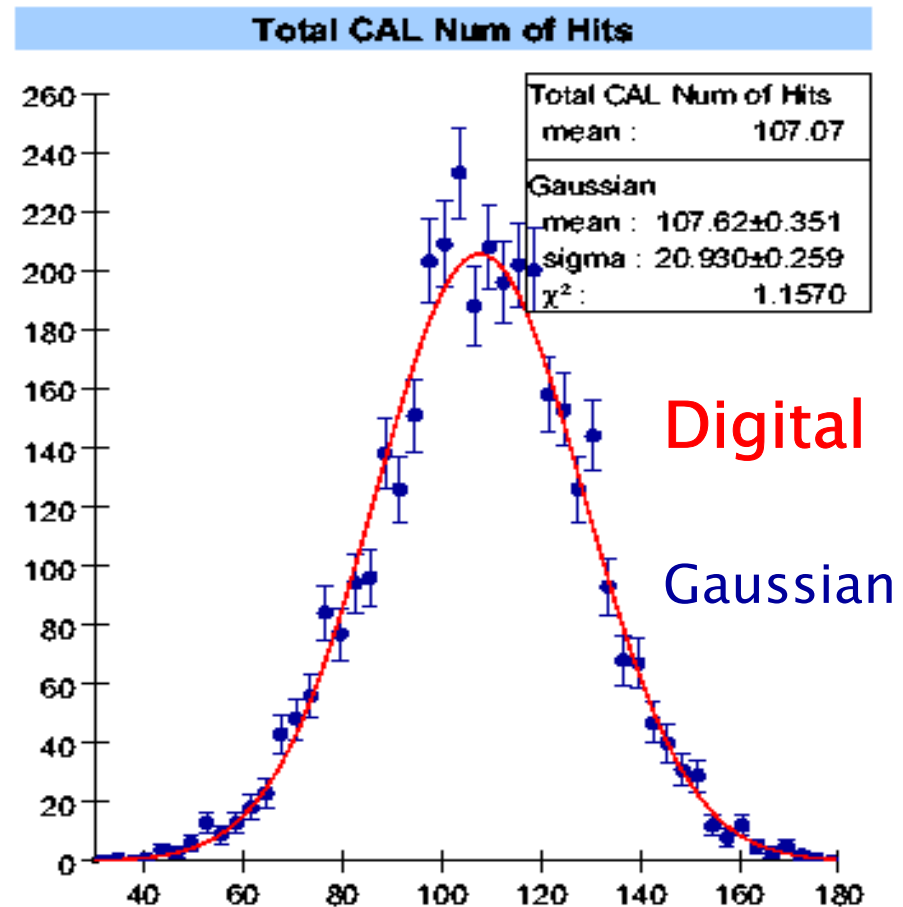
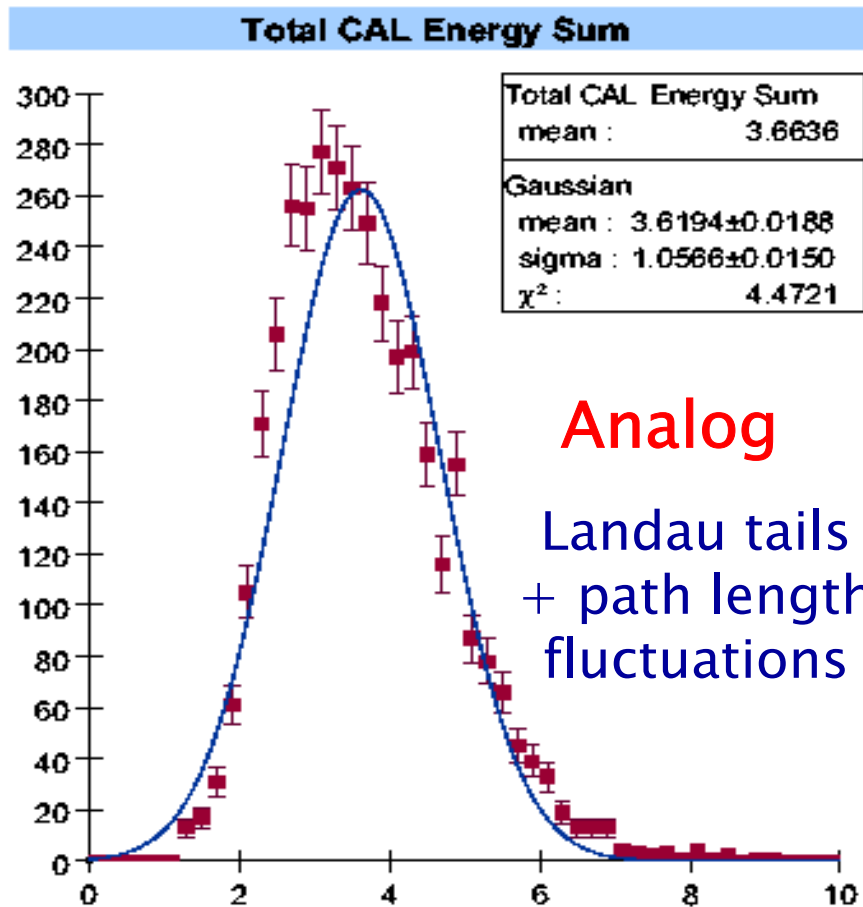
## Step 3: Jet Algorithm

- tracks + photons + remaining Cal cells (neutral hadron contribution)
- Cal clustering not needed → Digital HCAL?

# Analog vs Digital Energy Resolution

GEANT 4 Simulation of SD Detector (5 GeV  $\pi^+$ )

- > sum of ECal and HCal analog signals – Analog
- > number of hits with 7 MeV threshold in HCal – Digital

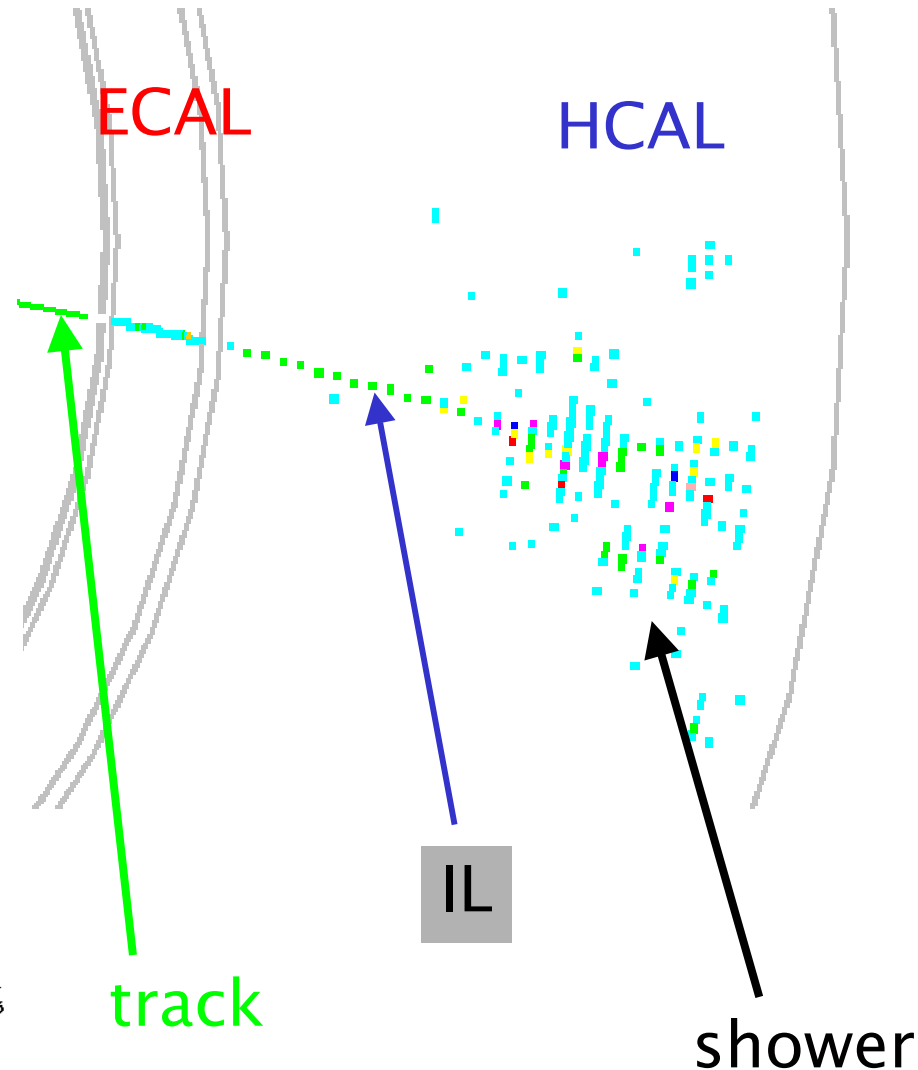
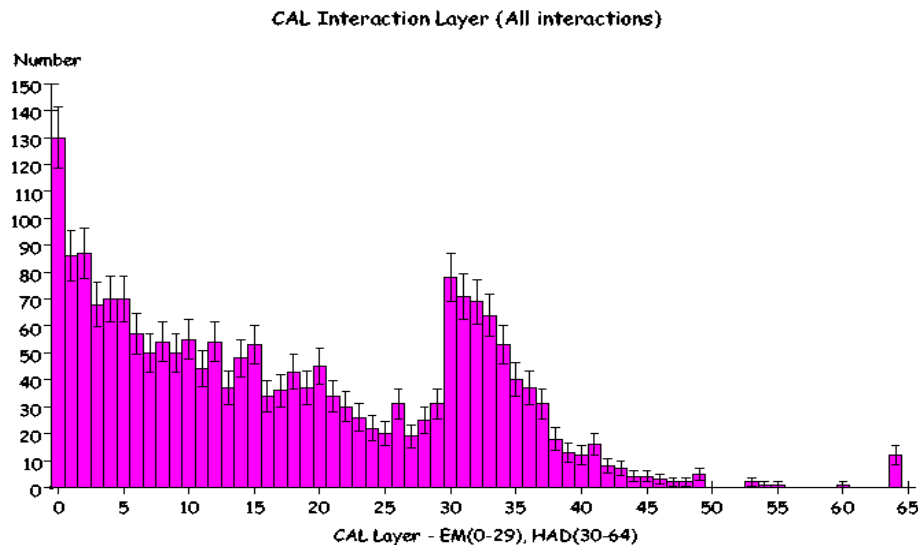


