

# String theory: has Einstein's dream come true?

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# Introduction

In the second half of his scientific life Einstein struggled with the problem of how to combine in a single framework two beautiful, successful -and not disconnected- theories:

1. Maxwell's **Electromagnetism** (that had led him to **Special Relativity**) and its **quantum** developments, from his own analysis of the **photo-electric effect** to QED;
2. His **classical** theory of **Gravitation, General Relativity**

Neither Einstein, nor others\*) succeeded

Somehow the big obstacle was in the clash between  
the **Quantum** of **QED** and the **Classical** of **CGR**

"I must seem like an ostrich who forever buries its head in the  
relativistic sand in order not to face the evil quanta"  
(Einstein, 1954)

**What has become of Einstein's dream  
50 years later?**

\*\*\*\*\*

\*) Cf. Kaluza (1921) and Klein (1926) serious attempts

# Outline

- An exercise in «**meta-theory**»
- Classical **cosmology**: successes & puzzles
- Inflationary **cosmology**: successes & limitations
- Classical and quantum **pathologies** of **Einstein's gravity**
- A **lesson** from the Electroweak Theory
- **String Theory** and its quantum miracles
- Physical **applications**: black holes, cosmology
- **Conclusion**

In essence, Einstein's dream was to unify our  
theoretical understanding of the  
«infinitely» small

with that of the

«infinitely» large

More quantitatively:

**Minimal** (quantum) length/time **scale**:

$$L_P = \sqrt{\frac{Gh}{c^3}} \sim 10^{-33} \text{ cm}$$

$$T_P = \frac{L_P}{c} \sim 10^{-43} \text{ s}$$

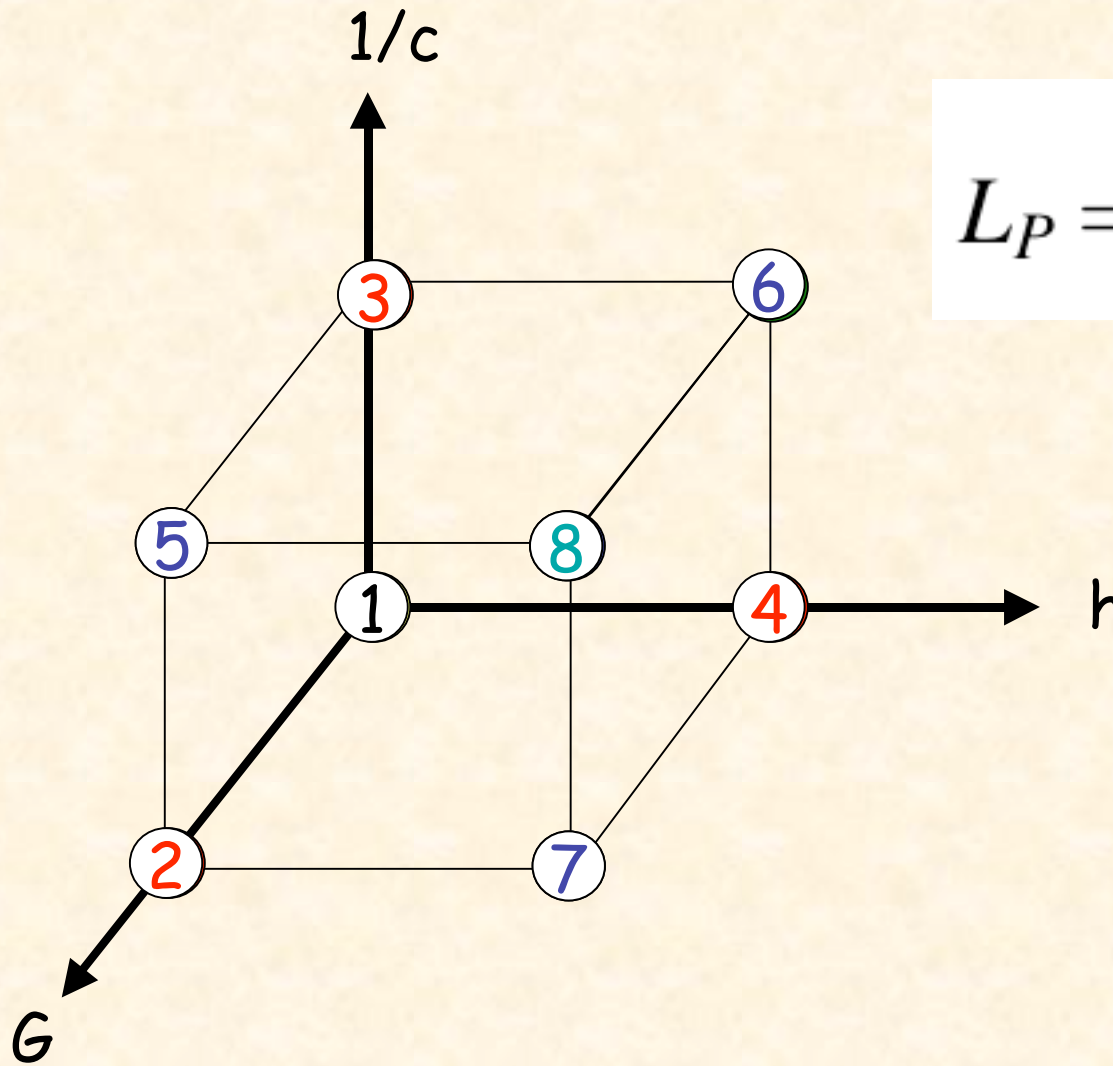
**Maximal** (classical) length/time **scale**:

$$\Delta\lambda = \frac{d\lambda}{L_H}$$

$$L_H \sim 10^{28} \text{ cm}, T_H \sim 10^{18} \text{ s}$$

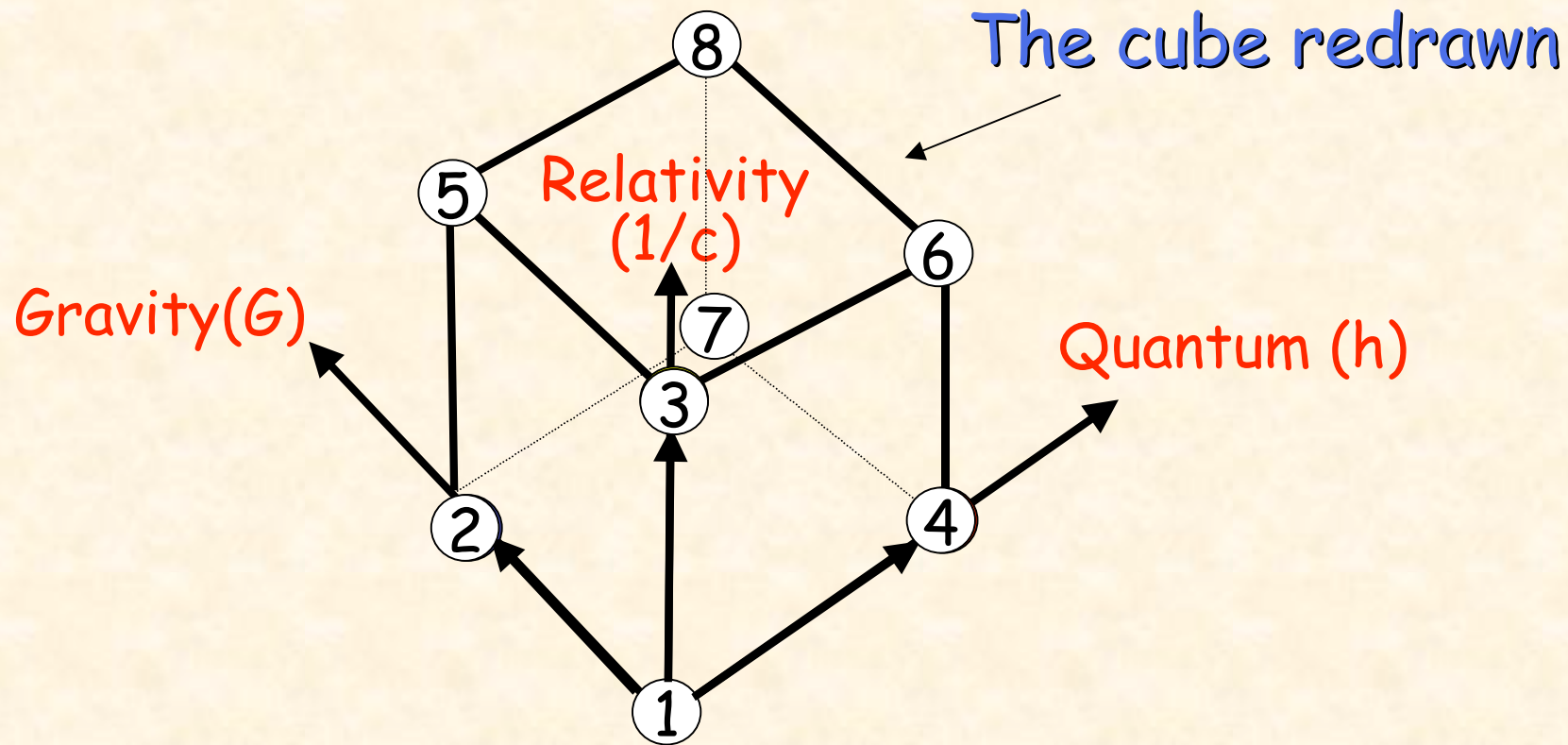
$$\frac{L_H}{L_P} = \frac{T_H}{T_P} \sim 10^{61}$$

