# QCD and JETS an introduction

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# Quantum Chromodynamics (QCD) is *the* theory of strong interactions

fundamental degrees of freedom: colored quarks and gluons are well established even though they cannot be directly observed as free particles, but only in color neutral bound states (confinement) and as jets due to asymptotic freedom property of the QCD running coupling constant,  $\alpha_s(Q^2)$ , which decreases at short distances/high energies:

 $\lim_{Q^2 \to \infty} \alpha_s(Q^2) = 0$ 

[D. J. Gross and F. Wilczek; H. D. Politzer (1973)]

QCD - as part of the Standard Model - is a mature subject --- predictive power:

nuclear physics at ultra-relativistic energies offers unique tools to study QCD in a new domain

# outline

Iarge  $p_{\perp}$  physics: jets and high  $p_{\perp}$  hadrons

### gluon radiation and heavy ion collisions (HIC)

initial (Cronin enhancement) versus final state interaction (radiative energy loss - suppression/depletion of large  $p_{\perp}$  hadrons)

### references - books and reviews

- T. Muta, Foundations of Quantum Chromodynamics, Lecture Notes in Physics – Vol. 57 (World Scientific, Singapore, 1997)
- **2.** R.K. Ellis, W.J. Stirling and B.R. Webber, *QCD and Collider Physics* (Cambridge Univ. Press, Cambridge, 1996)
- **3.** R. D. Field, *Applications of Perturbative QCD* (Addison-Wesley Pub. Comp., 1989)
- 4. J.-P. Blaizot and E. Iancu, eds., *QCD Perspectives on Hot and Dense Matter* (Kluwer Academic Publ., 2002)
- Proceedings QM2004, Oakland, USA, Journal of Physics G: Nuclear and Particle Physics, Vol. 30, Number 8, August 2004

# references, cont.

- **6.** L. Accardi et al., CERN Yellow Report on *Hard Probes in Heavy Ion Collisions at the LHC: Jet Physics* (hep-ph/0212148)
- 7. A. Kovner and U. A. Wiedemann, in *Quark Gluon Plasma 3*,

eds. R. C. Hwa and X.-N. Wang (World Scientific, Singapore, 2004)

 8. recent experimental reviews: BRAHMS (nucl-ex/0410020); PHENIX (nucl-ex/0410003); PHOBOS (nucl-ex/0410022); STAR (nucl-ex/041...)

also: www.bnl.gov/RHIC/

**9.** recent theoretical reviews:

P. Jacobs and X.-N. Wang (hep-ph/0405125);

M. Gyulassy and L. McLerran (nucl-th/0405013);

E. V. Shuryak (hep-ph/0405066);

J.-P. Blaizot and F. Gelis (hep-ph/0405305)

**10.** and extensive references therein

#### jets in hadron - hadron collisions

#### jets are NOT single particles (like photons, ..)

jets are sets of hadrons moving rapidly in nearly the same direction, following the nominal paths of the original quarks/gluons (common "soft" radiation creates new particles, but does not disrupt the flow of energy, the probability is small for emitting a quark or gluon that drastically alters the flow of momentum )



Lego towers (note:  $\frac{3-jet}{2-jet} = O(\alpha_s)$ )

#### illustration



(from R. D. Field)

#### **FNAL jets**



jet cross section as measured by the D0 experiment, in three rapidity bins



Fig. 7.1. The parton model description of a hard scattering process.

 $f(x, \mu^2)$ .. quark or gluon QCD distributions,  $\sigma_{ij}$ .. parton scattering cross section

$$\sigma(P_1, P_2) = \sum_{ij} \int dx_1 dx_2 f_1(x_1, \mu^2) f_2(x_2, \mu^2) \sigma_{ij}(x_1, x_2, \alpha_s(\mu^2), Q^2/\mu^2)$$

(from R. K. Ellis et al.)

#### diagrams for 2-2 parton processes



(from R. K. Ellis et al.)