# Shower reconstruction by track extrapolation

Extrapolate track through CAL  
layer-by-layer  
Search for “Interaction Layer” (IL)  
→ Clean region for photons

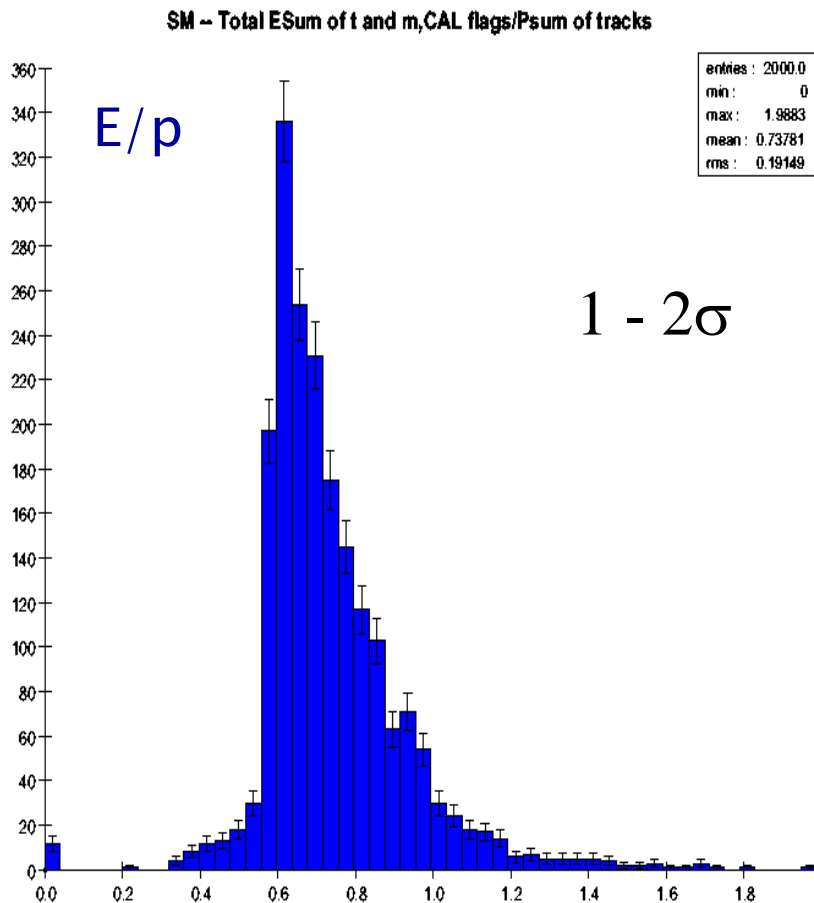
## Shower reconstruction :

Define tubes for shower in ECAL  
& HCAL after IL  
Optimize, iterating tubes in ECAL  
& HCal separately (E/p test)