# A « russian cube » (from Landau to Okun)



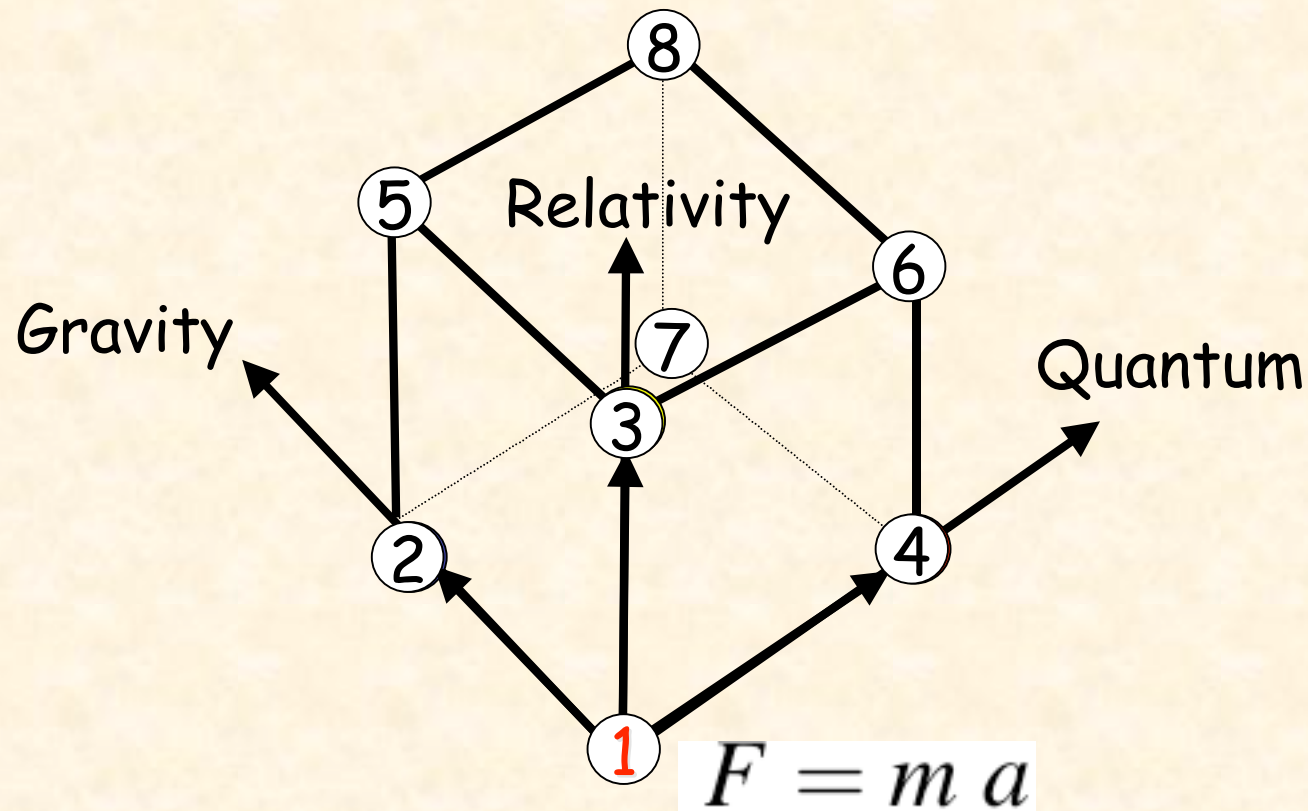
$$L_P = \sqrt{\frac{Gh}{c^3}}$$

# A «Theory of Theories»

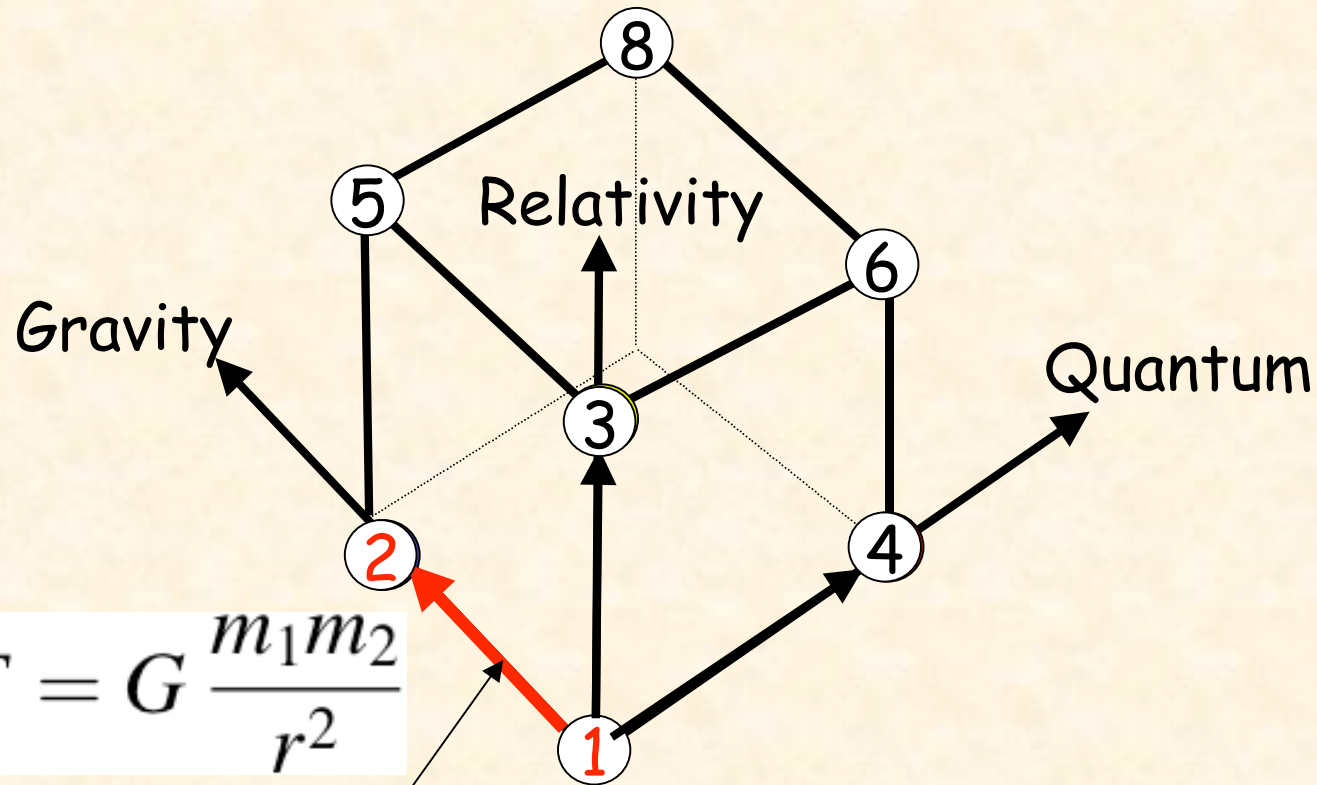




# The trivial vertex

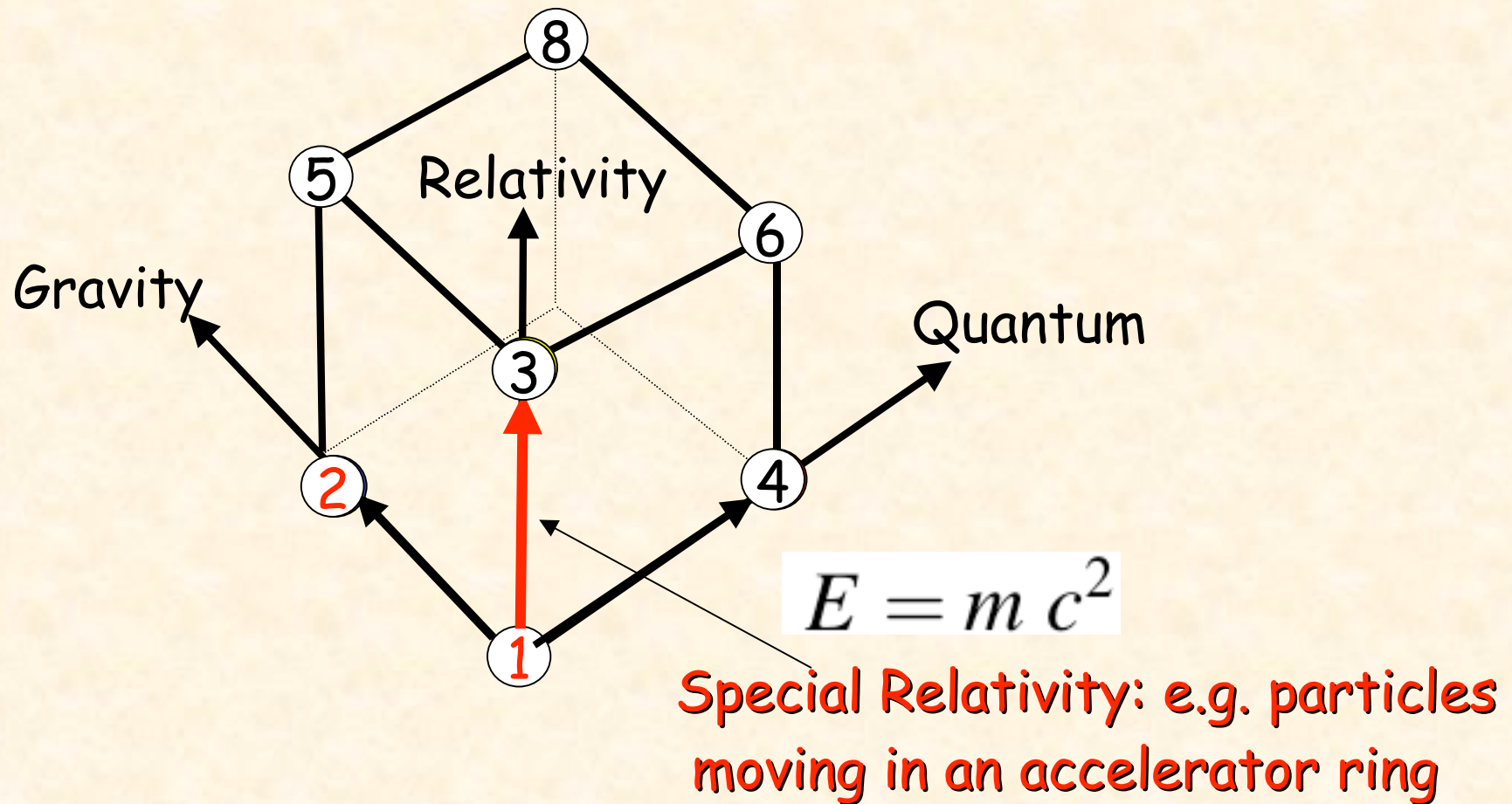


# The simplest edges: I

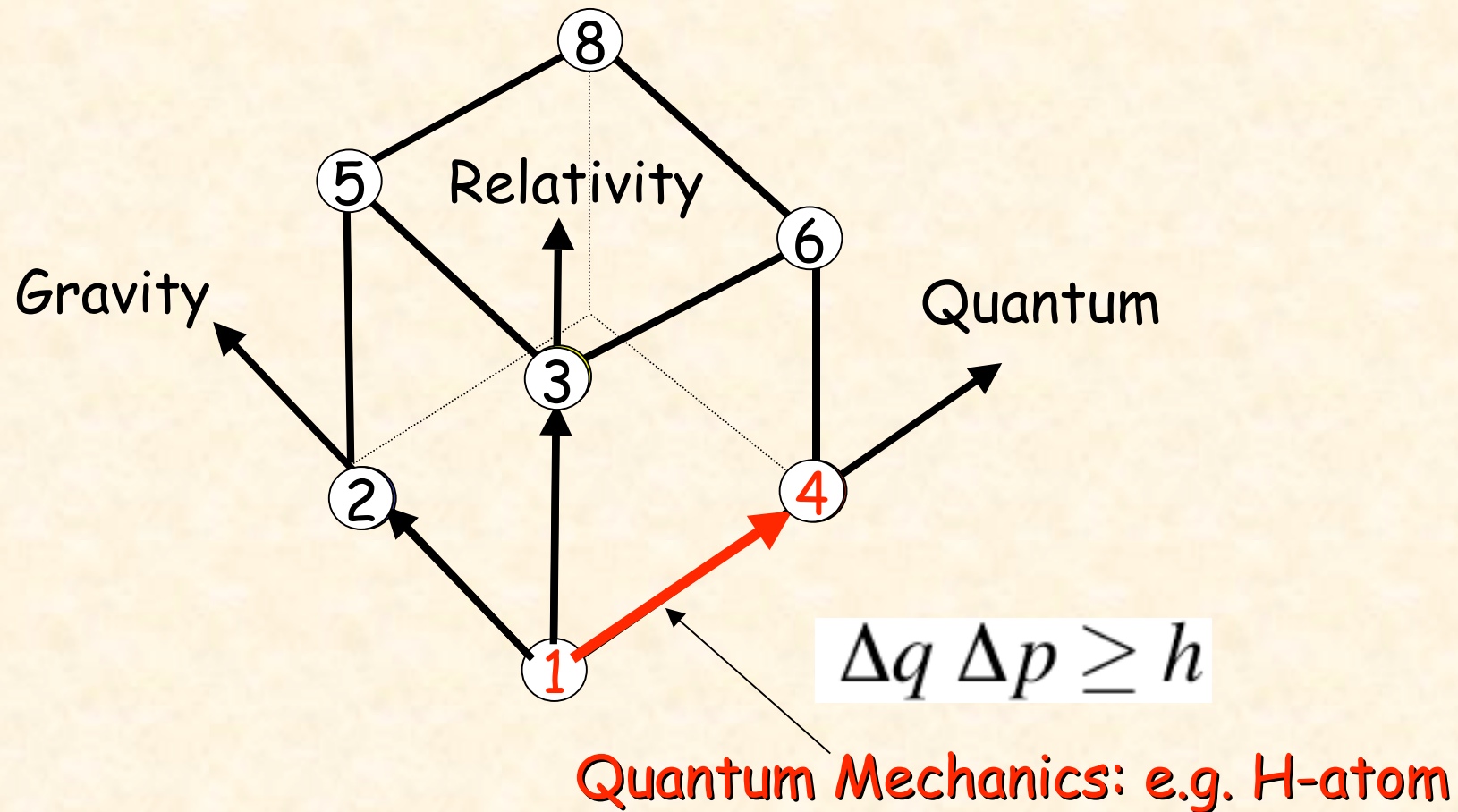


Newtonian Gravity: e.g. the solar system

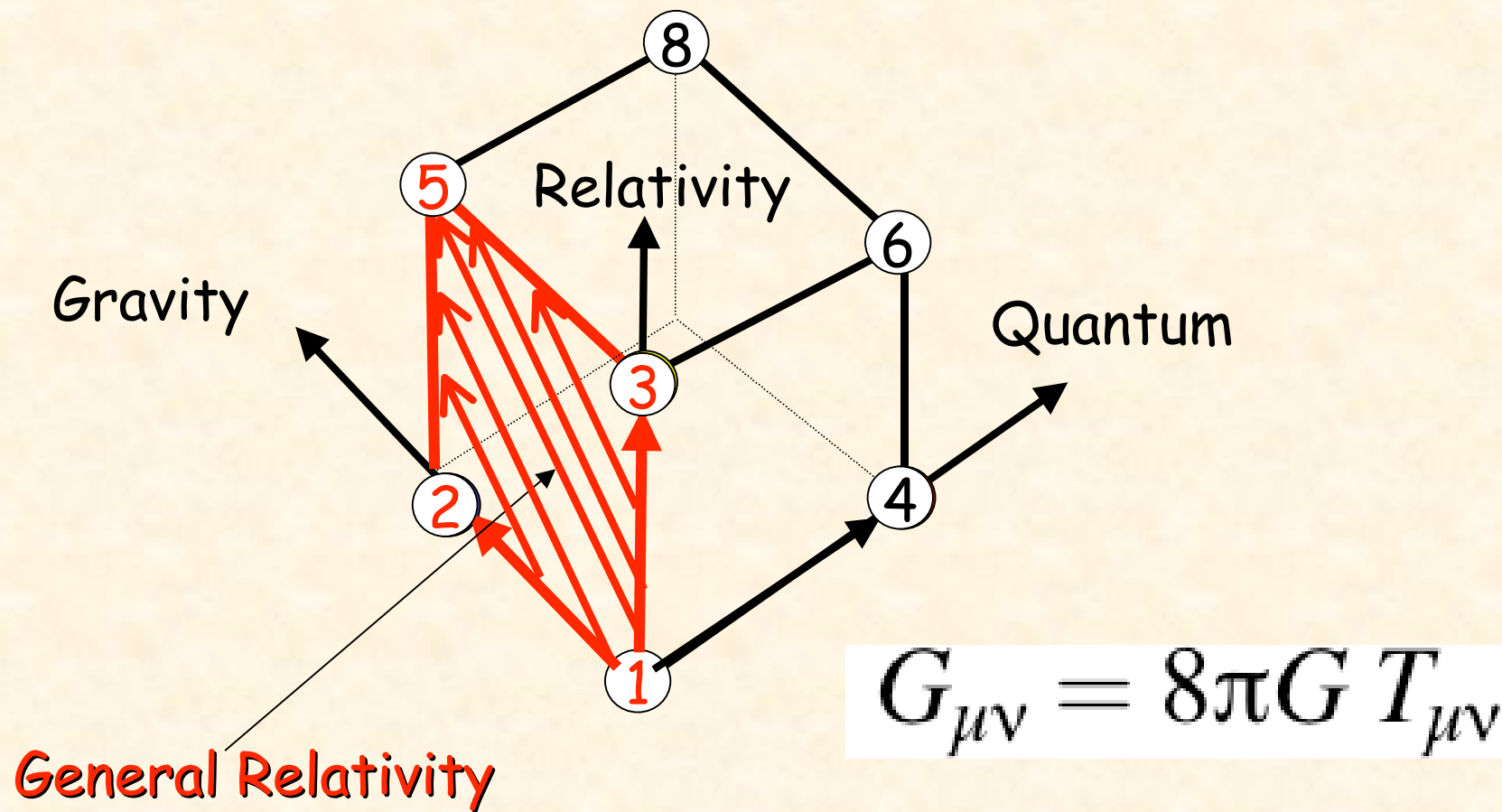
# The simplest edges: II



# The simplest edges: III



# The most relevant faces: I



# General Relativity (GR)

$$NG + SR = GR$$

Our «Standard Model» of classical gravity