#### illustration, cont.



inclusive large  $p_{\perp}$  hadron production process resulting from 2-2 hard parton subprocess and parton --> hadron fragmentation  $D(z,Q^2)$ 

# history: hard scattering in p-p collisions discovered at CERN-ISR in 1972

#### scaling violation



(from R. D. Field)

#### scaling properties

parton model - dimensional argument

$$E\frac{d\sigma}{d^{3}p} = \frac{1}{p_{\perp}^{4}} F(x_{\perp} = \frac{2p_{\perp}}{\sqrt{s}}, y) = \frac{1}{(\sqrt{s})^{n}} \tilde{F}(x_{\perp}, y)$$

"scaling violation" due to  $\alpha_s(Q^2), f(x, Q^2)$  and  $D(z, Q^2)$ 

$$n = 4 \implies n_{eff} = n(x_{\perp}, y, \sqrt{s})$$

spectra: reasonably well parametrized by power-law form

$$E\frac{d\sigma}{d^3p} = A \cdot (1 + p_\perp/p_0)^{-n}$$

#### effective power n

system	$\sqrt{s}$ (GeV)	$A \ ({\sf mb}\ {\sf GeV}^{-2}c^3)$	$p_0$ (GeV/ $c$ )	n
$p + p \rightarrow h^{\pm}$	130	330	1.72	12.40
$p+ar{p} ightarrow h^{\pm}$ (NSD, UA1)	200	286	1.80	12.14
$p+p  ightarrow h^{\pm}$ (NSD, STAR)	200	286	1.43	10.35
$p+p  ightarrow \pi^0$ (inel., PHENIX)	200	386	1.22	9.99

(from D. d'Enterria)



invariant cross-sections as a function of  $p_{\perp}$ measured at midrapidity y = 0 in p + p collisions ( compared to NLO pQCD calculations at different  $\sqrt{s}$  )

### significant increase with energy at fixed $p_{\perp}$

(exploring small  $x_{\perp}$ , i.e. small x in distribution functions)

#### NLO pQCD



high  $p_{\perp}$  cross section successfully compared with NLO pQCD

#### angular distribution



for small CMS angle  $\theta^*$  : vectorboson= gluon exchange

$$\chi = \frac{1 + \cos \theta^*}{1 - \cos \theta^*}, \ \theta^* \to 0 \Rightarrow \chi \to \infty$$

Rutherford: 
$$\frac{d\sigma}{d\chi} \sim const$$

### nuclear effects



Cronin effect at fixed target energies:

enhancement of particle production at intermediate  $p_{\perp}$  in pA vs. (scaled by A) pp collisions here: ratio of the point-like scaled cross sections in pW and pBe collisions vs.  $p_{\perp}$ 

(from C. N. Brown et al.)

- energy of individual partons is sufficiently high for jets to be produced and detected
- high p<sub>⊥</sub> hadrons at midrapidity: suppression in gold-gold collisions NONE in deuteron-gold
- back-to-back jets and "out of plane" jets in Au Au collisions: striking evidence for suppression, e.g. one jet observed perpendicular to the reaction plane, NONE is observed in the opposite direction (completely absorbed in the medium)!
- NO similar suppression of back-to-back jet correlations observed in deuteron-gold collisions at midrapidity



(from U. A. Wiedemann)

QCD factorization: inclusive A+B cross-sections for hard processes scales as

$$E \, d\sigma^{hard}_{AB \rightarrow h}/d^3p = A \cdot B \cdot E \, d\sigma^{hard}_{pp \rightarrow h}/d^3p$$

for impact parameter b - nuclear overlap integral  $T_{AB}(b)$ 

$$E \, dN_{AB \to h}^{hard} / d^3 p \, (b) = \langle A \cdot B \cdot T_{AB}(b) \rangle \cdot E \, d\sigma_{pp \to h}^{hard} / d^3 p,$$