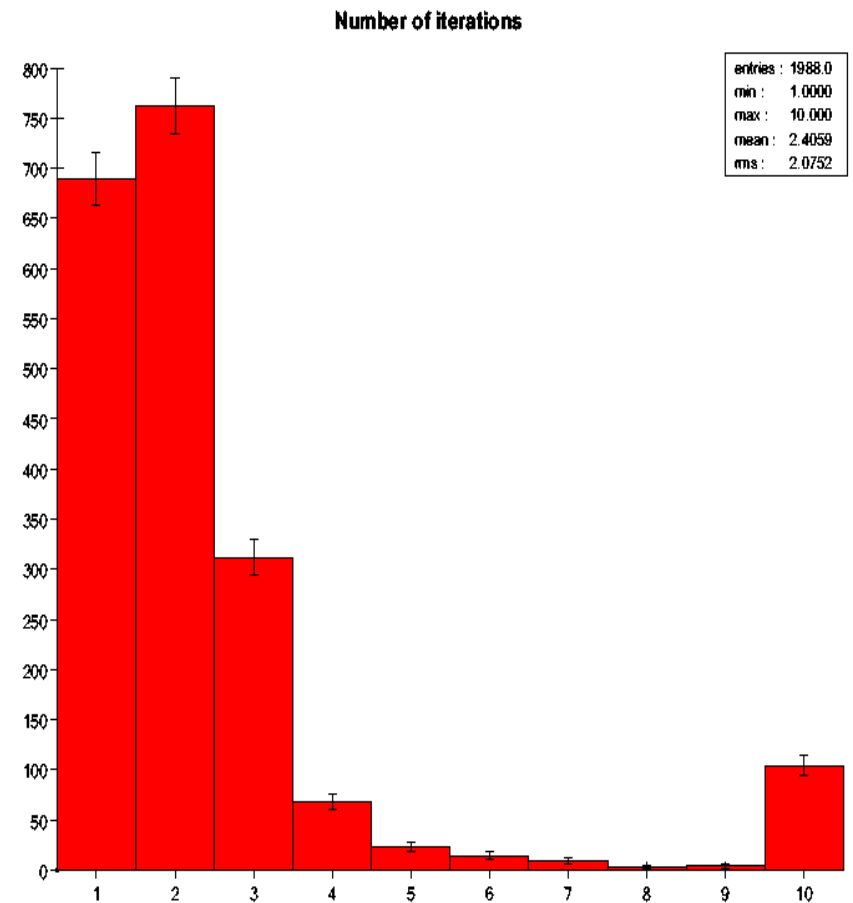


# Single particle track substitution results

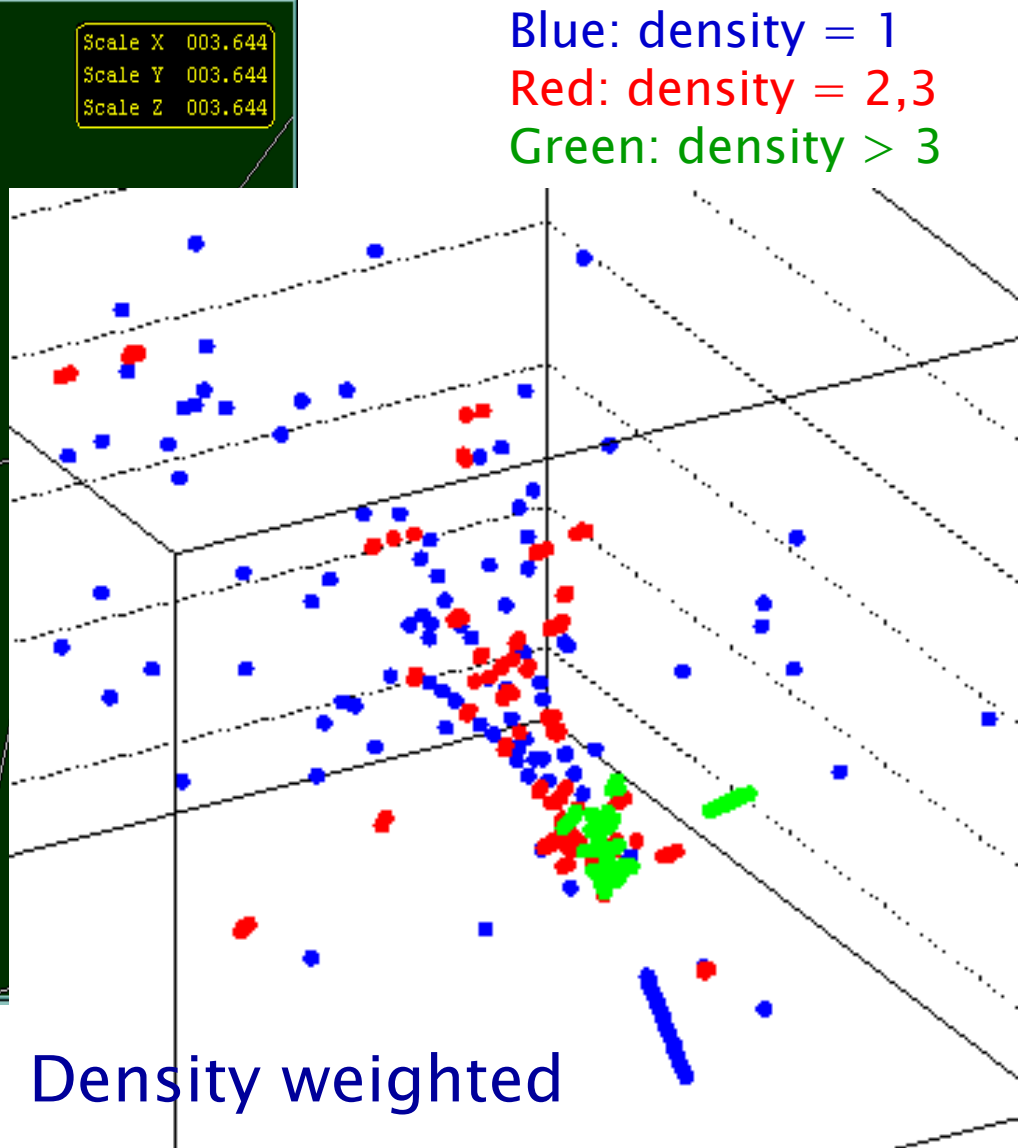
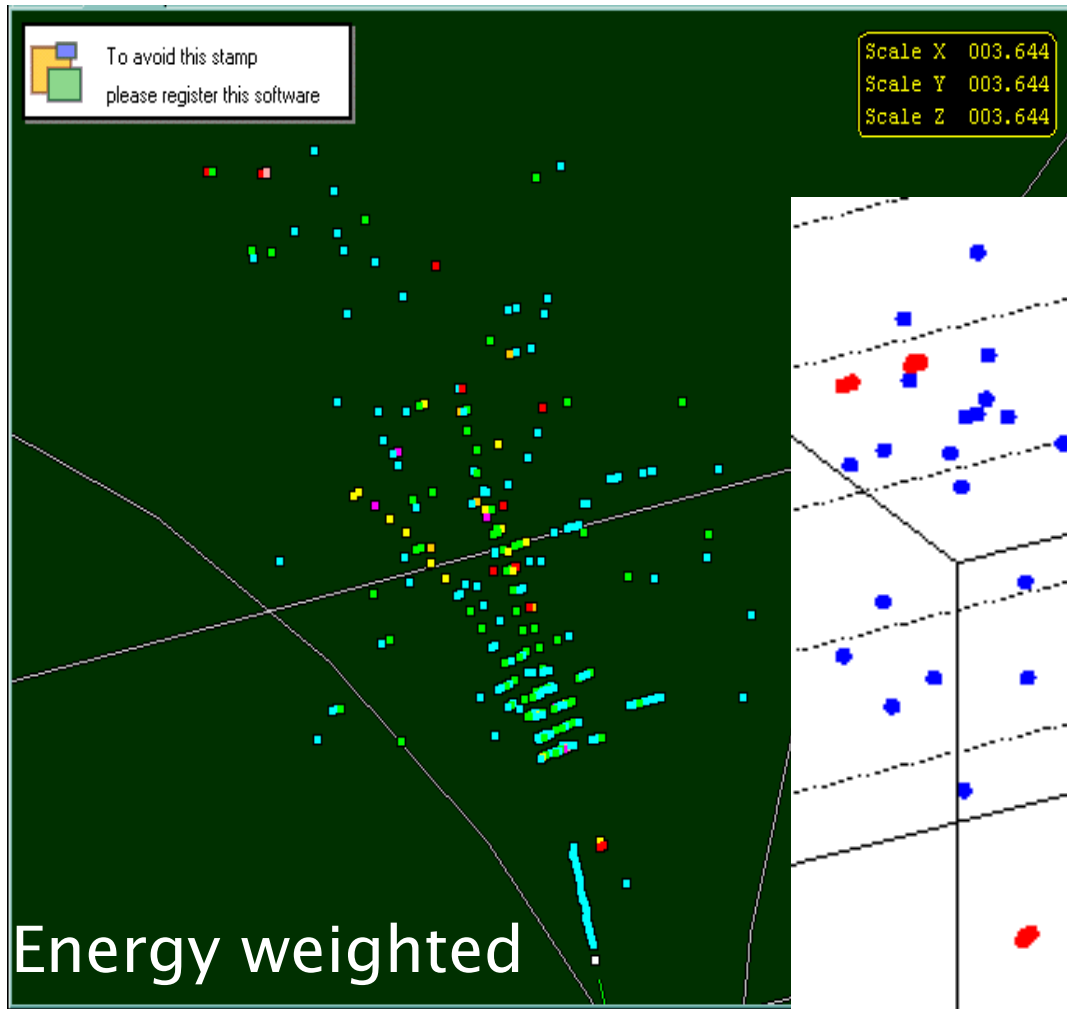
Increment window around extrapolated track in each layer by 0.025 in the ECAL and 0.045 in the HCAL – check  $E/p > \text{test parameter}$  to stop iterations; test parameter =  $1 \pm 0, 1, 2 \sigma$  ( $\sigma \sim 55\%/\sqrt{E}$ )