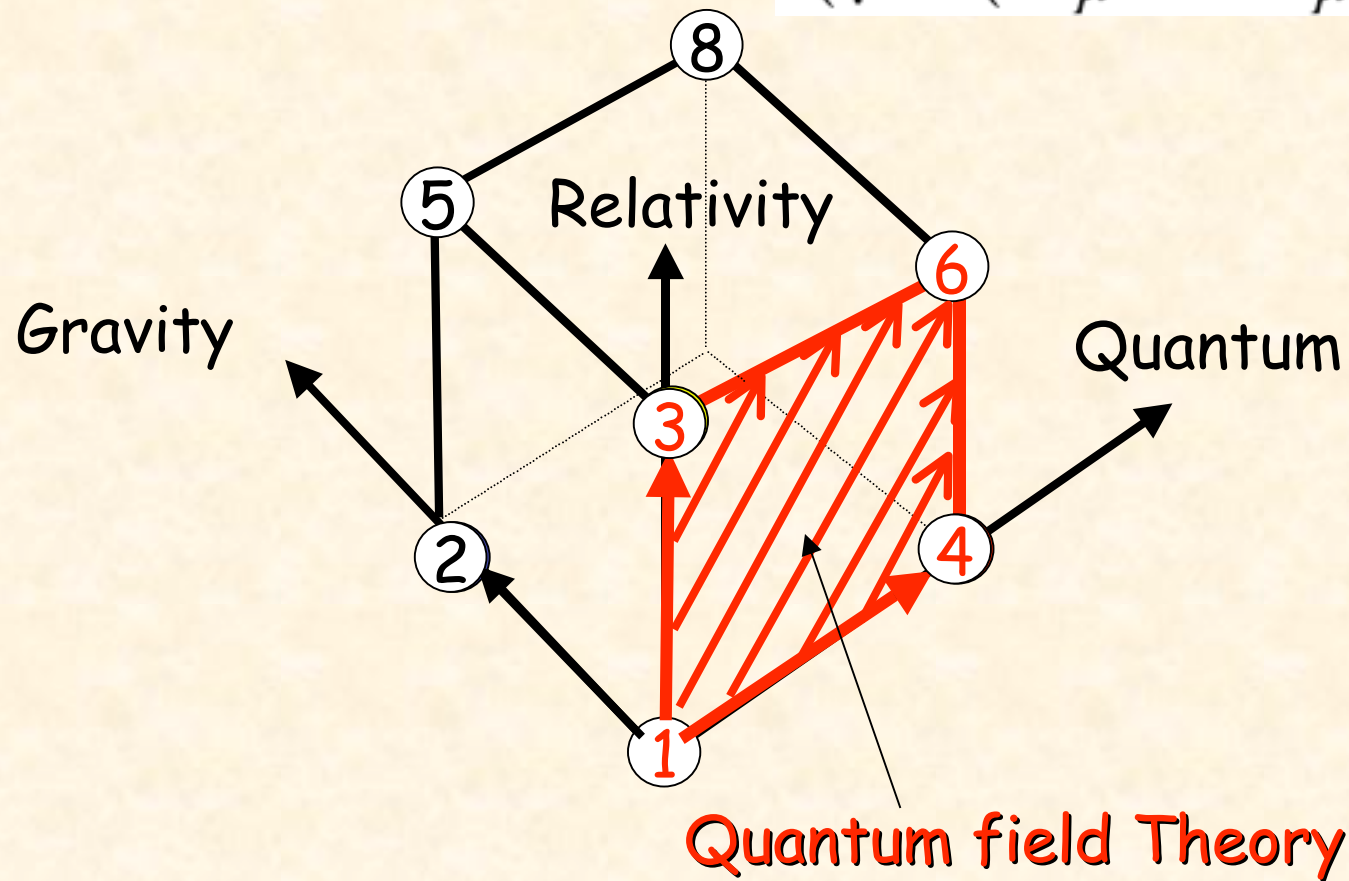
Corrections to NG better and better tested

New predictions

1. **Black holes** (overwhelming evidence)
2. **Gravitational waves** (indirect evidence)

# The most relevant faces: II

$$(\gamma^\mu \cdot (i\partial_\mu - eA_\mu) + m)\psi = 0$$



$$SR + QM = QFT$$

«Standard Model» of elementary particles  
(verified to high precision, LEP..)

The quantum-relativistic nature of the SM manifests itself  
through real and virtual **particle production**  
Radiative corrections **are essential** for agreement!