in terms of invariant yields (" $N_{coll}$  scaling")  $\langle N_{coll}(b) \rangle = \sigma_{pp} \langle A \cdot B \cdot T_{AB}(b) \rangle \propto A^{4/3}$ :

$$E dN_{AB \to h}^{hard} / d^3 p (b) = \langle N_{coll}(b) \rangle \cdot E dN_{pp \to h}^{hard} / d^3 p$$

nuclear modification factor:

$$R_{AB}(p_T, y; b) = \frac{\text{``QCD medium''}}{\text{``QCD vacuum''}} = \frac{d^2 N_{AB}/dy dp_T}{\langle A \cdot B \cdot T_{AB}(b) \rangle \times d^2 \sigma_{pp}/dy dp_T}$$



2M'02: dependence on centrality ; QM'04 dependence on orientation w.r.t. plane



(from U. A. Wiedemann)

# gluon radiation

- initial state ( $p_{\perp}$  broadening) -final state (energy loss/jet quenching)

#### gluon emission

well-known Gunion-Bertsch radiation cross section in the high energy limit for gluon radiation in quark-quark (gluon-gluon, gluon-quark) scattering

$$k^0 \frac{d\sigma^{\rm GB}}{d^3 k} = \frac{N_c \,\alpha_s}{\pi^2} \frac{1}{k_\perp^2} \,\int \,d^2 q_\perp \,\frac{\alpha_s}{q_\perp^2} \,\frac{\alpha_s}{(\vec{k}_\perp - \vec{q}_\perp)^2}$$

 $(k_{\perp} - factorisation)$ 



(from A. Kovner and U. A. Wiedemann)

produced number of gluons:

$$N_{\rm prod}^{GB}(\vec{k}) = \omega \frac{dI^{GB}}{d\omega d^2 k_{\perp}} \propto \alpha_s \int d^2 q_{\perp} \left[\frac{1}{\sigma} \frac{d\sigma}{d^2 q_{\perp}}\right] \frac{q_{\perp}^2}{k_{\perp}^2 (\vec{k}_{\perp} - \vec{q}_{\perp})^2} \propto \frac{\alpha_s \mu^2}{k_{\perp}^4}$$

#### multiple scattering in hadron-nucleus collision

 $p_{\perp}(k_{\perp})$  broadening on path *z* - average (characteristic) width

i.e. random walk - transport coefficient:  $\hat{q}$ 

 $\mu...$  screening mass,  $\lambda = 1/\rho\sigma....$  mean free path

from Gaussian:

$$< k_{\perp}^{2}(z) > \simeq \int d^{2}k_{\perp} \ k_{\perp}^{2} \ \frac{1}{\pi z (Q_{s}^{2}/L)} \exp\left[-\frac{k_{\perp}^{2}}{z (Q_{s}^{2}/L)}
ight]$$

with saturation scale:

$$Q_s^2/L \simeq \frac{4\pi^2 \alpha_s N_c}{N_c^2 - 1} \ (\rho \ x G(x, Q_s^2))$$

 $\rho$ .. nuclear density,  $xG = xG_{nucleon}$  gluon in the nucleon

#### survival probability

consider: probability distribution  $f(z, \vec{k}_{\perp})$  for the gluon (at longitudinal coordinate z and with transverse momentum  $\vec{k}_{\perp}$ ) passing through a nucleus

master equation ( "- loss + gain"):

$$\frac{\partial f(z,\vec{k}_{\perp})}{\partial z} = -\frac{1}{\lambda} \int d^2k'_{\perp} V(\vec{k}_{\perp} - \vec{k}_{\perp}') f(z,\vec{k}_{\perp}) + \frac{1}{\lambda} \int d^2k'_{\perp} V(\vec{k}_{\perp}' - \vec{k}_{\perp}) f(z,\vec{k}_{\perp}')$$

$$= -\frac{1}{\lambda}f(z,\vec{k}_{\perp}) + \frac{1}{\lambda}\int d^2k'_{\perp}V(\vec{k}_{\perp}')f(z,\vec{k}_{\perp}-\vec{k}'_{\perp})$$

initial condition:

$$f(0,\vec{k}_{\perp}) = \delta(\vec{k}_{\perp})$$

e.g. gluon-quark (medium) scattering potential:

$$V(\vec{k}_{\perp}) = \frac{1}{\sigma} \frac{d\sigma}{d^2 k_{\perp}} = \frac{\mu^2}{\pi (k_{\perp}^2 + \mu^2)^2}, \quad \sigma \simeq \frac{2\pi \alpha_s^2}{\mu^2}$$