$1 - 2\sigma$

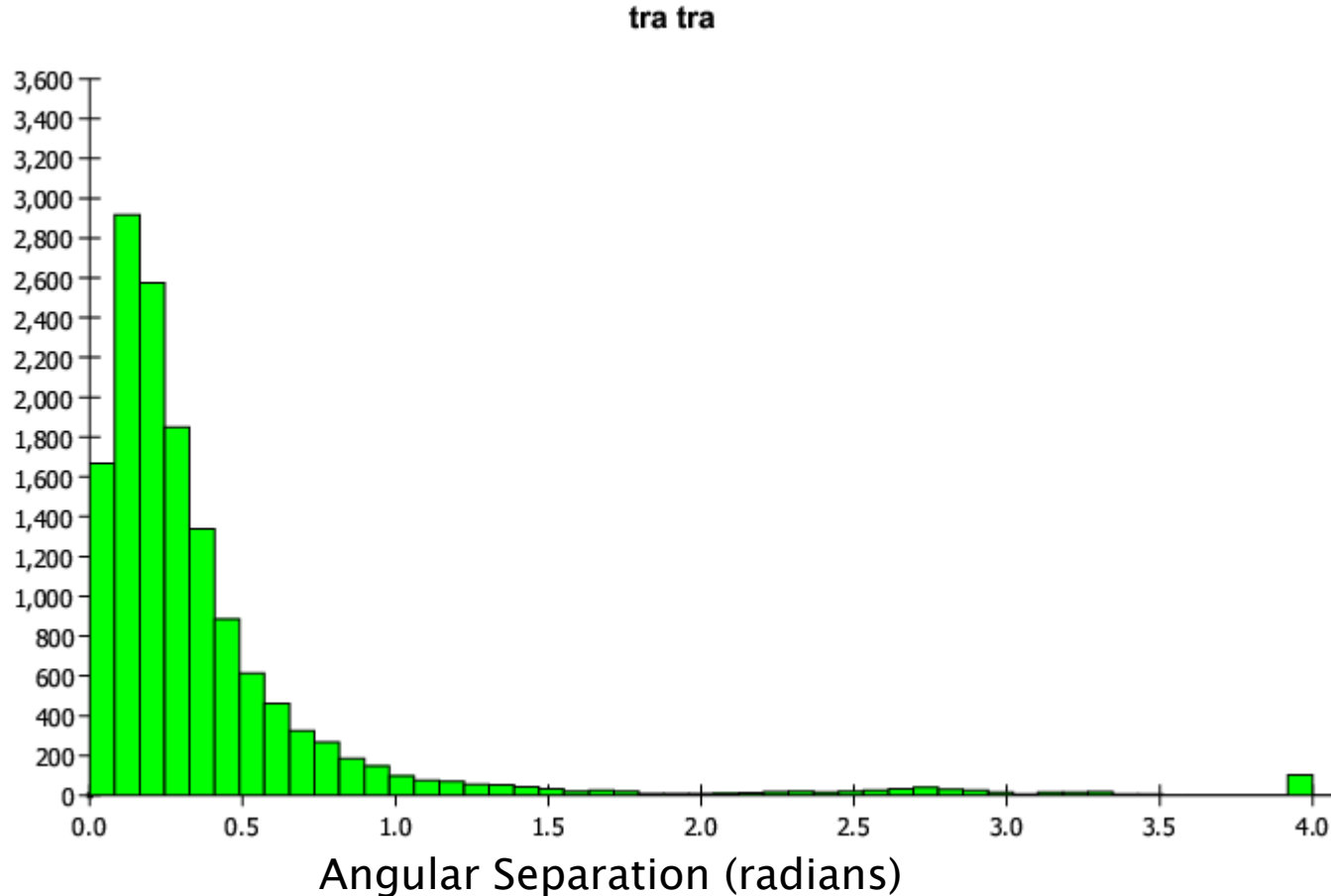


# Single 10 GeV $\pi^+$ : event display comparison



# Overlapping showers from other tracks

Separation between random  $>2$  GeV track and closest  $>2$  GeV track



16% overlap within  $\text{Sep} < 0.1$

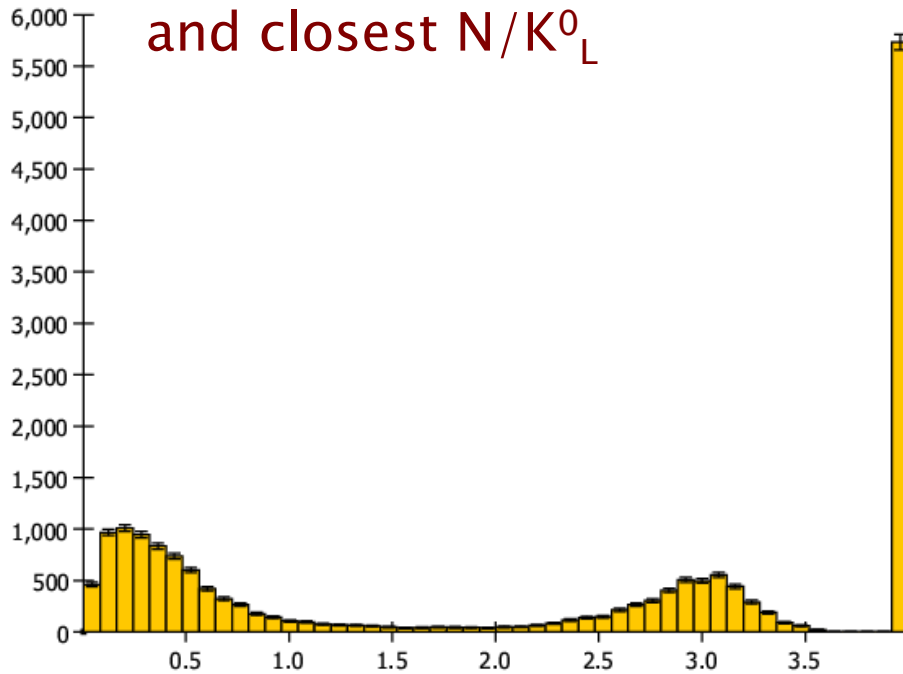
59% overlap within  $\text{Sep} < 0.3$

41% overlap within  $\text{Sep} < 0.2$

72% overlap within  $\text{Sep} < 0.4$

# 2+ GeV $N/K^0_L$ overlapping 2+ GeV tracks

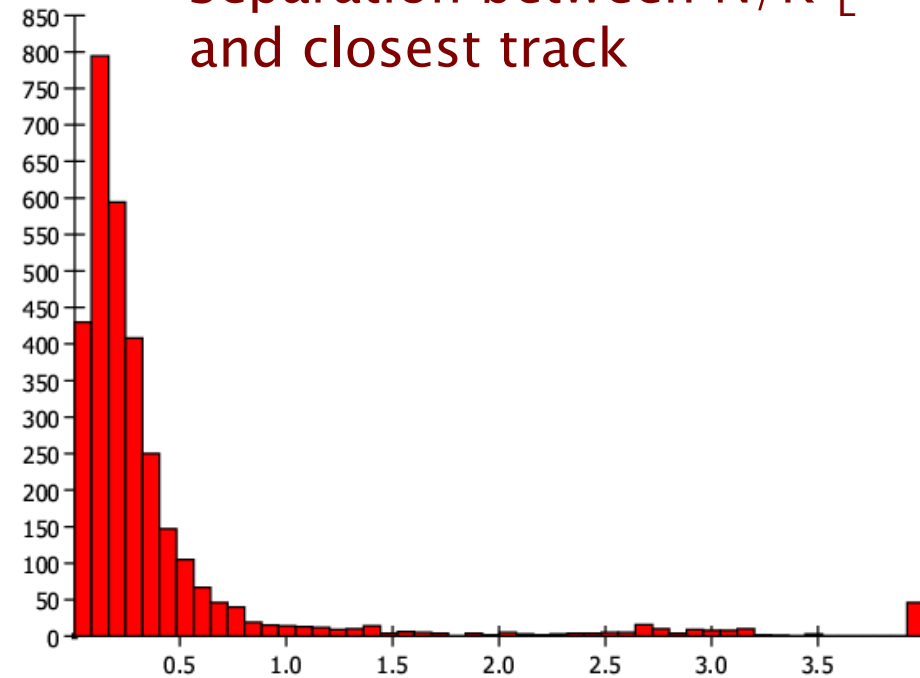
Separation between track  
and closest  $N/K^0_L$



Angular Separation (radians)