# Summarizing so far:

$$NG + SR = GR = SMCG$$

$$SR + QM = SMEP$$

Both work wonders...but

$$G_{\mu\nu} = 8\pi G T_{\mu\nu}$$

L.H.S. : Classical Geometry

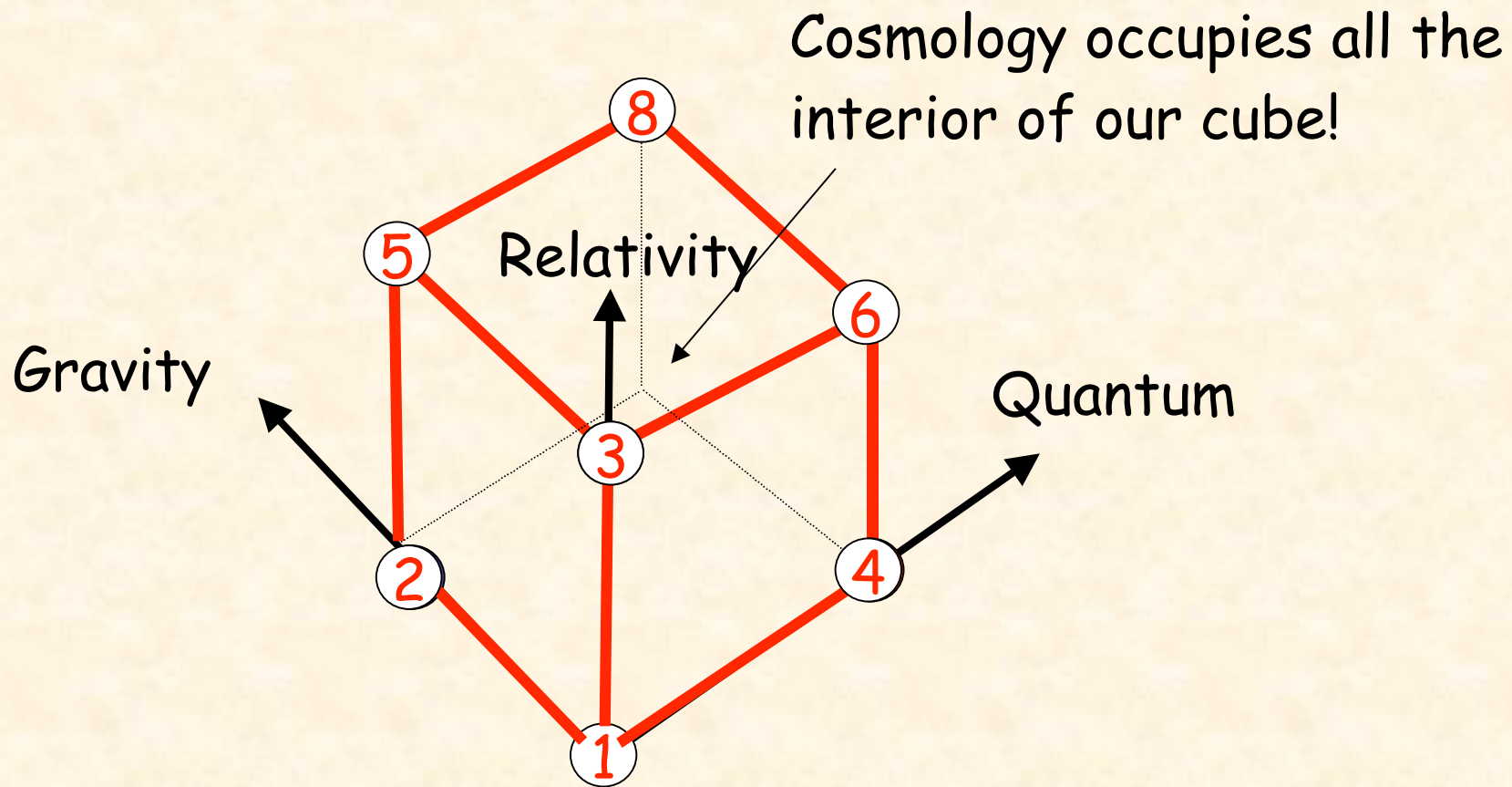
R.H.S. : Quantum Matter

Sounds inconsistent. E.g.:

- A classical cosmological constant or the quantum-corrected potential energy of a scalar field?
- And what about today's generally accepted quantum origin of Large-Scale Structure in the Universe?

**An impossible marriage?**

The issue is not just a conceptual one: it becomes physically relevant in a cosmological context



# Expansion of the Universe

Far past  $\Rightarrow$  Very hot and dense Universe

Very hot Universe  $\Rightarrow$  Very high energies (R)

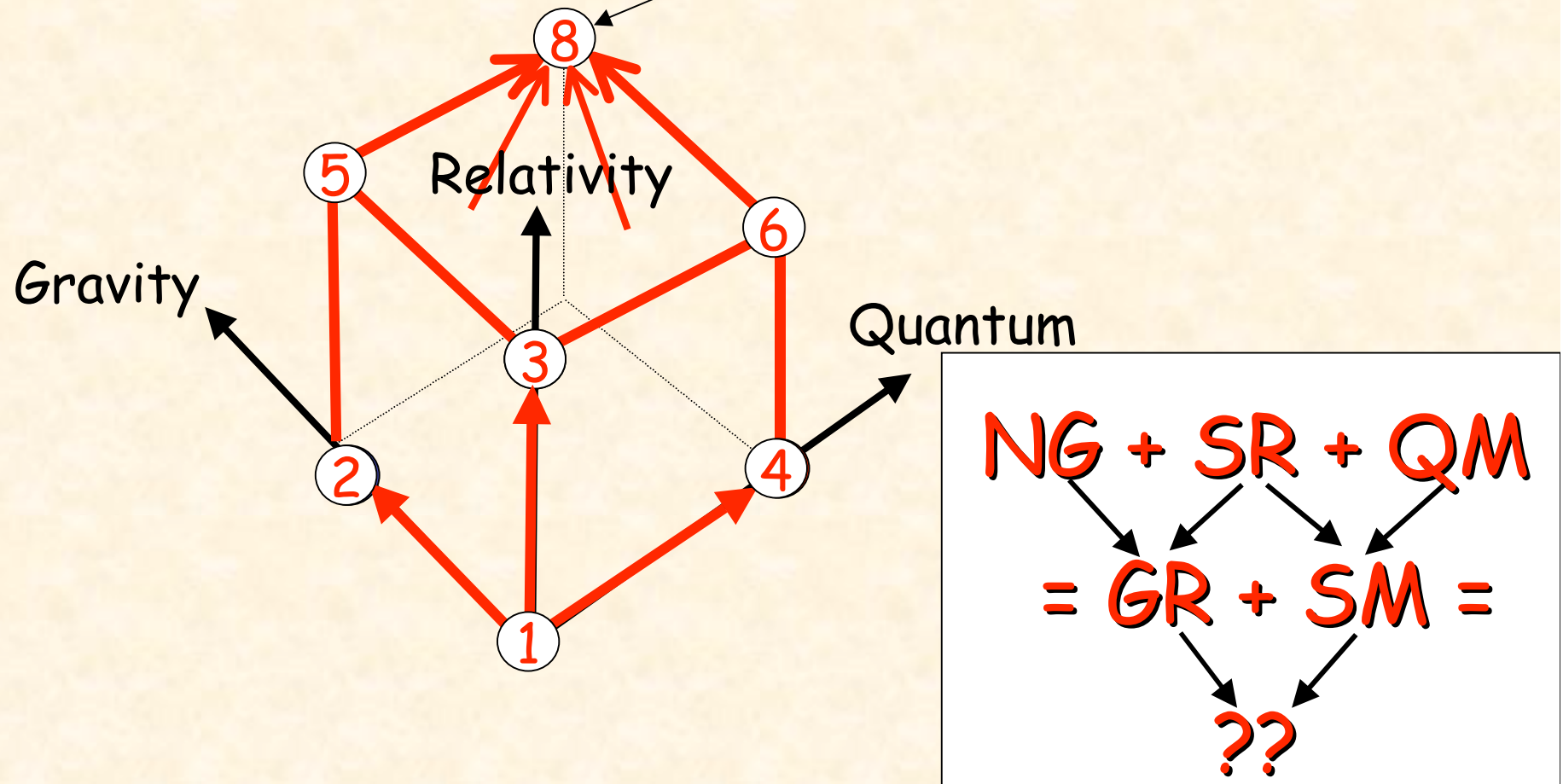
Very dense Univers  $\Rightarrow$  Very high curvature (G)

Very high curvature  $\Rightarrow$  Quantum processes (Q)

Far away in space  $\longleftrightarrow$  Far back in time (c finite)

Deep connection between  $L_H$  and  $T_P$

The more we go towards the past the more we approach vertex no. 8!



# Conventional Cosmology

## I: Successes

1. Cosmic microwave Background (CMB)
2. Structure Formation
3. Big-bang nucleosynthesis
4. Star formation & evolution

# Conventional Cosmology

## IIa: Problems on the Particle side

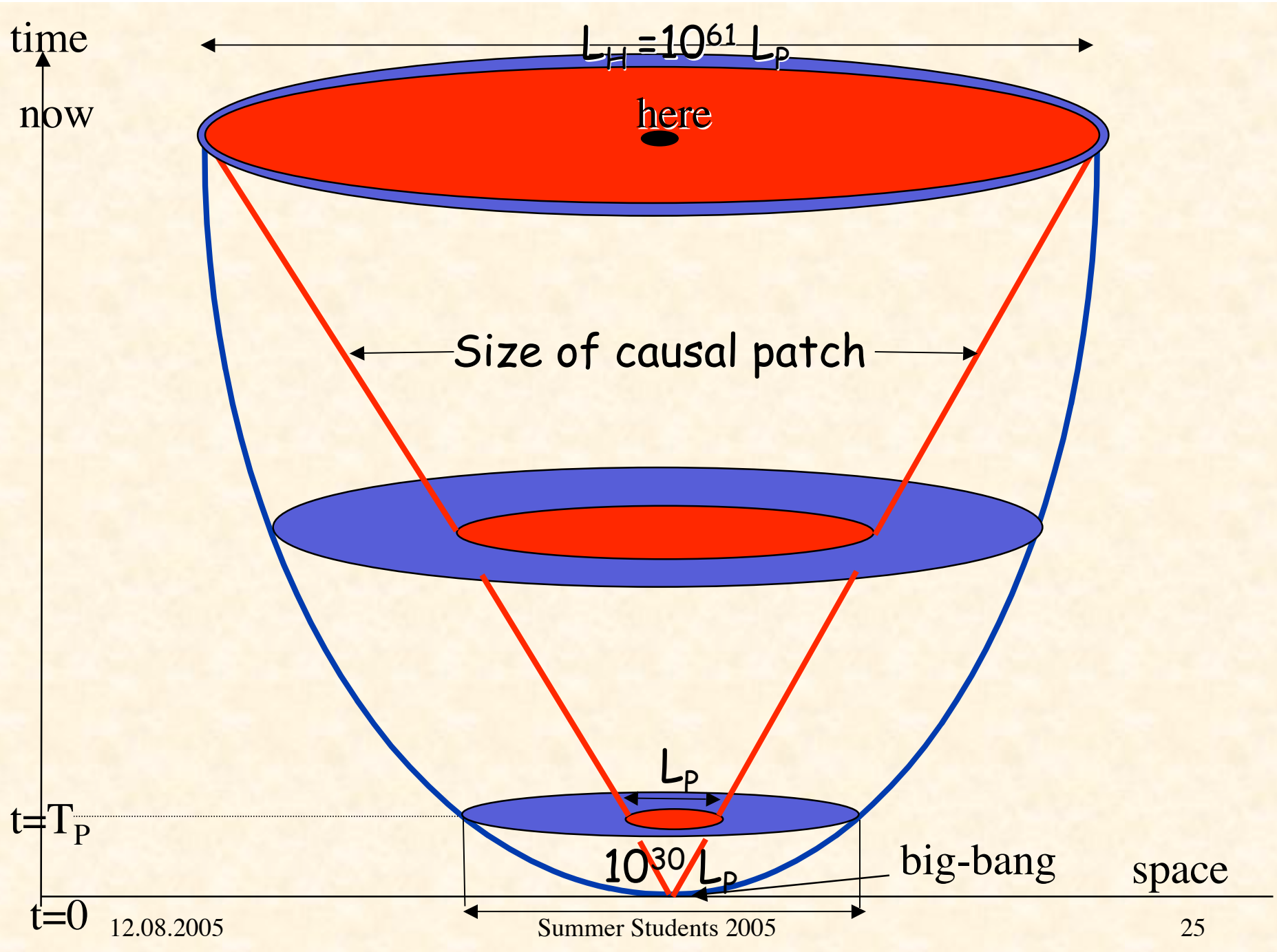
1. Matter-antimatter asymmetry (~5% vs. 0%)
2. Dark Matter (~25%)
3. Dark Energy (~70%)