[from BDMS]

#### **Fourier transform**

transverse coordinate  $\vec{x}_{\perp}$ :

$$\begin{split} \tilde{f}(z, \vec{x}_{\perp}) &= \int d^2 k_{\perp} e^{-i\vec{k}_{\perp} \cdot \vec{x}_{\perp}} f(z, \vec{k}_{\perp}) \\ \Rightarrow \frac{\partial \tilde{f}(z, \vec{x}_{\perp})}{\partial z} &= -\frac{1}{\lambda} \underbrace{\left[1 - \widetilde{V}(\vec{x}_{\perp})\right]} \tilde{f}(z, \vec{x}_{\perp}) \end{split}$$

Gaussian 
$$\Rightarrow \tilde{f}(z, \vec{x}_{\perp}) = \exp\left[-\frac{z}{L} \vec{x}_{\perp}^2 Q_s^2/4\right]$$

momentum space : 
$$\Rightarrow f(z, \vec{k}_{\perp}) = \frac{1}{\pi z (Q_s^2/L)} \exp\left[-\frac{k_{\perp}^2}{z (Q_s^2/L)}\right]$$

$$\frac{Q_s^2}{L} = \frac{4[1 - \widetilde{V}(\vec{x}_\perp)]/\lambda}{x_\perp^2} \underset{\vec{x}_\perp \to 0}{\simeq} \rho \sigma \mu^2 \ln \frac{Q^2}{\mu^2} \approx \frac{\alpha_s}{N_c} \rho x G(x, 1/x_\perp^2)$$

#### **Kovchegov - Mueller model**

produced gluon number spectrum

multiple scatterings (i.e. gluon exchange potential  $V(\vec{q}_{\perp}) \rightarrow \text{Gaussian}$  for z = L):

$$N_{
m prod}(\vec{k}) \propto lpha_s \int \frac{d^2 q_\perp}{Q_s^2} \exp\left[-\frac{q_\perp^2}{Q_s^2}
ight] \frac{q_\perp^2}{k_\perp^2 (\vec{k}_\perp - \vec{q}_\perp)^2}$$

relation to dipole model formalism by Fourier transform

$$\propto \alpha_s \int d^2 x_{\perp} \, d^2 y_{\perp} \, e^{i\vec{k}_{\perp} \cdot (\vec{x}_{\perp} - \vec{y}_{\perp})} \, \frac{\vec{x}_{\perp} \cdot \vec{y}_{\perp}}{x_{\perp}^2 \, y_{\perp}^2} \\ \times \left( 1 + e^{-(\vec{x}_{\perp} - \vec{y}_{\perp})^2 \, \frac{Q_s^2}{4}} - e^{-x_{\perp}^2 \, \frac{Q_s^2}{4}} - e^{-y_{\perp}^2 \, \frac{Q_s^2}{4}} \right) \\ \text{with} : \frac{\vec{k}_{\perp}}{k_{\perp}^2} = \frac{-i}{2\pi} \int d^2 x_{\perp} \, e^{i\vec{k}_{\perp} \cdot \vec{x}_{\perp}} \, \frac{\vec{x}_{\perp}}{x_{\perp}^2}$$

### Cronin effect



Cronin effect in  $p_t$  dependence of gluon production yields for p-A collisions Solid curve for the McLerran - Venugopalan gluon distribution in the  $k_t$  factorized cross section; dashed: Kovchegov - Mueller model, ( $Q_s^2 \simeq 2 \text{ GeV}^2$ )

(from R. Baier, A. Kovner and U.A. Wiedemann)

#### **RHIC data**



STAR Collaboration:  $R_{AB}(p_T)$  for minimum bias and central d+Au collisions ("Cronin effect"), and central Au+Au collisions ("suppression"). The bands show the normalization uncertainties.