10% overlap within  $\text{Sep} < 0.2$

Separation between  $N/K^0_L$   
and closest track



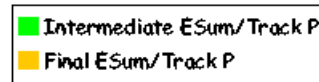
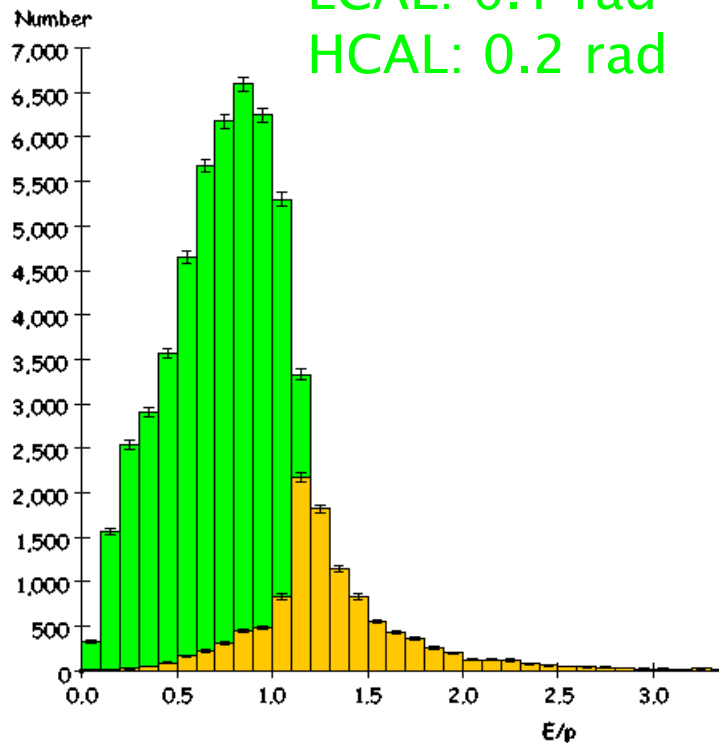
Angular Separation (radians)

48% overlap within  $\text{Sep} < 0.2$

# Track-first PFA Results

Average tube size as determined by the number of iterations:

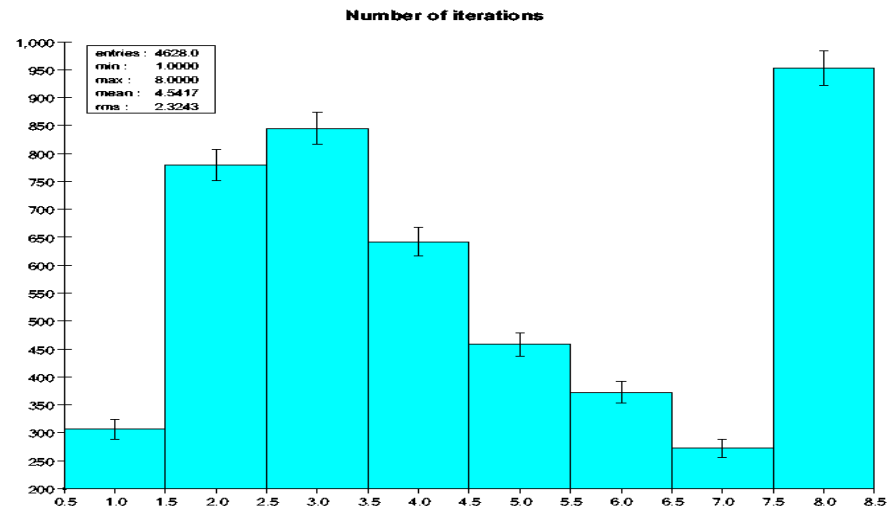
ECAL: 0.1 rad  
HCAL: 0.2 rad



Intermediate ESum/Track P	
entries :	55301
min :	0
max :	7.3662
mean :	0.82332
rms :	0.41614

Final ESum/Track P	
entries :	11218
min :	0
max :	7.3662
mean :	1.3342
rms :	0.50278

Start with green distribution,  
end with yellow



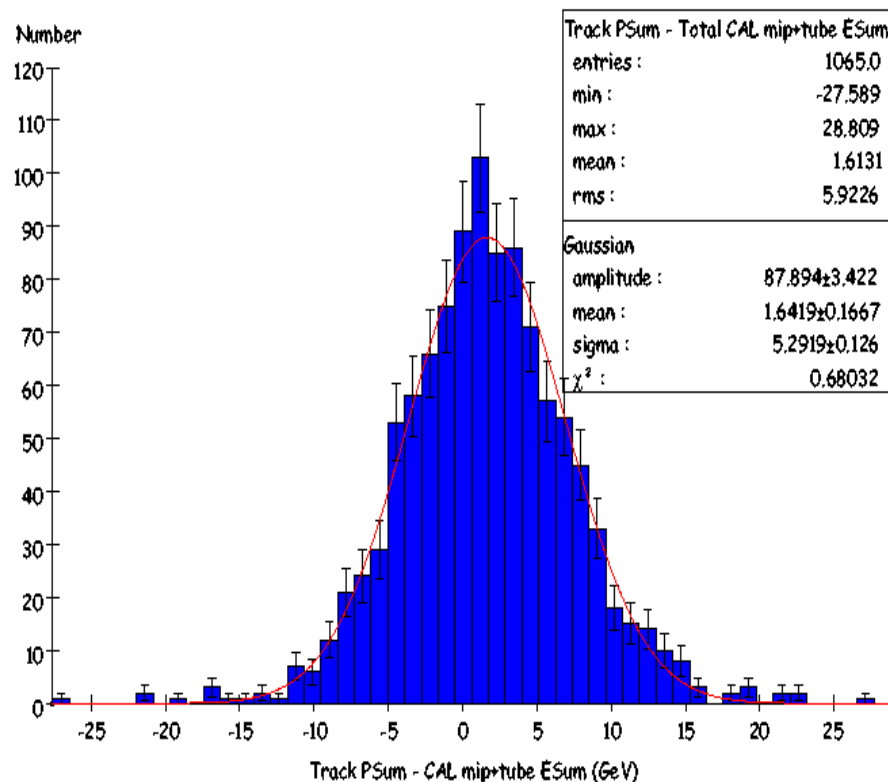


# Track Substitution, Neutral Sum Results

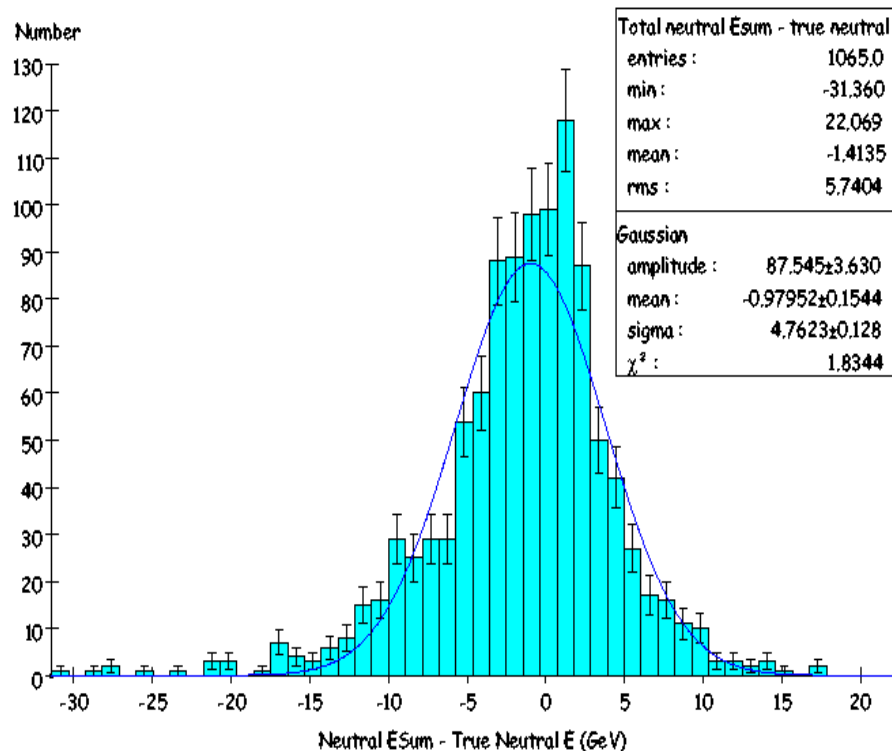
Includes MIPs + cell E's in tubes (further E/p tuning needed)

Jet cones: 0.55; Neutral contribution to E sum:  $\sim 4.8$  GeV; Goal:  $\sim 3$  GeV