# Conventional Cosmology

## IIb: Problems on the Gravity side

1. Large-scale homogeneity, flatness
2. Origin of LSS
3. Dark Energy (particle or gravity side?)

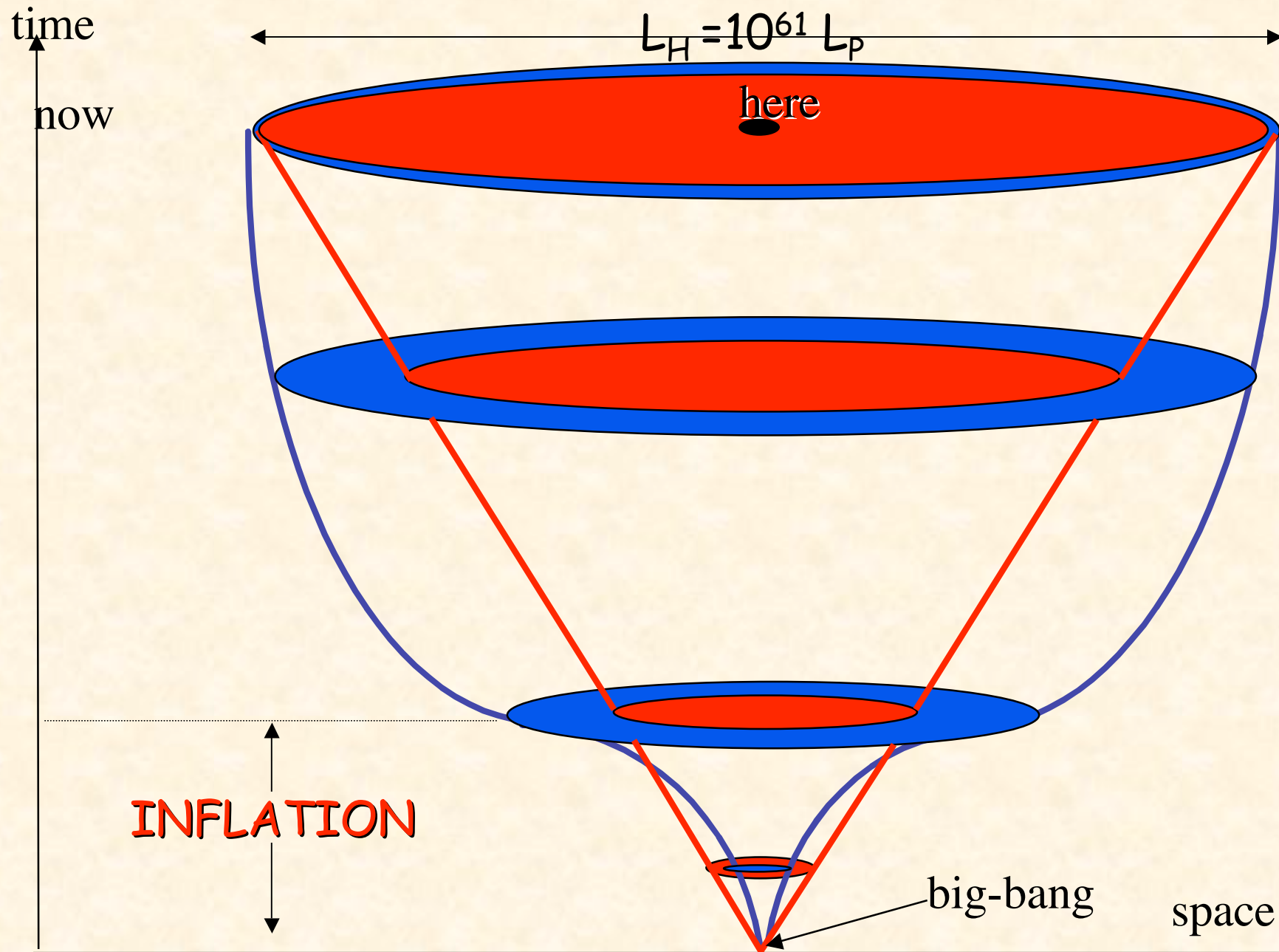




# Inflationary Cosmology

## Successes:

1. Thanks to the potential energy of a scalar field (the inflaton) the early expansion can be such that today's observable Universe was once within **a single causal patch** (figure)
2. Initial **inhomogeneities** stretched, **washed out**
3. They are replaced by **calculable quantum fluctuations** that get amplified and brought to cosmologically-relevant scales by inflation



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# Inflationary Cosmology

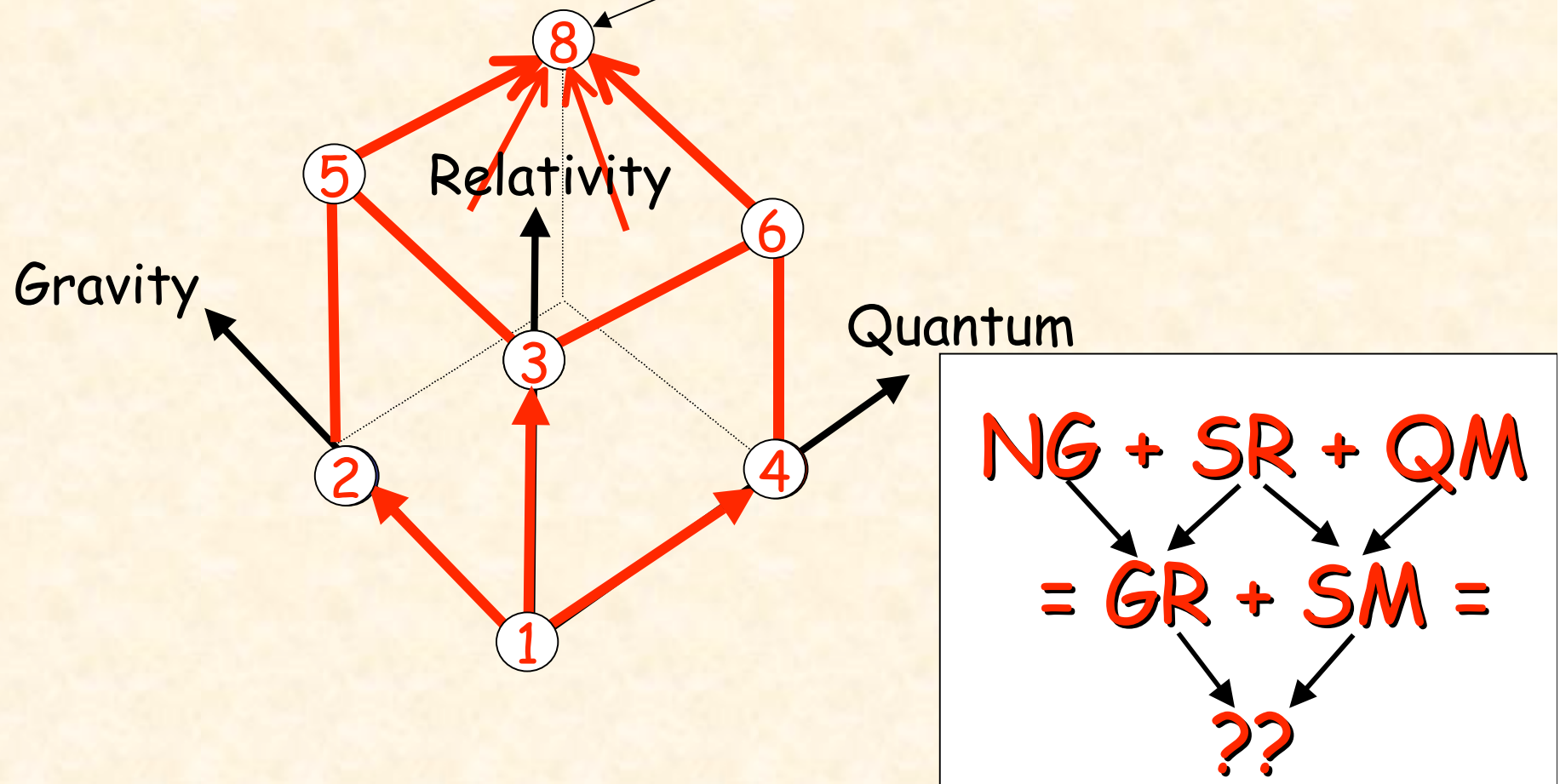
## New Questions:

1. What's the **inflaton**? It doesn't look like any of the scalar fields of the SM
2. Where does its **flat potential** come from?
3. What determines the **initial conditions** that can turn on the inflationary epoch?

In order to answer this crucial last question we have to understand pre-inflation physics i.e.

**the Big Bang itself!**

The more we go towards the past the more we approach vertex no. 8!



## Patologies in Classical General Relativity

Theorems due to Hawking and Penrose imply that, under quite general conditions, perfectly smooth « initial » conditions lead eventually to space-time **singularities**

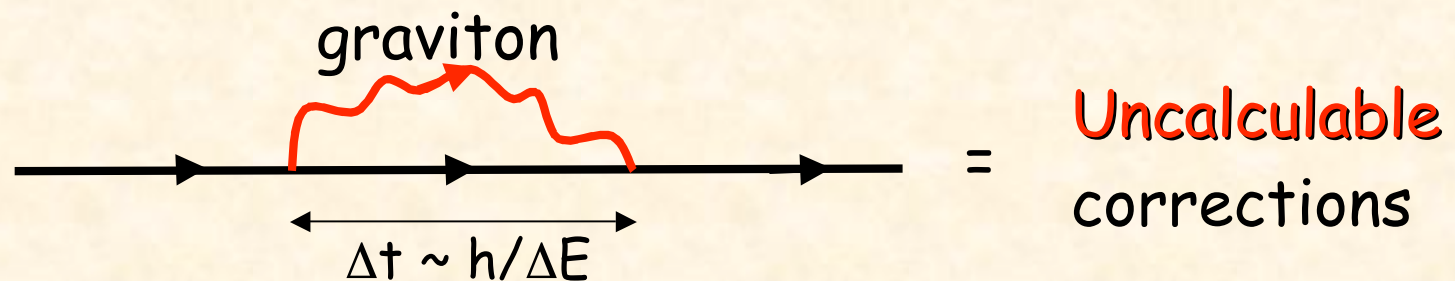
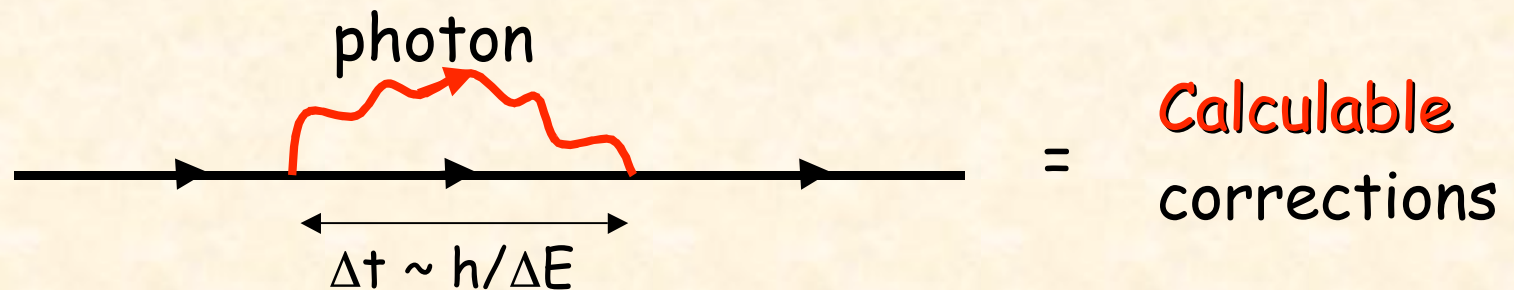
However, near curvature singularities **quantum** corrections to CGR cannot be neglected

Q: Can QM remove the singularities of CGR, like it did with other infinities a century ago..?