#### energetic gluon / quark jets

produced in hard collisions at very early times in A - A collisions, when propagating through partonic matter

- suffer

elastic scattering [J. D. Bjorken (1982)]:
elastic ("ionization") loss in medium of energy density  $\epsilon$ QGP:  $-\frac{dE}{dz} \sim \alpha_s \sqrt{\epsilon} < \text{string tension} \sim 1 \text{ GeV/fm}$ 

inelastic multiple scatterings [M. Gyulassy and X.-N. Wang (1994)]  $\Rightarrow$  gluon radiation  $\Rightarrow$ 

high momentum jet and leading hadron spectra are suppressed / depleted / quenched / becoming extinct

indeed significant jet quenching observed at RHIC energies

#### pQCD medium-induced radiative energy loss

### ZIG-ZAG gluon in (large) finite size L medium

 $E_{\rm parton} \rightarrow \infty$ , loss  $\Delta E$ 



typical gluon radiation diagram with dominant gluon multiple scatterings

mean free path  $\lambda > \frac{1}{\mu}$  range of screened gluon interaction from BDMPS (1995), B. G. Zakharov, U. A. Wiedemann, ... reviews: R. Baier, D. Schiff and B. G. Zakharov (2002) A. Kovner and U. A. Wiedemann (2004); M. Gyulassy and X.-N. Wang (2004)

#### time scales

formation time and coherence length

 $\begin{array}{c} t_{form}: \text{on-shell quark and gluon well separated} \\ E >> \omega >> k_{\perp}, \ E \to \infty \end{array}$   $t_{form} \sim \frac{E}{\sqrt{p \cdot k}} \frac{1}{\sqrt{p \cdot k}} \sim \frac{2\omega}{k_{\perp}^2} \end{array}$ 

multiple interactions: - group of scattering centers acts as ONE source of radiation - defines  $t_{coh}$ 

$$t_{form} \equiv \underline{t_{coh}} \simeq \frac{\omega}{\langle k_{\perp}^2 \rangle|_{t_{coh}}} \simeq \frac{\omega}{\mu^2 t_{coh}/\lambda}$$

random walk:

$$\langle k_{\perp}^2 \rangle |_{t_{coh}} \simeq N_{coh} \mu^2 \simeq \frac{t_{coh}}{\lambda} \mu^2 \implies t_{coh} \simeq \sqrt{\frac{\lambda \omega}{\mu^2}}$$

 $N_{coh}$  = number of coherent scatterings

 $\hat{=}$  scattering centers which participate coherently in the gluon emission with energy  $\omega$ 

nonabelian properties of gluons

average energy loss from (soft) gluon radiation:

$$\Delta E = \int^{\omega_c} \frac{\omega dI}{d\omega} d\omega \simeq \alpha_s \, \omega_c, \ \omega_c = \frac{1}{2} \hat{q} L^2$$

$$\hat{q} \simeq \mu^2 / \lambda \simeq \rho \int d^2 q_\perp q_\perp^2 d\sigma / d^2 q_\perp$$

 $\rho$  ... density of medium,  $\sigma$  ... gluon-medium (nucleus, partons) interaction

random walk due to multiple scatterings: accumulated k<sup>2</sup><sub>⊥</sub> ≃ N<sub>coh</sub> μ<sup>2</sup>
 number of coherent scatterings: N<sub>coh</sub> ≃ t<sub>coh</sub>/λ ≃ √ω/μ<sup>2</sup>λ >> 1
 coherence/formation time: t<sub>coh</sub> ≃ ω/k<sup>2</sup><sub>⊥</sub> ≃ √ωλ/μ<sup>2</sup> ≃ √ω/q̂

soft spectrum: 
$$\frac{\omega dI}{d\omega dz} \simeq \frac{1}{t_{coh}} \frac{\omega dI^{GB}}{d\omega} \simeq \frac{\alpha_s}{t_{coh}} \simeq \alpha_s \sqrt{\hat{q}/\omega}$$