Track PSum - Total CAL mip+tube ESum



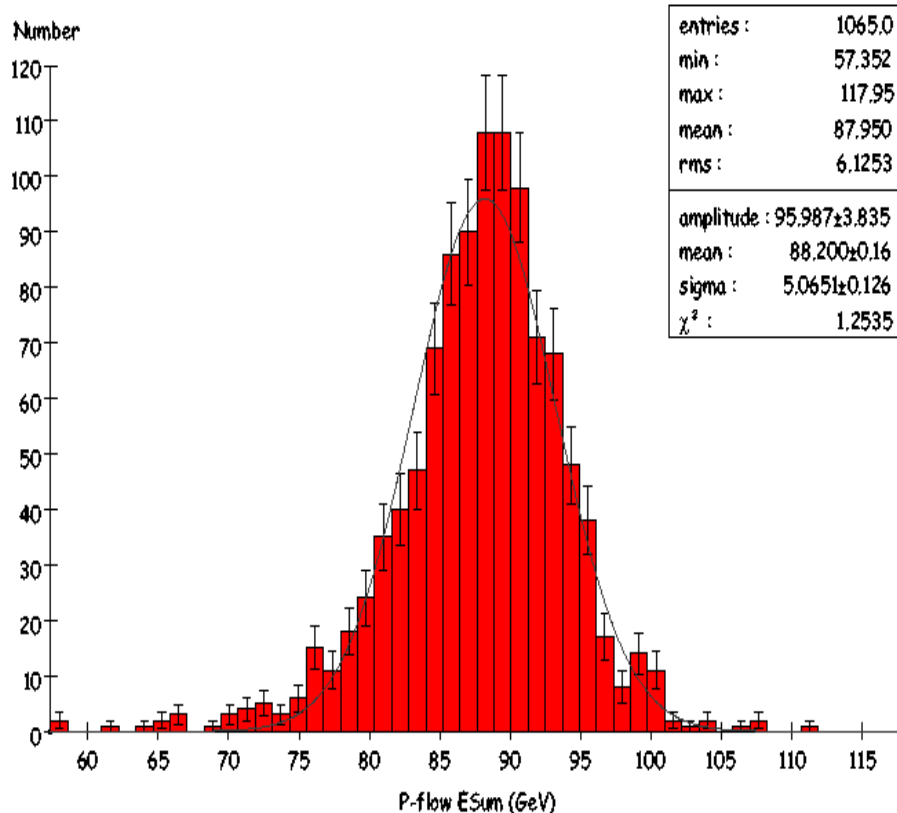
Total neutral Esum - true neutral



# Results for Analog and Digital HCal

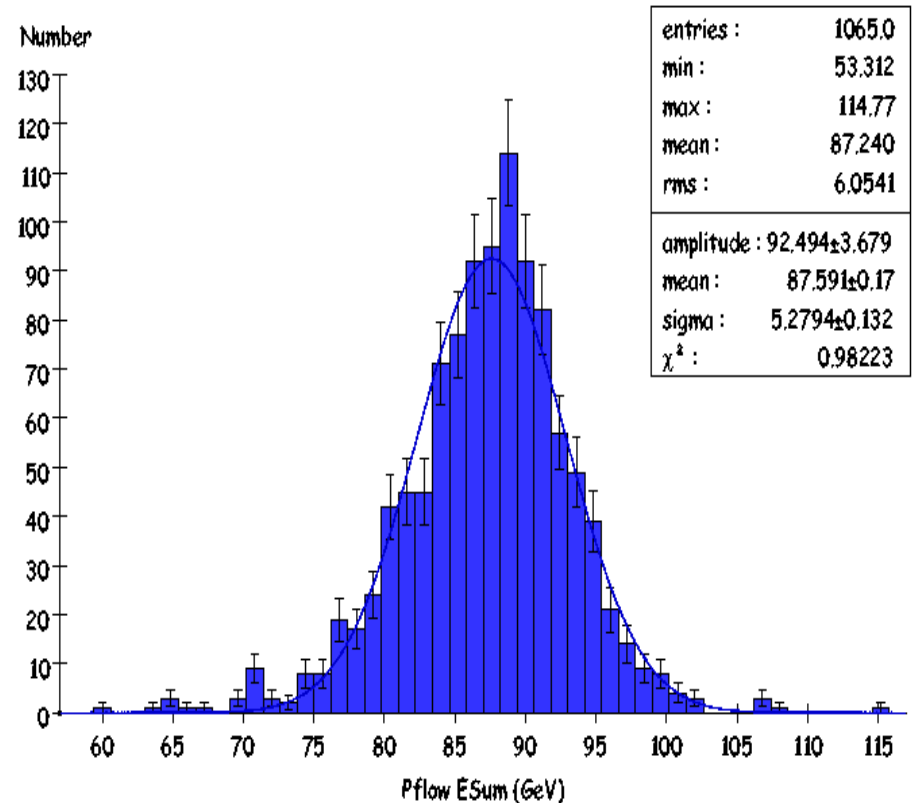
## Analog HCal

Tracks + unsubbed Perfect ID thr + perfect ID photon + neutral ESum



## Digital HCal

Tracks + unsubbed Perfect ID thr + perfect ID photon + neutral ESum

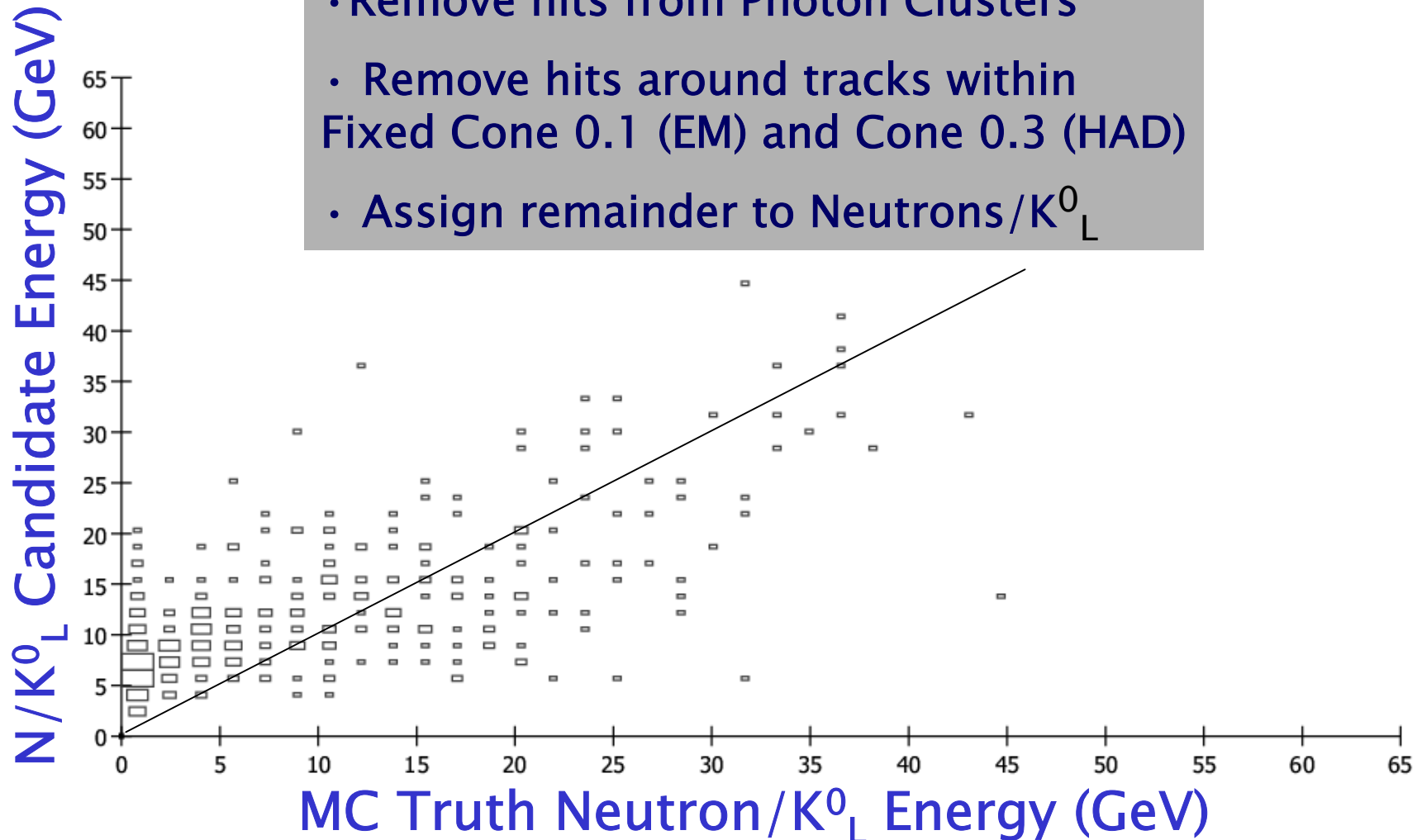


Very similar results for Analog/Digital HCal  
Both still too wide!