A: QM appears to worsen the situation. Why?

# Patologies in Quantum General Relativity

(the «evil quanta» are back!)



## Patologies in Quantum Field Theories

Even in the SM there are UV infinities. The difference is that we can tame them (renormalization)

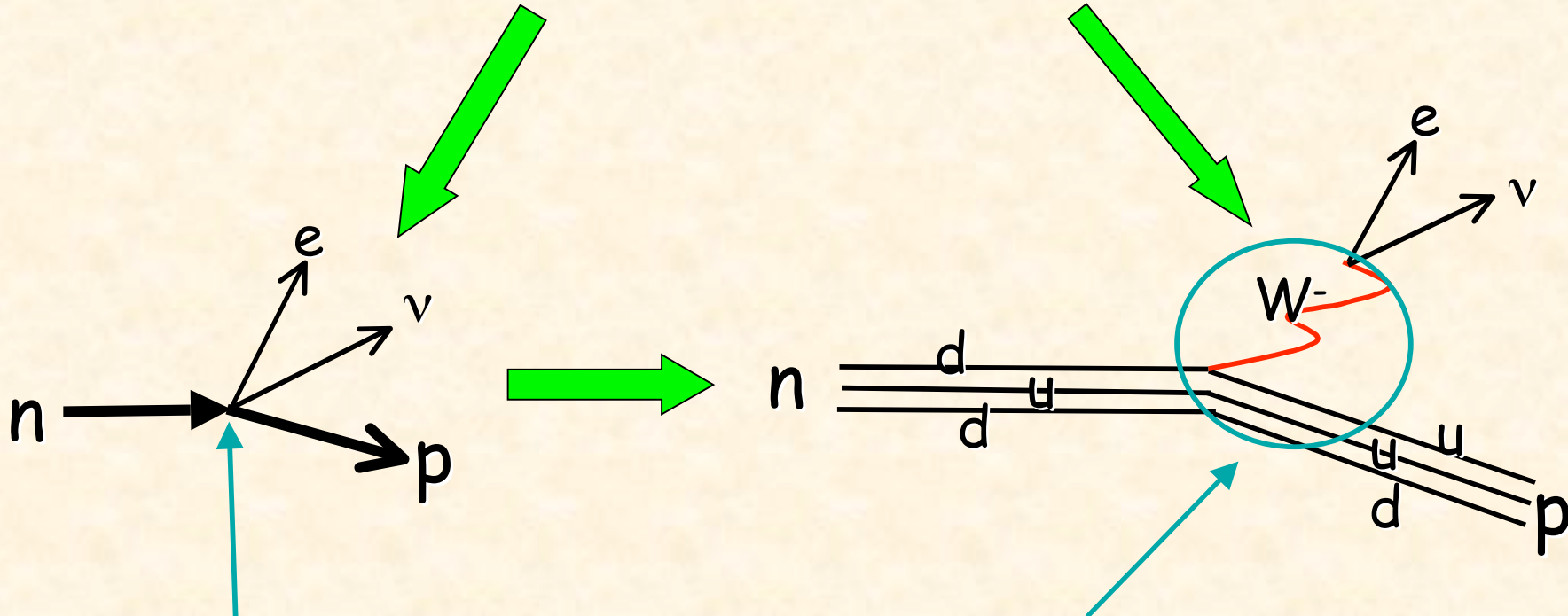
Today even QFTs and the SM are viewed as «effective theories», approximately valid below (above) a certain energy (distance) scale

The difference between renormalizable and non-renormalizable theories is just in the price to be payed for our ignorance on the physics above that energy scale!

An instructive example: Fermi vs. GSW



# From Fermi (1934) to EWT (~1973)



The interaction takes place **in a single point** in space-time

The interaction is **smeared** over a **finite region** of space-time

Even the EW theory of GSW has infinities, hence uncalculable parameters: yet it's much more predictive than Fermi's!

# Is it possible to do something similar in GR?

A priori looks like an impossible dream since GR is based in an essential way on a space-time continuum where coincidences of events can be defined

Yet string theory seems capable of realizing that dream:  
it does so through what we may call a number of

«Quantum Miracles»

What is String Theory?

« String Theory is the theory of strings »

What does that mean?

Modest origins. Replace some grand principles (Equivalence, Gauge) by «just» the assumption that **everything** is made out of

**Relativistic Quantum Strings**

Strings + SR + QM = Grand Synthesis

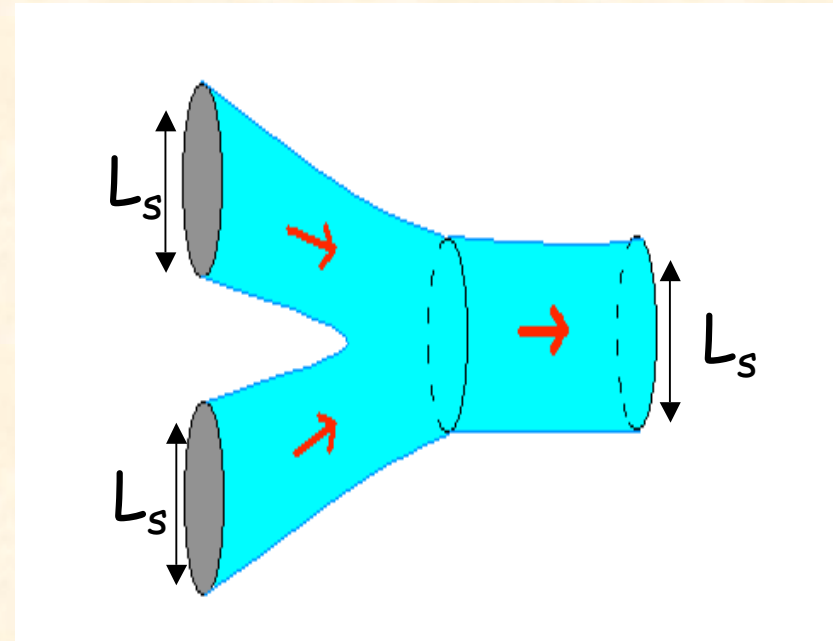
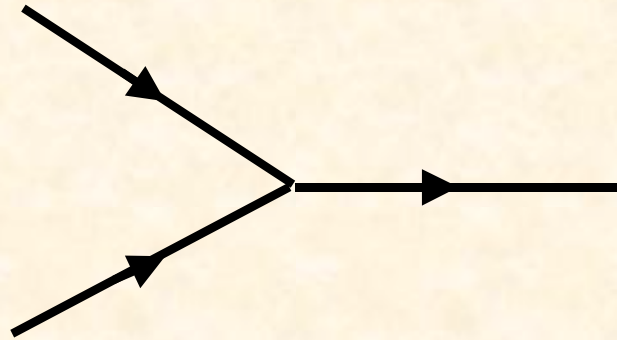
## Quantum miracles: I

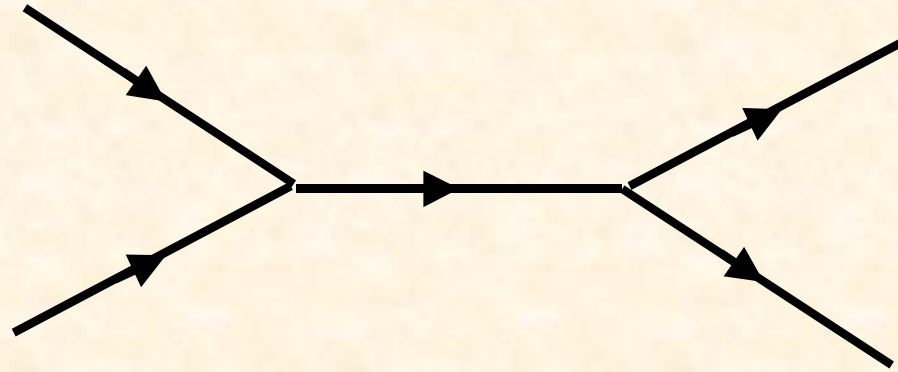
While classical relativistic strings with tension  $T$  may have any size  $L$  (and therefore any mass  $Mc^2 \sim TL$ ), quantum strings have a minimal (optimal) size  $L_s$  (Cf. Bohr radius), given by  $L_s^2 = hc/T$  \*). This length appears naturally in the (dimensionless, quantum) action of a string:

$$S_{class.} = -T(\text{Area swept}) \Rightarrow \frac{1}{\hbar} S_{class.} = -\frac{1}{L_s^2}(\text{Area swept})$$

\*) Cf. analogy with  $L_p^2 = hG/c^3$  (if  $G \rightarrow 1/T$ )

The finite string size  $L_s$ , is responsible for the smearing





becomes

0

0

## Quantum miracles: II

While classical string cannot have angular momentum without also having a finite size/mass, quantum strings may have **up to 2 units of  $J$  without acquiring a mass**:

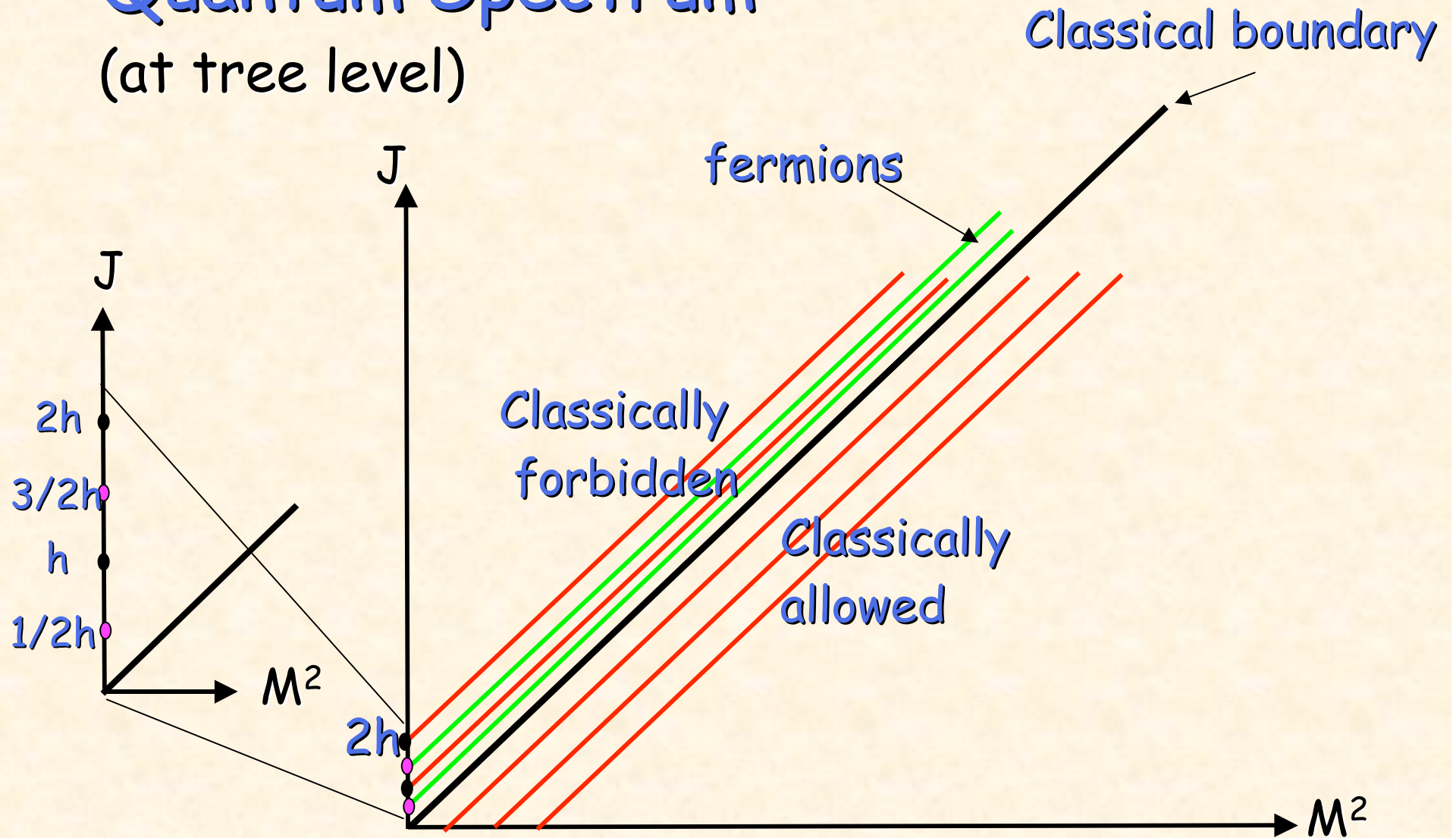
$$\frac{M^2}{T} \geq J + \hbar \sum_1^{\infty} \frac{n}{2} = J - \alpha_0 \hbar$$