#### medium dependence of transport coefficient $\hat{q}$

equilibrated media:

nuclear matter - (massless) pion gas - (ideal) QGP QGP : density  $ho(T) \sim T^3 \sim$  energy density  $\epsilon^{\frac{3}{4}}$ 



how to "see" a phase transition at  $\epsilon \simeq O (1 \text{Gev/fm}^3)$ ? expect instead: "smooth" increase of  $\hat{q}$  with increasing energy density of the medium, and  $\hat{q}|_{\text{hot}} >> \hat{q}|_{\text{nuclear matter}}$ 

### energy loss is increasing with energy density of the medium

#### how to "measure" energy loss $\Delta E(L)$ ?

inclusive large  $p_{\perp}$  hadrons in A - A collisions: shift of leading particle/pion  $\Rightarrow$  additional suppression of real gluon emission by trigger bias

due to steeply falling (parton) spectrum:  $\frac{d\sigma^{\text{vacuum}}(p_{\perp})}{dp_{\perp}^2} \propto \frac{1}{p_{\perp}^n}$  at RHIC  $n \sim 12$  probability  $D(\epsilon)$  that radiated gluons carry away the energy  $\epsilon$ , assume independent emission of soft primary gluons:

 $D(\epsilon)$  peaks at small gluon energies  $\epsilon < \omega_c = \frac{\hat{q}}{2} L^2$ 





(from BDMS)

convolution with the production cross section:

$$\frac{d\sigma^{\rm medium}(p_{\perp})}{dp_{\perp}^2} \simeq \int d\epsilon \, D(\epsilon) \, \frac{d\sigma^{\rm vacuum}(p_{\perp} + \epsilon)}{dp_{\perp}^2} \simeq \frac{d\sigma^{\rm vacuum}(p_{\perp} + S(p_{\perp}))}{dp_{\perp}^2}$$

 $S(p_{\perp}) < {
m average \ loss \ } \Delta E \; !$ 

#### comparison with data



nuclear modification factor for  $\pi^0$ -production compared to model calculations involving parton energy loss (dashed lines take into account finite energy cuts)

dotted line: fractional contribution from quarks and gluons to final pions

(from C. A. Salgado and U. A. Wiedemann)

#### jets - radiation cone



average energy loss radiated outside a cone of angle  $\theta$  for a quark jet with E = 100 GeV

typical gluon emission angle:

$$\theta^2 \simeq 1/\hat{q}L^3 (\simeq 1/R)$$

from  $\omega \simeq \omega_c \simeq \hat{q}L^2, k_\perp^2 \simeq \hat{q}L$  and  $\theta \simeq k_\perp/\omega$ 

(from U. A. Wiedemann, BDMS)

# What have we learned from jets and large $p_{\perp}$ hadrons as hard probes about QCD matter ?

- pQCD describes production of jets and large  $p_{\perp}$  hadrons via quarks and gluons
- Genuine pQCD phenomenon:

gluon radiation and gluon multiple scatterings

- Energy loss of high energy partons is dominated by gluon radiation and rescattering
- Importance of trigger bias in quenched/depleted large  $p_{\perp}$  hadron spectra
- Medium modified jet shapes
- Large  $p_{\perp}$  hadron data for nucleon-nucleus and nucleus-nucleus collisions BNL RHIC: BRAHMS, PHENIX, PHOBOS and STAR Collaborations
- Formation of dense partonic matter

which (expanding) QCD medium is probed by final state interactions of partons ?

### more to learn during the following days of the conference