# Neutral Hadrons in $Z \rightarrow jj$ decays

Simple Neutron/ $K_L^0$  Estimator:

- Remove hits from Photon Clusters
- Remove hits around tracks within Fixed Cone 0.1 (EM) and Cone 0.3 (HAD)
- Assign remainder to Neutrons/ $K_L^0$



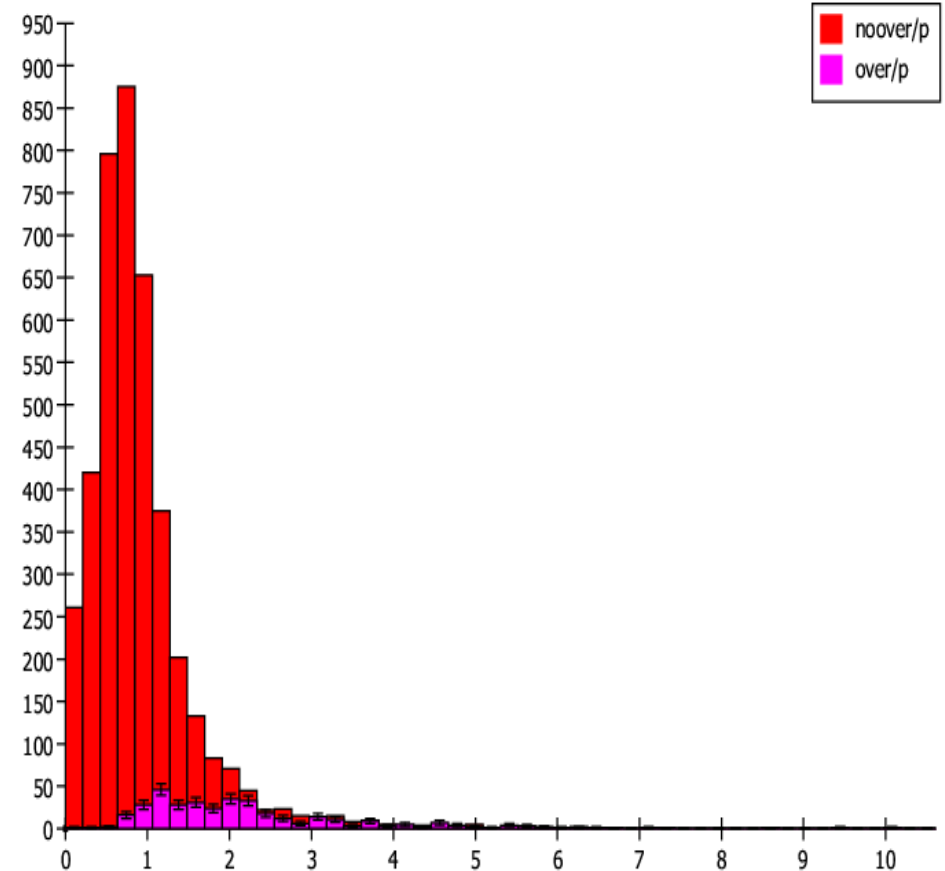
# Overlapping tracks & $n/K^0_L$

Two approaches being investigated:

- Put calorimeter and track properties in a neural net

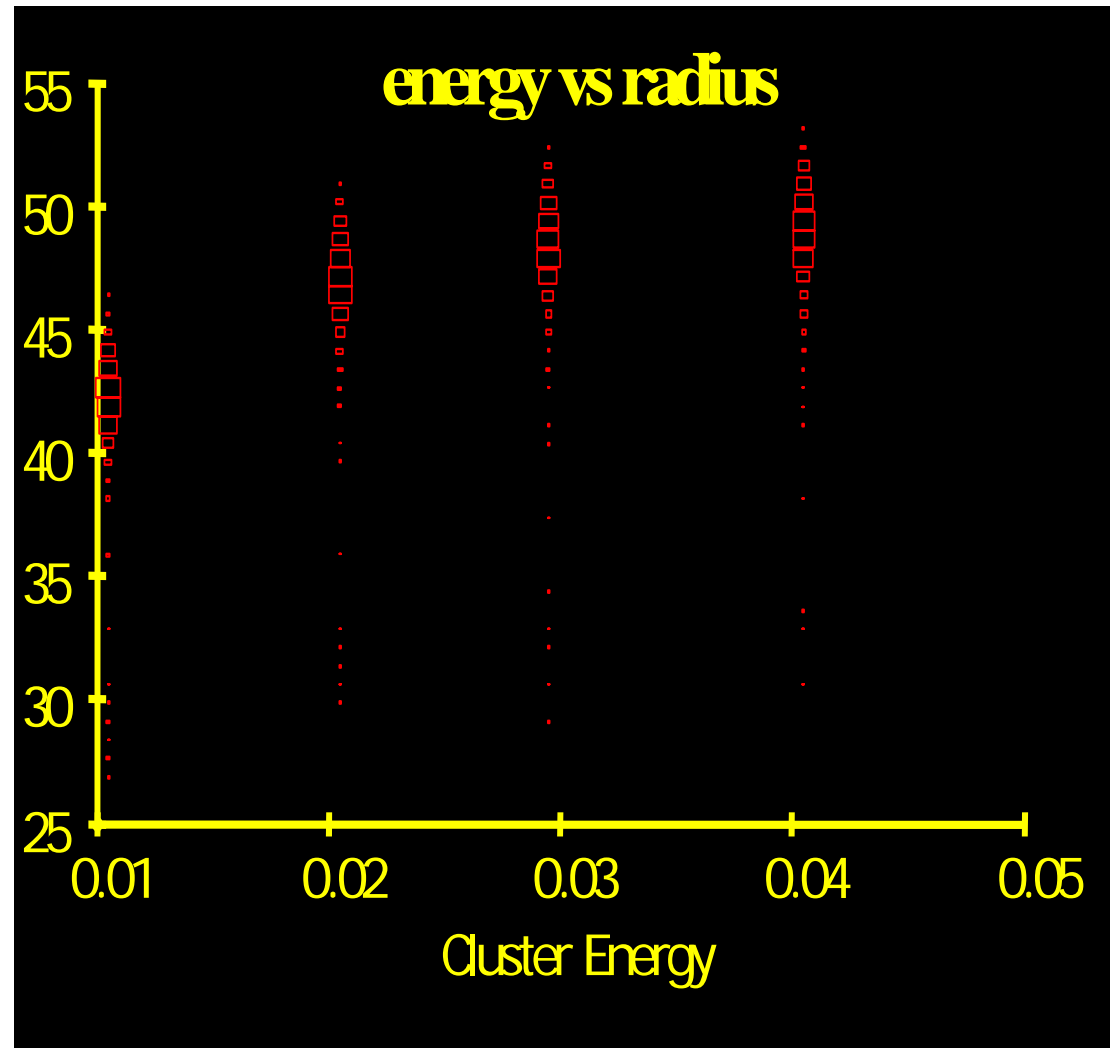
## 15 Discriminators

- 3 normalized energy tensor eigenvalues,  $ne1, ne2, ne3$ .
- $ne1/ne2, ne2/ne3$ .
- First layer hit, last layer hit, length of cluster,  $(firstL+1)/length$ .
- Angular separation between e1-axis and IP.
- Energy in first 5 layers.
- Nhits in first 2 layers.
- z-coordinate of center of energy.
- Nhits
- Measured cluster energy.
- Remove track and gamma hits from the calorimeter ('snark' inspired)