$\alpha_0 = 1/2, 1, 3/2, 2.$

Cf. Casimir effect

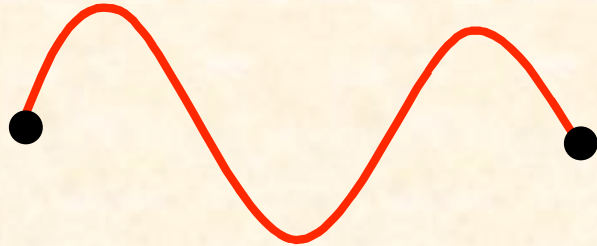
# Quantum Spectrum

(at tree level)

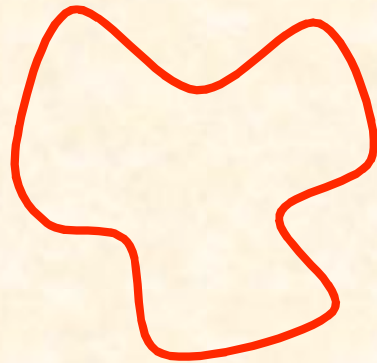




In particular..



$\Rightarrow m=0, J = 1 \Rightarrow$  photon and other gauge bosons (other can originate from a stringy version of the KK mechanism)



$\Rightarrow m=0, J = 2 \Rightarrow$  graviton,

$\Rightarrow m=0, J = 0 \Rightarrow$  dilaton

Integer- $J$  massless states  $\Rightarrow$  **carriers of interactions;**  
1/2-integer- $J$  massless (light)states  $\Rightarrow$  **constituents of matter**

Combining both miracles provides  
A **unified** and **finite** theory of elementary particles,  
and of their gauge and gravitational interactions, not  
just compatible with, but **based** on,  
**Quantum Mechanics!**

«Relativistic sand» and «evil quanta» happily coexist  
in string theory!

## Other quantum news

1. While classical strings can move consistently in any ambient space-time, quantum strings require **particular** «target» **space-times** in order to avoid lethal anomalies. A Minkowskian space-time, e.g., must have 1 time and 9 space dimensions, 6 of which must be compact & small
2. A symmetry, called **target-space duality**, implies that a compactification radius  $R_c$  is **equivalent** to  $L_s^2/R_c$
3. A web of dualities **unifies conceptually** all known consistent string theories (**M-theory**)

4. There are **no free parameters**: these are replaced by scalar fields whose ground-state values provide (dynamically?) the «Constants of Nature». For instance, the fine-structure constant  $\alpha$  and  $G_{NT}$  are fixed by the above-mentioned dilaton and by the various radii.

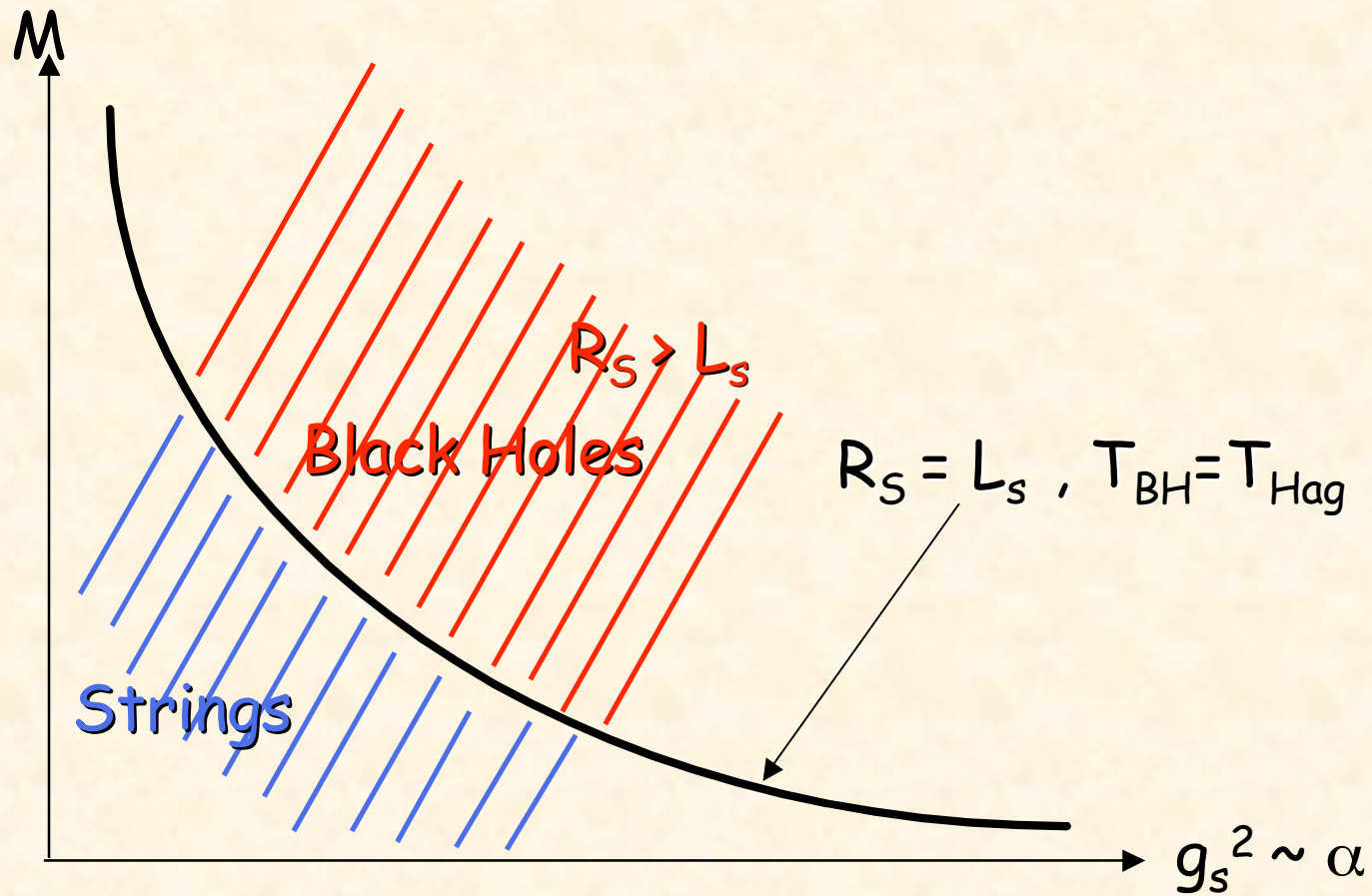
All these scalar fields have vanishing perturbative mass, because of SUSY. If they remain light (at NP level after SUSY breaking), they may induce «short-distance» **modifications of gravity**, threaten the **equivalence principle** and **universality of free-fall**, induce space-time **variations** of the above «constants», etc.

⇒ A very active field of experimental and theoretical research

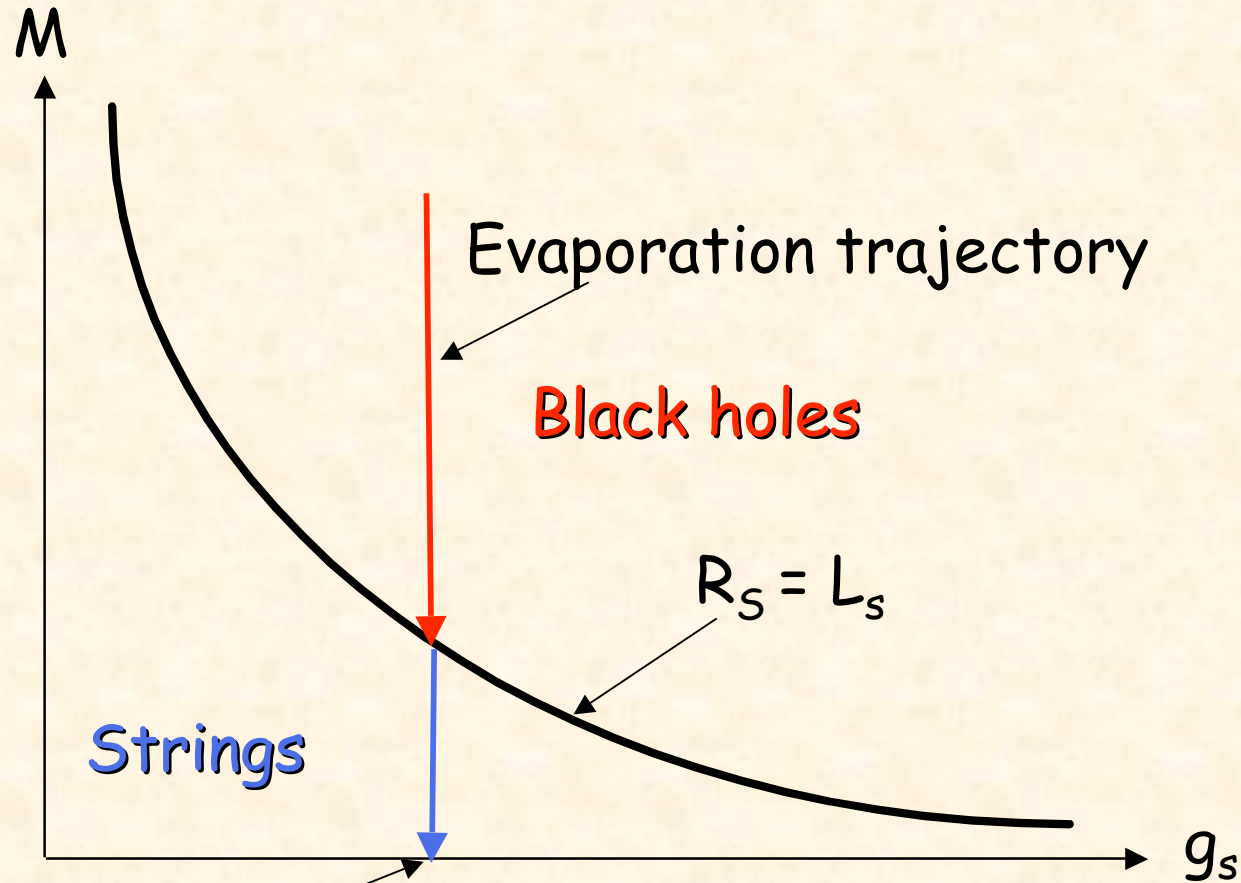
# Possible physical applications

1. Black holes, strings and QM
2. Primordial cosmology

# String/Black Hole phase diagram



# Evolution of evaporating Black Hole (via Hawking's process)



would-be singularity: avoided thanks to  $L_s \neq 0$ ?

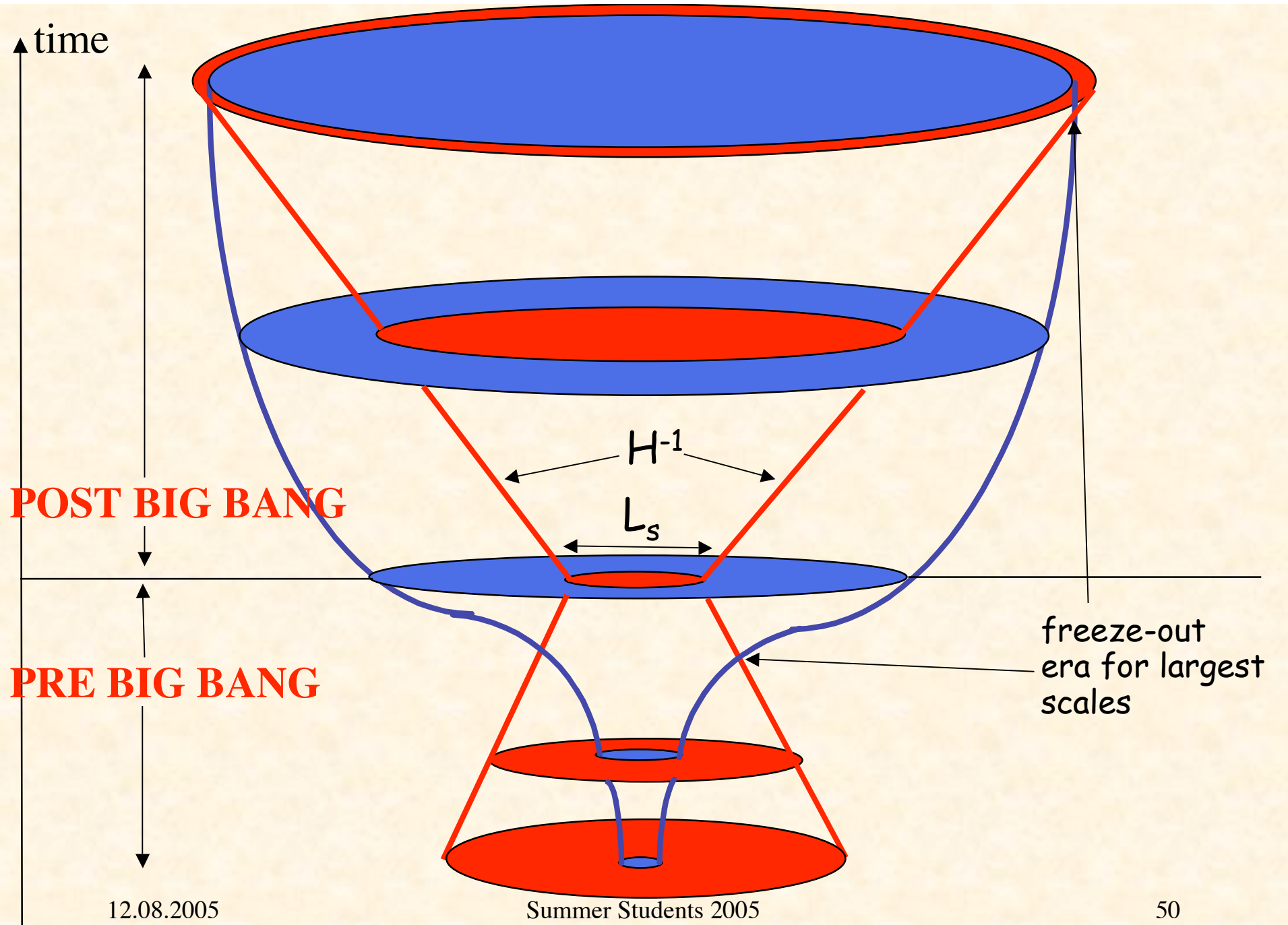
# BH entropy and the information paradox

- In some favourable cases, string theory provides a statistical interpretation of the thermodynamic (Bekenstein-Hawking) entropy of a black hole,  $S_{BH} = A/4L_p^2$
- String theory offers convincing arguments (e.g. through the holographic correspondence between gravity and gauge theories) **against** any **loss** of quantum coherence in processes where a black hole is formed from a pure state and then undergoes Hawking evaporation.
- Hawking himself has taken back (Dublin talk 2004, and recent paper) his previous claims to the contrary



# Cosmological Applications

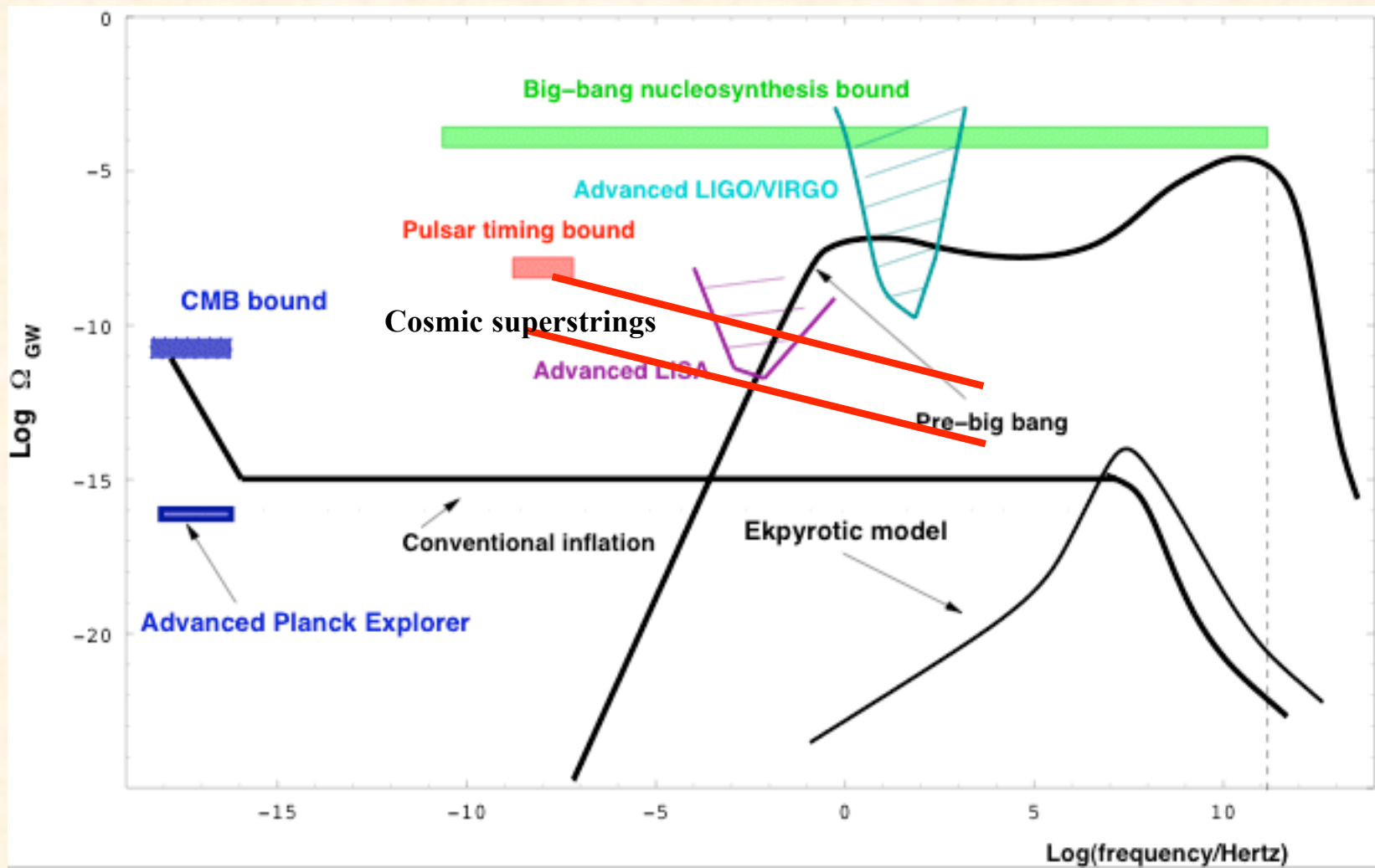
- String theory «**resolves**» some **singularities** of GR
- Those associated with **cosmology** (big bang) are **harder** to deal with (SUSY breaking), but are also likely to be eliminated/reinterpreted (new d.o.f.)
- If so, we may conceive new scenarios in which the big bang, rather than representing the beginning of time, is the **result of a previous phase** in which space-time curvature ( $\sim$  Hubble parameter  $H$ ) grew
- String effects would then force the Universe to «bounce» after going through a high-curvature «string phase»
- The Big Bang becomes a «**Big Bounce**»
- ➔ New ways to solve the problems of standard cosmology (an **older**, rather than a smaller **Universe**)



- Such «pre big bang» cosmologies have observable consequences, i.e. can be tested in principle
- The reason is the one invoked for «observing» the inflationary epoch today: the **freeze-out** of perturbations while their wavelength exceeds the Hubble radius  $H^{-1}$ .

### Examples:

1. A **stochastic** background of **GW** (see figure)
2. **Cosmic** (F and D) **strings** that can possibly provide interesting **GW** sources (see figure)
3. Seeds for cosmic **magnetic fields** due to an evolving dilaton and/or internal dimensions during the pre-bounce phase
4. A «curvaton» mechanism for generating **CMB** anisotropies and **LSS** (w/out tensor contribution, B-polarization)



# Conclusion

- Einstein's dream appears to be **realized** in string theory, but in a way that could have been **hardly imagined** 50 years ago
- String theory came about in late sixties because in QCD (and apparently in Nature) there are **string-like excitations** as a consequence of **confinement**
- The true QCD string is yet to be constructed, while the old string found an application that no-one could have foreseen at the time.

Sergio Fubini used to say (~ 1970):

**«A piece of XXI-century physics that fell too early on us !»**

- Its starting point is **not** a classical field theory (Maxwell + GR) that we then quantize with much pain, if at all.
- Without QM strings do **not** give a photon or a graviton and thus, a fortiori, an electromagnetic or a gravitational field: these only emerge as semiclassical (large distances, large occupation number) limits of a fundamentally **quantum theory** of **extended** objects.
- Einstein's dream comes true (at a theoretical level, so far) **thanks to** (and **not against**) QM, hence in a way that is quite opposite to the one he was pursuing.
- I am afraid he could have reacted to String Theory, like he did to QM, by saying:

God does not play strings!

Let's find out whether Nature does!