# Photon Reconstruction

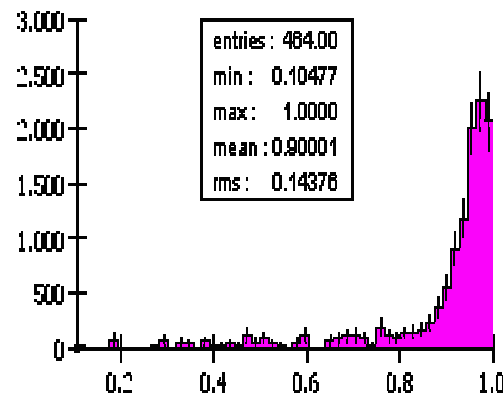
- Simple cone algorithm to cluster cells in the ECal
- Currently using fixed cone of 0.03
- Splitting based on distance of cell from cone axis
- Plan to use seed-energy-dependent radius



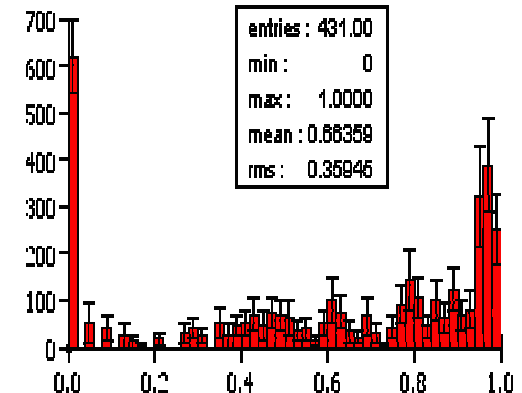
# PFA: Cluster identification (SLAC, U. Pennsylvania)

- Make contiguous hit clusters
- Attempt to identify particle type that created cluster based on a set of discriminators
- NN, trained on single particles, being used presently

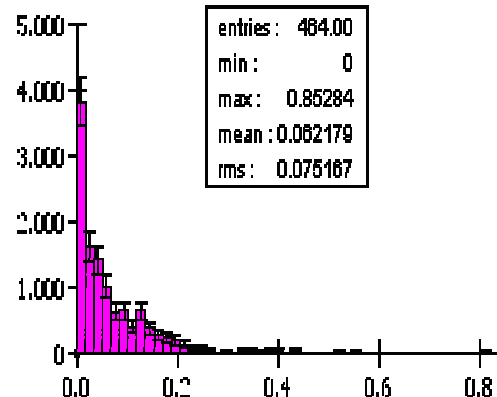
Fraction of KE correctly IDed as gamma



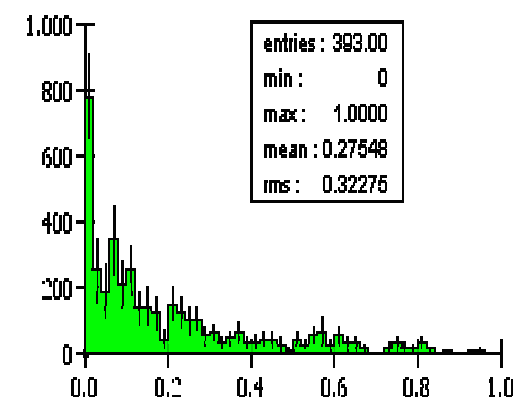
Fraction of KE correctly IDed as natural hadron



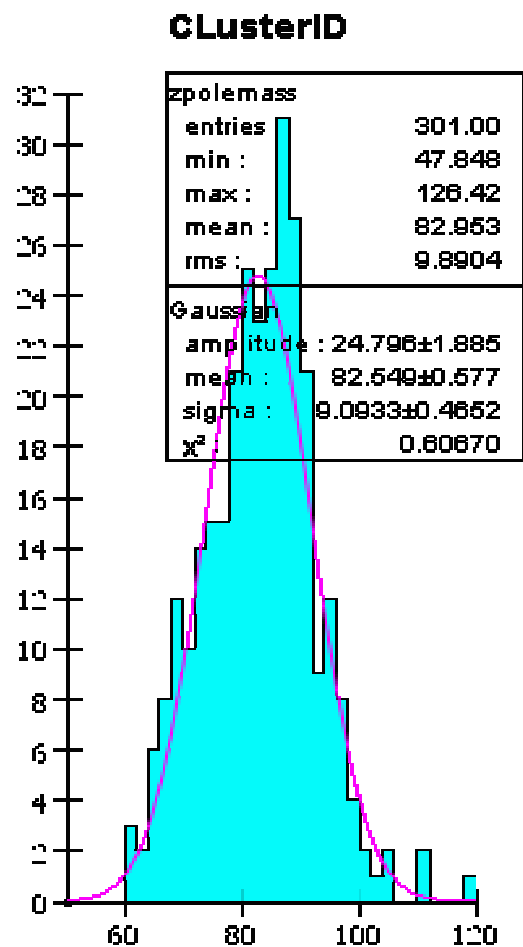
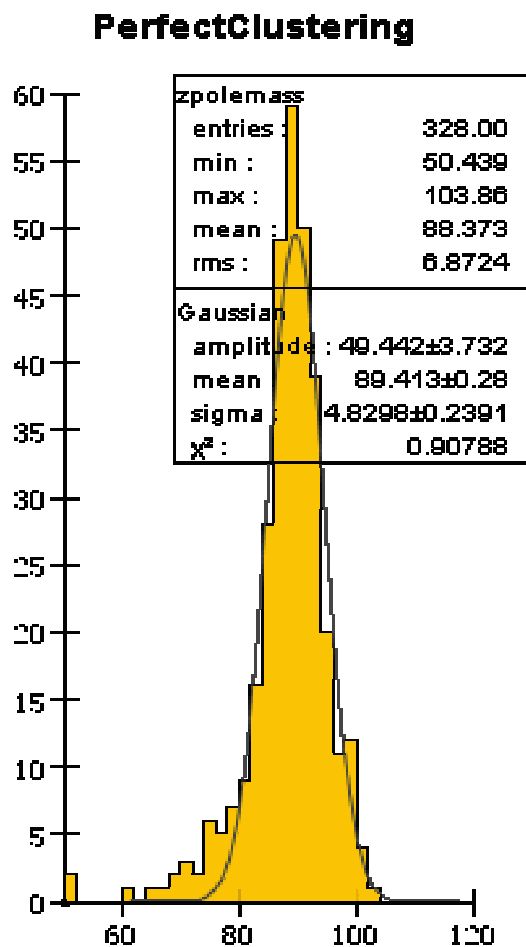
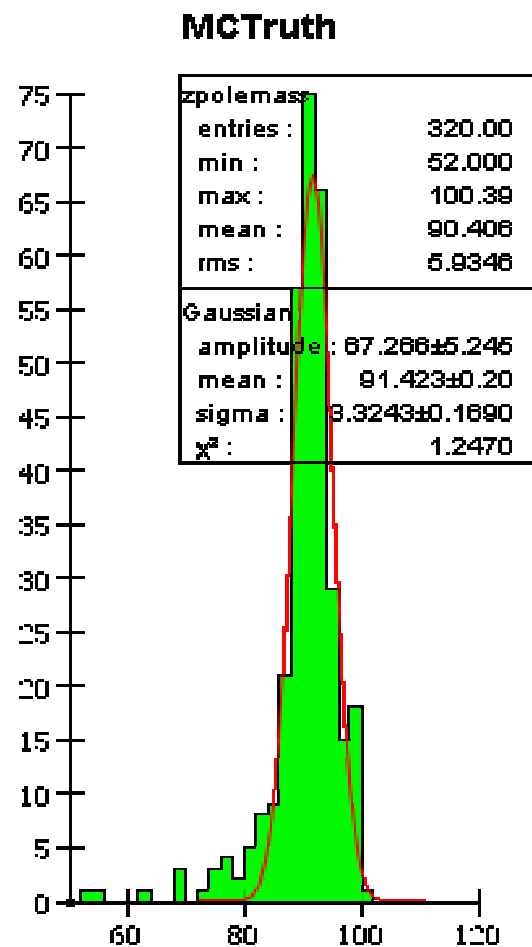
Fraction of KE incorrectly IDed as gamma



Fraction of KE incorrectly IDed as natural hadron



# PFA: Z mass using Cluster identification



# Summary

- Digital calorimetry can be as good as analog, may be even better, especially for particle–flow algorithms.
- Several independent approaches to PFAs expected to result in a large software library of algorithms and reconstruction techniques.
- Much work ahead – international collaboration is crucial to our success.